

Derived categories of Fano varieties  
Semiorthogonal decompositions

Let  $\mathcal{T} = \mathcal{D}^b(X)$ , the bounded derived category of a smooth projective variety, or, more generally, nice (e.g. smooth and proper enhanced) triangulated category

Def A semiorthogonal decomposition (SOD)

$$\mathcal{T} = \langle \mathcal{T}_1, \mathcal{T}_2, \dots, \mathcal{T}_n \rangle$$

is a collection of full triang. subcats  $\mathcal{T}_i \subset \mathcal{T}$  s.t.

①  $\text{Hom}(\mathcal{T}_i, \mathcal{T}_j) = 0$  for  $i > j$

②  $\forall T \in \mathcal{T} \exists 0 = T_n \rightarrow T_{n-1} \rightarrow \dots \rightarrow T_1 \rightarrow T_0 = T$   
with  $\text{Cone}(T_i \rightarrow T_{i-1}) \in \mathcal{T}_i$

E.g.  $\mathcal{T} = \langle \mathcal{A}, \mathcal{B} \rangle$  if for any  $T \exists \begin{matrix} \mathcal{T}_{\mathcal{B}} \\ \downarrow \\ \mathcal{T} \end{matrix} \rightarrow T \rightarrow \begin{matrix} \mathcal{T}_{\mathcal{A}} \\ \downarrow \\ T \end{matrix}$  (and  $\text{Hom}(\mathcal{B}, \mathcal{A}) = 0$ )

Ⓛ If  $\mathcal{T} = \langle \mathcal{A}, \mathcal{B} \rangle$  then  $\mathcal{T}_{\mathcal{A}}$  and  $\mathcal{T}_{\mathcal{B}}$  in are unique and  $T \mapsto \mathcal{T}_{\mathcal{A}}$ ,  $T \mapsto \mathcal{T}_{\mathcal{B}}$  are functors (e.g.  $\varphi: T \rightarrow T' \Rightarrow \varphi_{\mathcal{A}}: \mathcal{T}_{\mathcal{A}} \rightarrow \mathcal{T}'_{\mathcal{A}}$ ,  $\varphi_{\mathcal{B}}: \mathcal{T}_{\mathcal{B}} \rightarrow \mathcal{T}'_{\mathcal{B}}$ )

Ⓟ  $\begin{matrix} \mathcal{T}_{\mathcal{B}} \rightarrow T \rightarrow \mathcal{T}_{\mathcal{A}} \\ \varphi_{\mathcal{B}} \downarrow \quad \varphi \downarrow \quad \downarrow \varphi_{\mathcal{A}} \\ \mathcal{T}'_{\mathcal{B}} \rightarrow T' \rightarrow \mathcal{T}'_{\mathcal{A}} \end{matrix}$  The composition  $\mathcal{T}_{\mathcal{B}} \rightarrow T \xrightarrow{\varphi} T' \rightarrow \mathcal{T}'_{\mathcal{A}}$  is zero because  $\text{Hom}(\mathcal{B}, \mathcal{A}) = 0 \Rightarrow \exists \varphi_{\mathcal{A}}, \varphi_{\mathcal{B}}$   
Moreover, they are unique  $\Rightarrow$  functorial

~~that~~ In particular, taking  $T' = T$ ,  $\varphi = \text{id}$ , and using this uniqueness we conclude that  $\mathcal{T}'_{\mathcal{A}} \cong \mathcal{T}_{\mathcal{A}}$ ,  $\mathcal{T}'_{\mathcal{B}} \cong \mathcal{T}_{\mathcal{B}}$  ■

Ⓛ The functor  $T \mapsto \mathcal{T}_{\mathcal{A}}$  is left adjoint to  $\mathcal{A} \hookrightarrow \mathcal{T}$   
 $T \mapsto \mathcal{T}_{\mathcal{B}}$  is right adjoint to  $\mathcal{B} \hookrightarrow \mathcal{T}$

Ⓟ Apply the above to  $T' \in \mathcal{A}$  and  $T \in \mathcal{B}$  ■

Rem If a functor  $\Phi: \mathcal{T}_1 \rightarrow \mathcal{T}_2$  has left adjoint  $\Phi^*$  or right adjoint  $\Phi^!$  then  $\Phi$  is fully faithful  $\Leftrightarrow \Phi^* \Phi \xrightarrow{\sim} \text{id}$  or  $\text{id} \xrightarrow{\sim} \Phi^! \Phi$

Pf  $\Phi^* \Phi \mathcal{F} \rightarrow \mathcal{F} \rightarrow \mathcal{F}' \Rightarrow \text{Hom}(\mathcal{F}', \mathcal{G}) \rightarrow \text{Hom}(\mathcal{F}, \mathcal{G}) \xrightarrow{\sim} \text{Hom}(\Phi^* \Phi \mathcal{F}, \mathcal{G})$   
 $\Rightarrow \text{Hom}(\mathcal{F}', \mathcal{G}) = 0 \forall \mathcal{G} \Rightarrow \mathcal{F}' = 0$   
 $\Rightarrow \Phi^* \Phi \xrightarrow{\sim} \text{id}$ ; inverting the argument we get  $(\Leftarrow)$ . ■

Ⓞ If  $\mathcal{T} = \langle \mathcal{A}, \mathcal{B} \rangle$  the embedding functors  $\alpha: \mathcal{A} \hookrightarrow \mathcal{T}$  and  $\beta: \mathcal{B} \hookrightarrow \mathcal{T}$  have left adjoint  $\alpha^*$ , right adjoint  $\beta^!$ ; moreover  $\alpha^* \alpha \xrightarrow{\sim} \text{id}_{\mathcal{A}}$ ;  $\text{id}_{\mathcal{B}} \xrightarrow{\sim} \beta^! \beta$   
and  $\boxed{\beta \beta^! T \rightarrow T \rightarrow \alpha \alpha^* T}$  is the dec. triang.

Def  $\mathcal{A}$  is left admissible if  $\exists \alpha^*$ , right admissible if  $\exists \alpha^!$   
admissible if  $\exists \alpha^*$  and  $\alpha^!$

Prop If  $A \subset J$  is l.adm then  $J = \langle A, \perp A \rangle$   
 If  $A \subset J$  is r.adm then  $J = \langle A^\perp, A \rangle$

Here  $\perp A = \{T \in J \mid \text{Hom}(T, A) = 0\}$   
 $A^\perp = \{T \in J \mid \text{Hom}(A, T) = 0\}$

Pf  $T' \rightarrow T \rightarrow \alpha \alpha^* T \Rightarrow \text{Hom}(\alpha \alpha^* T, \alpha A) \rightarrow \text{Hom}(T, \alpha A) \rightarrow \text{Hom}(T', \alpha A)$   
 $\text{Hom}(\alpha \alpha^* T, A) \xrightarrow{\cong} \text{Hom}(T, A) \xrightarrow{\cong} \text{Hom}(T', A)$   
 hence  $T' \in \perp A$  ■

Prop If  $J$  is smooth & proper (e.g.  $J = D^b(X)$ ,  $X$  sm & prop)  
 then  $A \subset J$  is l.adm  $\Leftrightarrow A$  is r.adm  $\Leftrightarrow A$  is adm  $\Leftrightarrow A$  is sm & prop

Def  $E \in J$  is exceptional if  $\text{Ext}^i(E, E) \cong k$

L  $E$  is exc.  $\Leftrightarrow \Phi_E = D^b(k) \rightarrow J$ ,  $V^\bullet \mapsto V^\bullet \otimes E$  is fully faithful.  
 The image  $\Phi_E(D^b(k)) = \langle E \rangle \subset J$  for exc.  $E$  is admissible.

Pf  $\text{Ext}^i(V^\bullet \otimes E, \mathcal{F}) \cong \text{Ext}^i(V^\bullet, \text{Ext}^i(E, \mathcal{F})) \Rightarrow \Phi_E^!(\mathcal{F}) := \text{Ext}^i(E, \mathcal{F})$  is r.adj.  
 Similarly,  $\Phi_E^*(\mathcal{F}) = \text{Ext}^i(\mathcal{F}, E)^\vee$  is l.adj.  
 Now  $\Phi_E^!(\Phi_E(V^\bullet)) = \text{Ext}^i(E, V^\bullet \otimes E) \cong \text{Ext}^i(E, E) \otimes V^\bullet$ ;  
 this is  $\cong V^\bullet \Leftrightarrow \text{Ext}^i(E, E) \cong k$ . ■

Ex If  $X$  is a Fano variety (sm & proj,  $-K_X$  ample)  
 then any line bundle on  $X$  is exceptional

Pf  $\text{Ext}^i(\mathcal{L}, \mathcal{L}) \cong H^i(X, \mathcal{L}^\vee \otimes \mathcal{L}) \cong H^i(X, \mathcal{O}_X)$   
 $H^0(X, \mathcal{O}_X) = k$  because  $X$  is connected and reduced and proper.  
 $H^i(X, \mathcal{O}_X) = H^i(X, \mathcal{O}_X(-K_X + K_X)) = 0$  by Kodaira vanishing ■

Cor  $X$  Fano  $\Rightarrow D^b(X) = \langle \mathcal{O}_X, \perp \mathcal{O}_X \rangle = \langle \mathcal{O}_X, \perp \mathcal{O}_X \rangle$ .

Def  $E_1, \dots, E_n$  is an exc. collection if  $E_i$  are exc, and  $\text{Ext}^i(E_i, E_j) = 0 \forall i > j$

L  $E_1, \dots, E_n$  is an exc. coll  $\Rightarrow J = \langle E_1, \dots, E_n, \perp \langle E_1, \dots, E_n \rangle \rangle$   
 $\dots = \langle E_1, \dots, E_i, \perp \langle E_1, \dots, E_i \rangle \rangle \cap \langle E_{i+1}, \dots, E_n, \perp \langle E_{i+1}, \dots, E_n \rangle \rangle$   
 $\dots = \langle \langle E_1, \dots, E_n \rangle, \perp \langle E_1, \dots, E_n \rangle \rangle$ .

Ex If  $X$  is Fano and  $-K_X = mH$  ( $m = \text{Fano index}$ )  
 then  $(\mathcal{L}, \mathcal{L}(H), \dots, \mathcal{L}((m-1)H))$  is an exc. coll.  $\forall$  line bundle  $\mathcal{L}$

PS Indeed,  $\text{Ext}^i(\mathcal{L}(iH), \mathcal{L}(jH)) \cong H^i(X, \mathcal{O}_X((j-i)H))$   
 $= H^i(X, \mathcal{O}_X((m-j-i)H + K_X)) \Rightarrow H^{>0} = 0$   
 (Serre duality!)  $= H^{>0}(X, \mathcal{O}_X((i-j)H + K_X))^\vee \Rightarrow H^{<n} = 0$  ■

(Ex)  $X = \mathbb{P}^n \Rightarrow K_X = \mathcal{O}_X(-n-1)H \Rightarrow (\mathcal{O}_X, \mathcal{O}_X(k), \dots, \mathcal{O}_X(n+1))$  is an exc. coll.  
(Beilinson's collection)

(3)

(Def) Exc. coll.  $E_1, \dots, E_k$  is full if  $\mathcal{T} = \langle E_1, \dots, E_k \rangle$   
(i.e. any orthogonal is zero)

(Th) Beilinson's collection is full

(Pf)  $0 \rightarrow \mathcal{O}(-n) \rightarrow \mathcal{O}(-n) \oplus \mathcal{O}(-n) \rightarrow \dots \rightarrow \mathcal{O}(-2) \oplus \mathcal{O}(-2) \rightarrow \mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathcal{O} \rightarrow \mathcal{O}_x \rightarrow 0 \quad \forall x \in \mathbb{P}^n$

(Ex)  $X = \mathbb{Q}^n \Rightarrow K_X = -nH \Rightarrow (\mathcal{O}_X, \mathcal{O}_X(k), \dots, \mathcal{O}_X((n-1)H))$   
This collection is not full.

(Th) [Kapranaev]  $D(\mathbb{Q}^n) = \begin{cases} \langle S, \mathcal{O}_X, \mathcal{O}_X(k), \dots, \mathcal{O}_X((n-1)H) \rangle, & \text{if } n \text{ is odd} \\ \langle S_+, S_-, \mathcal{O}_X, \mathcal{O}_X(k), \dots, \mathcal{O}_X((n-1)H) \rangle & \text{if } n \text{ is even} \end{cases}$

here  $S, S_{\pm}$  are spinor bundles ([Ottaviani])

e.g.  $n=1 \Rightarrow X \cong \mathbb{P}^1 \Rightarrow S \cong \mathcal{O}(-1)$

$n=2 \Rightarrow X \cong \mathbb{P}^1 \times \mathbb{P}^1 \Rightarrow S_+ \cong \mathcal{O}(-1, 0), S_- \cong \mathcal{O}(0, -1)$

$n=3 \Rightarrow X \cong \text{IGr}(2, 4) \Rightarrow S \cong \mathcal{U}$

$n=4 \Rightarrow X \cong \text{Gr}(2, 4) \Rightarrow S_+ \cong \mathcal{U}, S_- \cong \mathcal{U}^{\perp} (= \mathcal{U}/\mathcal{U}^{\vee})$

(Th) [Kapranaev]

$D(\text{Gr}(k, n)) = \langle \sum \alpha_i \mathcal{U}^i \mid n-k \geq \alpha_1 \geq \dots \geq \alpha_n \geq 0 \rangle$

(Conj)  $G$  reductive,  $P \subset G$  parabolic  $\Rightarrow D(G/P)$  has a f.e.c.

(Conj)  $X$  sm proj cellular  $\Rightarrow D(X)$  has a f.e.c.

Relative situation  $f: X \rightarrow S$  proper morphism (and flat)

(Prop) 1) If  $\forall s \in S \quad E|_{X_s}$  is exc.  $\Rightarrow \Phi_E := f^*(-) \otimes E$  is ff & adm.

2) If  $\forall s \in S \quad (E_1|_{X_s}, \dots, E_n|_{X_s})$  is exc.  $\Rightarrow (\Phi_{E_1}(D(S)), \dots, \Phi_{E_n}(D(S)))$  is SO

3) If  $\forall s \in S \quad -|_{X_s}$  is full  $\Rightarrow D(X) = \langle \dots \rangle$ .

(Pf)  $\text{Ext}^i(f^*\mathcal{F} \otimes E, f^*\mathcal{G} \otimes E) \cong \text{Ext}^i(f^*\mathcal{F}, f^*\mathcal{G} \otimes E \otimes E^{\vee})$   
 $\cong \text{Ext}^i(\mathcal{F}, f_*(f^*\mathcal{G} \otimes E \otimes E^{\vee}))$

$f_*(f^*\mathcal{G} \otimes (E \otimes E^{\vee})) \cong \mathcal{G} \otimes f_*(E \otimes E^{\vee})$ , so need  $f_*(E \otimes E^{\vee}) \cong \mathcal{O}_S$

$f_*(E \otimes E^{\vee})|_s \cong H^0(X_s, (E \otimes E^{\vee})|_{X_s}) \cong \text{Ext}^i(E|_{X_s}, E|_{X_s}) \cong k$

$\Rightarrow f_*(E \otimes E^{\vee})$  is a line bundle. Moreover

$f^*\mathcal{O}_S = \mathcal{O}_X \rightarrow E \otimes E^{\vee} \rightarrow \mathcal{O}_S \rightarrow f_*(E \otimes E^{\vee}) \rightarrow f_*(E \otimes E^{\vee}) \cong \mathcal{O}_S$

$\vdots$

■

Ex) Orlov's projective bundle formula

(4)

If  $\mathcal{U}$  is a v.b. of rank  $r$  then

$$\mathcal{D}(\mathbb{P}_S(\mathcal{U})) = \langle f^* \mathcal{D}(S) \otimes \mathcal{O}, f^* \mathcal{D}(S) \otimes \mathcal{O}(H), \dots, f^* \mathcal{D}(S) \otimes \mathcal{O}((r-1)H) \rangle$$

where  $H$  is a relative hyperplane class.

Fourier-Mukai functors

$$\mathcal{K} \in \mathcal{D}(X \times Y) \Rightarrow \boxed{\Phi_{\mathcal{K}}(\mathcal{F}) := p_{Y*}(p_X^* \mathcal{F} \otimes \mathcal{K})}$$

There is a criterion to check that  $\Phi_{\mathcal{K}}$  is fully faithful.  
(Bondal-Orlov + Bridgeland)

Rem One can take any  $Z \xrightarrow{f_X} X$  and  $Z \xrightarrow{f_Y} Y$  and  $\mathcal{K} \in \mathcal{D}(Z)$  and define  $\Phi_{\mathcal{K}}(\mathcal{F}) = f_{Y*}(f_X^* \mathcal{F} \otimes \mathcal{K})$

But  $\exists f: Z \rightarrow X \times Y$  s.t.  $f_X = p_X \circ f, f_Y = p_Y \circ f \Rightarrow \boxed{\Phi_{\mathcal{K}} \cong \Phi_{f_* \mathcal{K}}}$

Ex) Orlov's blowup formula

$$\begin{array}{ccc} X = \text{Bl}_Z(Y) & \xrightarrow{i} & E \cong \mathbb{P}_Z(\mathcal{U}_{Z/Y}) & \text{codim } Z = c \\ \pi \downarrow & & \downarrow p & \\ Y & \longleftarrow & Z & \end{array}$$

$$\mathcal{D}(X) = \langle \pi^* \mathcal{D}(Y), \underbrace{i_* p^* \mathcal{D}(Z), \dots, i_* (p^* \mathcal{D}(Z) \otimes \mathcal{O}_E((2-c)E))}_{c-1 \text{ copy of } \mathcal{D}(Z)} \rangle$$

Conj  $C$  curve of  $g \geq 2, r \geq 2, (d, r) = 1, \text{deg } \mathcal{Z} = d$

$$M = M_C(r, \mathcal{Z}) \quad [ \dim = (r^2 - 1)(g - 1) \text{, Fano} ]$$

$\mathcal{U} =$  universal bundle on  $C \times M$

Then  $\Phi_{\mathcal{U}}: \mathcal{D}(C) \rightarrow \mathcal{D}(M)$  is fully faithful

Known:  $r = g = 2$  [BO]

$r = 2, C$  hyperelliptic [FK]

$r = 2, C$  any [Narasimhan]

$g \geq r + 3, d = 1$  [Belmans-Mukhopadhyay]

$g \geq r + 3, (rd) = 1$  [Lop-Moon].

# Derived categories of Fano varieties II

II.1

## Del Pezzo varieties

Yesterday we discussed exceptional collections which give a way to construct SOD's with simplest components  $\mathcal{D}(\mathbb{C})$

How can one construct more complicated admissible subsets?

Let  $\begin{array}{ccc} & X \times Y & \\ p \swarrow & & \searrow q \\ X & & Y \end{array}$ ,  $\mathcal{E} \in \mathcal{D}(X \times Y) \mapsto \boxed{\begin{array}{l} \Phi_{\mathcal{E}} : \mathcal{D}(X) \rightarrow \mathcal{D}(Y) \\ \mathcal{F} \mapsto q_*(p^*\mathcal{F} \otimes \mathcal{E}) \end{array}}$

(all functors are derived)

A bit more general  $\begin{array}{ccc} & Z & \\ f \swarrow & & \searrow g \\ X & & Y \end{array}$ ,  $\mathcal{E} \in \mathcal{D}(Z) \mapsto \Phi_{\mathcal{E}}(\mathcal{F}) = g_*(f^*\mathcal{F} \otimes \mathcal{E})$

This reduces to the previous:  $\exists \varphi : Z \rightarrow X \times Y$  s.t.  $p \circ \varphi = f, q \circ \varphi = g$

$\Rightarrow \boxed{\Phi_{Z, \mathcal{E}} \cong \Phi_{X \times Y, \varphi_* \mathcal{E}}$  but sometimes ~~the~~ the second is more convenient.

These are Fourier-Mukai functors

An FM functor  $\Phi_{\mathcal{E}}$  has both adjoints:

$\Phi_{\mathcal{E}}^! \cong \Phi_{\mathcal{E} \otimes \omega_X[\dim X]}^{\vee}$ ,  $\Phi_{\mathcal{E}}^* \cong \Phi_{\mathcal{E}^{\vee} \otimes \omega_Y[\dim Y]}$

so one can compute the compositions and check full faithfulness of  $\Phi_{\mathcal{E}}$ , hence admissibility of  $\Phi_{\mathcal{E}}(\mathcal{D}(X)) \subset \mathcal{D}(Y)$

Alternatively, one can use the following result.

Thm (Bondal - Orlov, ~ 00)

$\Phi_{\mathcal{E}}$  is fully faithful  $\iff \forall x_1, x_2 \in X$   $\text{Ext}^i(\mathcal{O}_{x_1}, \mathcal{O}_{x_2}) \xrightarrow{\sim} \text{Ext}^i(\mathcal{E}_{x_1}, \mathcal{E}_{x_2})$

i.e. ①  $\forall x_1 \neq x_2$   $\text{Ext}^i(\mathcal{E}_{x_1}, \mathcal{E}_{x_2}) = 0$   
 ②  $\forall x$   $\text{Ext}^i(\mathcal{E}_x, \mathcal{E}_x) \cong \wedge^i(T_x X[-1])$

ⓔx (Orlov) Let  $Y = \mathbb{P}_X(\mathcal{U})$ ,  $r = \text{rk}(\mathcal{U})$ ,  $\begin{array}{ccc} & Y & \\ p \swarrow & & \searrow q \\ X & & Y \end{array}$ ,  $\mathcal{E} = \mathcal{O}_{Y/X}(i) \Rightarrow \Phi_{\mathcal{E}}$  is f.f.

and  $\mathcal{D}(Y) = \langle \Phi_{\mathcal{O}(i)}(\mathcal{D}(X)), \Phi_{\mathcal{O}(i+1)}(\mathcal{D}(X)), \dots, \Phi_{\mathcal{O}(i+r-1)}(\mathcal{D}(X)) \rangle$

ⓔx (Orlov) Let  $Y = \text{Bl}_Z(X)$ ,  $E = \mathbb{P}_Z(\mathcal{W})$ ,  $c = \text{codim } Z$ ,  $\begin{array}{ccc} & Y & \\ \pi \swarrow & & \searrow \rho \\ X & & Y \end{array}$   $\begin{array}{ccc} & E & \\ p \swarrow & & \searrow i \\ Z & & Y \end{array} \Rightarrow$  Projective bundle formula

$\mathcal{D}(Y) = \langle \Phi_{\mathcal{O}_Y}(\mathcal{D}(X)), \Phi_{\mathcal{O}_E}(\mathcal{D}(Z)), \dots, \Phi_{\mathcal{O}_E(c-2)}(\mathcal{D}(Z)) \rangle$

"  $\pi^*(\mathcal{D}(X))$    
Blowup formula

What can we say about derived categories of Fano varieties?

Let us go from small dimensions up

①  $\dim = 1 \Rightarrow X \cong \mathbb{P}^1 \Rightarrow \mathcal{D}(X) = \langle \mathcal{O}, \mathcal{O}(1) \rangle$ .

②  $\dim = 2 \Rightarrow X$  - del Pezzo surface

- 10 types
  - $X = \mathbb{P}^2 \Rightarrow \mathcal{D}(X) = \langle \mathcal{O}, \mathcal{O}(1), \mathcal{O}(2) \rangle$
  - $X = \mathbb{Q}^2 \cong \mathbb{P}^1 \times \mathbb{P}^1 \Rightarrow \mathcal{D}(X) = \langle \mathcal{S}_+, \mathcal{S}_-, \mathcal{O}, \mathcal{O}(1) \rangle$
  - $X = \mathbb{P}^2_{z_1, \dots, z_r} \Rightarrow \mathcal{D}(X) = \langle \mathcal{O}, \mathcal{O}(1), \mathcal{O}(2), \mathcal{O}_{E_1}, \dots, \mathcal{O}_{E_r} \rangle$ ,  $1 \leq r \leq 8$ ,  $z_i$  in general position

What about  $\dim = 3$ ? Fano 3-folds have been classified [Fano-Iskovskikh-Mori-Mukai]  
 Altogether, there are 105 deformation families, see [Fanoography.info](#)

So, it is hard (and doesn't make much sense) to discuss all of them, so we will concentrate on some.

~~Def~~ // A del Pezzo 3-fold is a Fano 3-fold such that  $-K_X = 2H$  for some

Def The Fano index of  $X$  is the maximal  $m \geq 1$  such that  $-K_X = mH$ ,  $H$  ample.

Thm (Kobayashi-Oelbali)  $m(X) \leq \dim(X) + 1$ , moreover

- $m(X) = \dim(X) + 1 \Rightarrow X \cong \mathbb{P}^4$
- $m(X) = \dim(X) \Rightarrow X \cong \mathbb{Q}^4$

In both cases we know that  $\mathcal{D}(X)$  has a f.r.e.

So, in  $\dim = 3$  we are left with 2 cases: Rem  $X \cap H = \text{del Pezzo surface of deg } d$

- $m(X) = 2 = \text{del Pezzo 3-folds}$
- $m(X) = 1 = \text{prime Fano 3-folds and more.}$

From classification: If  $-K_X = 2H$ , define  $d(Y) := H^3$   
 Then  $1 \leq d \leq 7$  with 1 deformation family of each degree (except 6)  
 and 2 deformation families of deg 6.

The easiest is  $Y_3 \subset \mathbb{P}^4 = \text{cube 3-fold}$   
 and  $Y_4 \subset \mathbb{P}^5 = \text{intersection of two quadrics}$

Then  $Y_5 = \text{Gr}(2,5) \cap \mathbb{P}^6$

$$Y_6' = \text{Fl}(1,2,3) \cong (\mathbb{P}^2 \times \mathbb{P}^2) \cap \mathbb{P}^7$$

$$Y_6'' = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$$

$$Y_7 = \text{Bl}_{\text{pt}}(\mathbb{P}^3)$$

and  $(Y_2 \xrightarrow{2:1} \mathbb{P}^3 \hookrightarrow \mathbb{Z}_4) = \text{quartic in } \mathbb{P}(1,1,1,1,2)$

$Y_{\perp} = \text{sextic in } \mathbb{P}(1,1,1,2,3)$

III.3

For any  $Y = Y_d$  we have  $\mathcal{D}(Y_d) = \langle \mathcal{B}_{Y_d}, \mathcal{O}_Y, \mathcal{O}_Y(H) \rangle$

We will say that  $\mathcal{B}_{Y_d}$  is the nontrivial part of  $\mathcal{D}(Y_d)$

What can we say about it?

$d=4$  Theorem (Bondal-Orlov)  $\mathcal{B}_{Y_4} \cong \mathcal{D}(C_2)$

Sketch of proof

Need a Fourier-Mukai functor  $\mathcal{D}(C_2) \rightarrow \mathcal{D}(Y_d)$ ,  
so need a family of bundles on  $Y_d$  parameterized by  $C_2$ .

Recall  $Y = Q_1 \cap Q_2 \subset \mathbb{P}^5 \Rightarrow$  pencil of quadrics  $\{Q_\lambda\}_{\lambda \in \mathbb{P}^1}$ .  
Among them 6 quadratic cones, other smooth.

- For each smooth  $Q_\lambda$  we have  $\mathcal{S}_{\lambda,+} |_{Y_\lambda}, \mathcal{S}_{\lambda,-} |_{Y_\lambda}$  -  
two vector bundles of rank 2 on  $Y_\lambda$
- For each singular  $Q_\lambda = C(\bar{Q}_\lambda)$  we have  $\bar{\mathcal{S}}_\lambda |_{Y_\lambda}$  -  
one vector bundle of rank 2

Together they form a family of rank 2 bundles on  $Y$   
parameterized by a double cover of  $\mathbb{P}^1$  branched at 6 pts,  
i.e. by a (hyperelliptic) curve  $C$  of genus 2,  
i.e. a rank 2 bundle  $\mathcal{E}$  on  $C \times Y$

One can prove (e.g. by BCO-criterion) that  $\mathcal{E}$  is f.f.,  
it lands in  $\mathcal{B}_{Y_4}$ , and is essentially surjective.  $\square$

Generalizations •  $\mathcal{D}(Q_1 \cap Q_2) = \langle \mathcal{D}(\Gamma), \mathcal{O}, \mathcal{O}(1), \dots, \mathcal{O}(n-2) \rangle$

where  $\Gamma$  is a hyperelliptic curve  
or a root stack on  $\mathbb{P}^1$

- $\mathcal{D}(Q_1 \cap Q_2 \cap \dots \cap Q_k)$  : HPD for double Veronese
- $\mathcal{D}(M_C(2,L))$  - the most fascinating subject,  
see [Tevelev-Torres]

## Geometric consequences

II.4

$$\textcircled{1} \quad F_1(Y) \cong \text{Jac}(C)$$

$$\textcircled{\text{Pf}} \quad 0 \rightarrow \mathcal{I}_L \rightarrow \mathcal{O}_Y \rightarrow \mathcal{O}_L \rightarrow 0, \quad \mathcal{I}_L \in \mathcal{B}_Y$$

and under the equivalence  $\mathcal{D}(C) \cong \mathcal{B}_Y$

they correspond to line bundles (of degree 0) on  $C$ .

$$\textcircled{2} \quad F_2(Y) \cong \mathbb{P}_C^3$$

$$\textcircled{\text{Pf}} \quad \text{Ext}^1(\mathcal{I}_C, \mathcal{O}_Y(-1)) \cong C \Rightarrow 0 \rightarrow \mathcal{O}_Y(-1) \rightarrow \mathcal{F}_C \rightarrow \mathcal{I}_C \rightarrow 0$$

$\mathcal{F}_C \in \mathcal{B}_Y$ ; in fact  $\mathcal{F}_C \cong \mathcal{O}_Z(x)$ ,  $x \in C$ .

Thus  $F_2(Y) = \{ (x, \varphi) \mid \varphi \in \text{Hom}(\mathcal{O}_Y(-1), \mathcal{O}_Z(x)) \cong C^4 \}$   
up to rescaling

Exer Describe  $F_3(Y)$  geometrically and categorically

$$\textcircled{d=5} \quad \mathcal{D}(Y_5) = \langle u, u^\perp, \mathcal{O}, \mathcal{O}(1) \rangle$$

Thm (Orlov) hence  $\mathcal{B}_Y = \langle u, u^\perp \rangle \cong \mathcal{D}(\bullet \xrightarrow{\cong} \bullet)$

## Geometric consequences

$$\textcircled{1} \quad F_1(Y) \cong \mathbb{P}^2 : 0 \rightarrow u \rightarrow u^\perp \rightarrow \mathcal{I}_L \rightarrow 0$$

$$\textcircled{2} \quad F_2(Y) \cong \mathbb{P}^4 : 0 \rightarrow \mathcal{O}_Y(-1) \rightarrow \mathcal{F}_C \rightarrow \mathcal{I}_C \rightarrow 0,$$

$$\mathcal{F}_C \cong u \Rightarrow F_2(Y) \cong \mathbb{P}(\text{Hom}(\mathcal{O}(-1), u)) \cong \mathbb{P}^4.$$

Exer Describe  $F_3(Y)$  ...

$\textcircled{d \geq 6}$   $\mathcal{B}_Y$  has a full exc. collection

Exerci Prove this and describe  $F_1, F_2, F_3$

$\textcircled{d \leq 3}$   $\mathcal{B}_Y$  is a fractional Calabi-Yau category,  
(probably indecomposable)

$$\mathcal{S}_{\mathcal{B}_{Y_3}}^3 \cong [5] \quad \text{Sdim} = 5/3$$

$$\mathcal{S}_{\mathcal{B}_{Y_2}}^2 \cong [4] \quad (\text{but } \mathcal{S} \not\cong [2]) \quad \text{Sdim} = 2 (= 6/3)$$

$$\mathcal{S}_{\mathcal{B}_{Y_1}}^3 \cong [7] \quad \text{Sdim} = 7/3$$



# Derived categories of Fano varieties III

III.1

Yesterday we discussed  $Y_4 = Q_1 \cap Q_2 \subset \mathbb{P}^5$ :

$$D(Y_4) = \langle D(\mathbb{P}^2), \mathcal{O}, \mathcal{O}(H) \rangle \quad (\text{in particular, } \mathcal{B}_{Y_4} \cong D(\mathbb{P}^2))$$

$$F_1(Y) = \text{Jac}(\mathbb{P}^2) : I_L \in \mathcal{B}_Y \iff \text{line bundles}$$

$$F_2(Y) \xrightarrow{\mathbb{P}^3} \Gamma_2 \quad 0 \rightarrow \mathcal{O}_Y(-1) \rightarrow F_C \rightarrow I_C \rightarrow 0, \quad F_C \in \mathcal{B}_Y \iff \mathcal{O}_X.$$

$$F_3(Y) \xrightarrow{\text{Gr}(2,4)} \text{Jac}(\mathbb{P}^2)$$

What about other types of del Pezzo 3-folds

$(d=5)$   $Y_5 = \text{Gr}(2,5) \cap \mathbb{P}^6 \cong$

Thm (Orlov)  $D(Y) = \langle \mathcal{U}, \mathcal{U}^\perp, \mathcal{O}, \mathcal{O}(H) \rangle$

In particular,  $\mathcal{B}_Y \cong \langle \mathcal{U}, \mathcal{U}^\perp \rangle$ .

Moreover,  $\text{Ext}^i(\mathcal{U}, \mathcal{U}^\perp) \cong \mathbb{C}^3$ , so  $\boxed{\mathcal{B}_Y \cong D(\circ \rightrightarrows \circ)}$

$(Pf)$  HPD for  $\text{Gr}(2,5)$  gives

$$D(Y) = \langle \mathcal{U}, \mathcal{O}, \mathcal{U}^\perp, \mathcal{O}(H) \rangle \Rightarrow D(Y) = \langle \mathcal{U}, \mathcal{H}_0(\mathcal{U}^\vee), \mathcal{O}, \mathcal{O}(H) \rangle$$

$\mathcal{U}^\perp$

Geometric consequences

①  $F_1(Y) \cong \mathbb{P}^2 : I_L \in \mathcal{B}_Y, \quad 0 \rightarrow \mathcal{U} \rightarrow \mathcal{U}^\perp \rightarrow I_L \rightarrow 0$   
 $\Rightarrow F_1(Y) \cong \mathbb{P}(\text{Hom}(\mathcal{U}, \mathcal{U}^\perp)) \cong \mathbb{P}^2$

②  $F_2(Y) \cong \mathbb{P}^4 : 0 \rightarrow \mathcal{O}(-1) \rightarrow F_C \rightarrow I_C \rightarrow 0, \quad F_C \in \mathcal{B}_Y,$   
 $F_C \cong \mathcal{U} \Rightarrow F_2(Y) \cong \mathbb{P}(\text{Hom}(\mathcal{O}(-1), \mathcal{U})) \cong \mathbb{P}^4.$

$(Exer)$  Describe  $F_3(Y)$

$(d \geq 6)$   $Y_6' = \text{Fl}(1,2;3) \quad Y_6'' = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1, \quad Y_7 = \text{Bl}_2 \mathbb{P}^3$

$(Exer)$  • Prove that  $\mathcal{B}_Y$  is generated by  $2g+2$  exc. objects.

• Describe  $F_1(Y), F_2(Y)$  geometrically and in terms of these exc. collections

$(d \leq 3)$   $Y_3 \subset \mathbb{P}^4 ; Y_2 \subset \textcircled{4} \mathbb{P}(1,1,1,2) ; Y_1 \subset \textcircled{6} \mathbb{P}(1,1,1,2,3)$

Thm If  $Y \subset \mathbb{P}(w_0, w_1, \dots, w_n)$  then (set  $w = \sum w_i, c = \text{gcd}(d, w)$ )

$$\mathcal{B}_{B_Y} \cong \left[ \frac{(n+1)d - 2w}{c} \right] \Rightarrow \text{Sdim} = n+1 - 2 \frac{w}{d} = \begin{cases} 5/3 & \text{for } Y_3 \\ 4/2 & \text{for } Y_2 \\ 7/3 & \text{for } Y_1 \end{cases}$$

Not much can be said aside of this.

$(Exer)$   $F_2(Y_2) \xrightarrow{\mathbb{P}^2} F_1(Y_3)$

# Prime Fano 3-folds

(III.2)

Def  $X$  is a prime Fano variety if  $\text{Pic}(X) = \mathbb{Z}K_X$

Classification:  $(-K_X)^3 = 2g(X) - 2 \stackrel{\circ}{\leftrightarrow}$  anticanonical degree  
 $g(X) \leftarrow$  genus

- $2 \leq g(X) \leq 12$ ,  $g(X) \neq 11$
- $g(X) \geq 4 \Rightarrow -K_X$  is very ample,  $h^0 = g + 2$
- $g(X) \geq 5 \Rightarrow X \subset \mathbb{P}^{g+1}$  is an intersection of quadrics  
 $g(X) \leq 5 \Rightarrow$  complete intersection in a weighted projective space

Mukai Let  $g(X) \geq 4$ ; assume  $g = r \cdot s$  ( $r, s \geq 2$ )

Then there is a globally generated stable vec. bun.  $\mathcal{E}$  on  $X$  with  $r(\mathcal{E}) = r$ ,  $c_1(\mathcal{E}) = -K_X$ ,  $h^0(\mathcal{E}) = r + s$ .

Moreover, if  $g(X) \geq 5$ , such  $\mathcal{E}$  is unique and exceptional

and the pair  $(\mathcal{O}_X, \mathcal{E})$  is exceptional  
 It defines  $X \rightarrow \text{Gr}(r, r+s)$

Explicitly ①  $g = 4 = 2 \cdot 2 \Rightarrow X \rightarrow \text{Gr}(2, 4) = \mathbb{Q}^4$

- either  $X = \text{Gr}(2, 4) \cap \{\text{cubic}\}$
- or  $X = \mathbb{C}\mathbb{Q}^3 \cap \{\text{cubic}\}$

~~②  $g = 5 = 5 \cdot 1$~~

②  $g = 6 = 2 \cdot 3 \Rightarrow X \rightarrow \text{Gr}(2, 5)$

- either  $X = \text{Gr}(2, 5) \cap \mathbb{P}^7 \cap \mathbb{Q}$  ordinary GM . 3-fold
- or  $X = \mathbb{C}(\text{Gr}(2, 5) \cap \mathbb{P}^6) \cap \mathbb{Q}$  special

③  $g = 8 = 2 \cdot 4 \Rightarrow X \rightarrow \text{Gr}(2, 6)$

$X = \text{Gr}(2, 6) \cap \mathbb{P}^8$

④  $g = 9 = 3 \cdot 3 \Rightarrow X \rightarrow \text{Gr}(3, 6)$

$X = \mathbb{L}\text{Gr}(3, 6) \cap \mathbb{P}^{10}$

⑤  $g = 10 = 2 \cdot 5 \Rightarrow X \rightarrow \text{Gr}(2, 7)$

$X = G_2\text{Gr}(2, 7) \cap \mathbb{P}^{14}$

⑥  $g = 12 = 2 \cdot 6 = 3 \cdot 4 \Rightarrow X \rightarrow \text{Gr}(2, 8),$   
 $X \rightarrow \text{Gr}(3, 7)$

$X = I_3\text{Gr}(3, 7)$

⑦  $g = 7 \Rightarrow$  replace  $-K_X$  by  $-2K_X$ :  $(-2K_X)^3 = 48$   
 $\Rightarrow 2g' - 2 = 48 \Rightarrow g' = 25 = 5 \cdot 5$   
 $\Rightarrow$  super-Mukai bundle,  $X \rightarrow \text{Gr}(5, 10)$ ,  
 $X = \mathbb{O}\text{Gr}_4(5, 10) \cap \mathbb{P}^8$ .

What can we say about derived categories?

III.3

Whenever there is a Mukai bundle ( $g \in \{4, 6, 7, 8, 9, 10, 12\}$ )

we have  $D(X) = \langle \mathcal{A}_X, \mathcal{O}_X, \mathcal{U}^\vee \rangle$

What can we say about  $\mathcal{A}_X$ ?  $\mathcal{U}^\vee$  - the Mukai bundle

$g = 9$   $X = \text{LGr}(3, 6) \cap \mathbb{P}^{10}$

$\text{LGr}(3, 6) \subset \mathbb{P}^{13}$  ,  $\mathbb{P}^{10} \subset \mathbb{P}^{13}$

quartic hypersurface =  $[\text{LGr}(3, 6)]^\vee \subset \mathbb{P}^{13}$

$\mathbb{P}^2 \subset \mathbb{P}^{13}$

$\Rightarrow \Gamma_X := [\text{LGr}(3, 6)]^\vee \cap \mathbb{P}^2 = \text{plane quartic curve, smooth!}$   $g(\Gamma_X) = 3$

[Note that the construction of  $\Gamma_X$  is similar to the construction of  $C_2$  from  $\Upsilon_4$ .]

Homological projective duality:  $\mathcal{A}_X \cong D(\Gamma_X)$   
 || for  $\text{LGr}(3, 6)$  ||

Geometric consequences

•  $F_1(X)$  is bad (curve of large genus) we skip it.

•  $F_2(X) \xrightarrow{\mathbb{P}^1} \Gamma_X$

•  $F_3(X) \cong \text{Jac}(\Gamma_X)$   $D(\Gamma_X)$

Pf Very similar to  $\Upsilon_4$ :  $D(X) = \langle \mathcal{A}_X, \mathcal{U}, \mathcal{O} \rangle$ , s''

①  $0 \rightarrow \mathcal{F}_{C_2} \rightarrow \mathcal{U} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_{C_2} \rightarrow 0$

$\mathcal{F}_{C_2} \in \mathcal{A}_X \iff \mathcal{O}_X \in \Gamma_X \implies F_2(X) \xrightarrow{\varphi} \Gamma_X$

$\varphi^{-1}(y) = \mathbb{P}(\text{Hom}(\mathcal{E}_y, \mathcal{U})) \cong \mathbb{P}^1$   
 $\cong \mathbb{C}^2$

②  $\mathcal{I}_{C_3} \in \mathcal{A}_X \iff \text{line bundle}$

$\implies F_3(X) \cong \text{Jac}(\Gamma_X)$

Rem In this case I don't know any argument for this without using derived cats.

$$g=10 \quad X = G_2 \text{Gr}(2,7) \cap \mathbb{P}^{11}$$

III.4

$$G_2 \text{Gr}(2,7) \subset \mathbb{P}^{13} \quad \mathbb{P}^{11} \subset \mathbb{P}^{13}$$

$$[G_2 \text{Gr}(2,7)]^\vee \subset \check{\mathbb{P}}^{13} \quad \mathbb{P}^1 \subset \check{\mathbb{P}}^{13}$$

$$\text{sextic "hypersurface"} \quad \mathbb{P}^1 \cap [G_2 \text{Gr}(2,7)]^\vee = 6 \text{ points}$$

$$\leadsto \Gamma_X \xrightarrow{2:1} \mathbb{P}^1 \text{ branched at these 6 pts.}$$

$$g(\Gamma_X) = 2$$

HPD for  $G_2 \text{Gr}(2,7)$  :  $\mathcal{A}_X \cong \mathcal{D}(\Gamma_X)$

Geometric consequences

- $F_1(X)$  is hard
- $F_2(X) \cong \text{Jac}(\Gamma_X)$
- $F_3(X) \xrightarrow{\mathbb{P}^2} \Gamma_X$

(Pf) ①  $\mathcal{I}_{C_2} \in \mathcal{A}_X \leftrightarrow$  line bundle  $\Rightarrow F_2(X) \cong \text{Jac}(\Gamma_X)$

②  $0 \rightarrow \mathcal{U} \rightarrow \mathcal{F}_{C_3} \rightarrow \mathcal{I}_{C_3} \rightarrow 0 \quad \mathcal{F}_{C_3} \in \mathcal{A}_X \leftrightarrow \mathcal{O}_Y$

$\text{Hom}(\mathcal{U}, \mathcal{F}_{C_3}) \cong \mathbb{C}^3 \Rightarrow \mathbb{P}^2\text{-bundle.}$

$$g=7 \quad X = O\text{Gr}_+(5,10) \cap \mathbb{P}^8$$

$$O\text{Gr}_+(5,10) \subset \mathbb{P}^{15}, \quad \mathbb{P}^8 \subset \mathbb{P}^{15}$$

$$O\text{Gr}_-(5,10) \subset \check{\mathbb{P}}^{15} \quad \mathbb{P}^6 \subset \check{\mathbb{P}}^{15}$$

$$\leadsto \Gamma_X = O\text{Gr}_-(5,10) \cap \mathbb{P}^6, \quad g(\Gamma_X) = 7$$

HPD for  $O\text{Gr}_+(5,10)$  :  $\mathcal{A}_X \cong \mathcal{D}(\Gamma_X)$

Consequence :  $F_2(X) \cong S^2 \Gamma_X$

$$0 \rightarrow \mathcal{E}_{y_1} \oplus \mathcal{E}_{y_2} \rightarrow \mathcal{U} \rightarrow \mathcal{O} \rightarrow \mathcal{O}_{C_2} \rightarrow 0$$

(Exer\*)  $F_3(X) = ?$

Derived categories of Fano varieties

Prime Fano 3-folds with even g

IV.1

Yesterday: •  $2 \leq g(x) \leq 12, g(x) \neq 11$

•  $g(x) = r \cdot s \Rightarrow \mathcal{D}(x) = \langle \mathcal{A}_x, \mathcal{O}_x, \mathcal{E}_r \rangle$

if  $g(x) \geq 6$   
or  $g(x) = 4$  and general

• Three cases where  $\mathcal{A}_x \cong \mathcal{D}(\Gamma_x)$ :

- $g(x) = 10 \Rightarrow g(\Gamma_x) = 2$
- $g(x) = 9 \Rightarrow g(\Gamma_x) = 3$
- $g(x) = 7 (\Rightarrow g' = 25) \Rightarrow g(\Gamma_x) = 7$ .

Rem In all these cases  
 $X$  is rational and  
 $\mathcal{D}(x) \cong \text{Jac}(\Gamma_x)$

What about the remaining cases ( $g \in \{4, 6, 8, 10, 12\}$ ),  
i.e., the cases of even genus  $\Rightarrow \mathcal{E}_2$

$g=12$  In this case we have several Mukai bundles.

Thm  $\mathcal{D}(x) = \langle \mathcal{O}_x, \mathcal{E}_4, \mathcal{E}_3, \mathcal{E}_2 \rangle$

or (simple mutation)

$\mathcal{D}(x) = \langle \mathcal{E}_3^\vee, \mathcal{E}_4^\vee, \mathcal{O}_x, \mathcal{E}_2 \rangle$   
" " " "  
 $\mathcal{U}_3 \mathcal{U}_3^\perp$

$\text{Ext}^0(\mathcal{U}_3, \mathcal{U}_3^\perp) = \mathbb{C}^3$

In particular,  $\mathcal{A}_x \cong \mathcal{D}(\bullet \Rightarrow \bullet)$  same quiver as in  $Y_5!$

Rem  $X$  varies in a 6-dim moduli;  $Y$  is unique |  $\mathcal{D}(x) = \mathcal{D}(Y) = 0$

$g=10$  As we already know,  $\mathcal{A}_x \cong \mathcal{D}(\Gamma_x)$ ,  $g(\Gamma_x) = 2$ ,  $X, Y$  rat'l

Same category as  $Y_4!$   $\mathcal{F}_2(x) \cong \mathbb{P}^2 \cong \mathcal{F}_1(Y)$

Rem  $X$  with  $\Gamma_x = \Gamma$  varies in a 7-dim moduli,  $Y$  with  $\Gamma_Y = \Gamma$  is unique

$g=8$   $X = \text{Gr}(2, 6) \cap \mathbb{P}^9$   $\mathcal{F}_2(x) \cong \mathcal{D}(x) \cong \text{Jac}(\Gamma) \cong \mathcal{D}(Y) \cong \mathcal{F}_1(Y)$   
 $X, Y$  rat'l

HPD  $\text{Gr}(2, 6) \subset \mathbb{P}^{14}$   $\mathbb{P}^9 \subset \mathbb{P}^{14}$   
 $[\text{Gr}(2, 6)]^\vee \subset \check{\mathbb{P}}^{14}$   $\mathbb{P}^4 \subset \check{\mathbb{P}}^{14} \Rightarrow Y_x := \text{Pf} \cap \mathbb{P}^4$   
" " " "  
cubic 3-fold, smooth

(Pfaffian) cubic hypersurface Pf

Rem  $X$  with given  $Y_x$  varies in 5-dim moduli  
 $\mathcal{D}(x) \cong \mathcal{D}(Y_x)$ ,  $X \stackrel{\text{bir}}{\sim} Y_x$  nonrat'l

Thm  $\mathcal{A}_x \cong \mathcal{B}_{Y_x}$

To summarize: for  $d \in \{3, 4, 5\}$ ,  $g = 2d + 2 \in \{8, 10, 12\}$

and any prime Fano  $X$  of genus  $g$

$\exists$  unique del Pezzo  $Y_x$  of degree  $d$

such that  $\mathcal{A}_x \cong \mathcal{B}_{Y_x}$  +  $\mathcal{D}(x) \cong \mathcal{D}(Y)$ ,  $X \stackrel{\text{bir}}{\sim} Y$   
 +  $\mathcal{F}_2(x) \cong \mathcal{F}_1(Y)$

Conjecture The same is true for  $d \in \{1, 2\} \Rightarrow g \in \{4, 6\}$

Pro: One can compare the numerical invariants:

IV.2

$$Ko(A_X)_{num} := Ko(A_X) / Ker(\chi) + \chi$$

$$\cong \mathbb{Z}^2, \begin{pmatrix} 1-g/2 & -g/2 \\ 3-g & 1-g \end{pmatrix}$$

and  $Ko(B_Y)_{num}, \chi \cong \mathbb{Z}^2, \begin{pmatrix} -1 & -1 \\ 1-d & -d \end{pmatrix}$

Exer  $\exists$  an isomorphism  $Ko(A_X)_{num} \cong Ko(B_Y)_{num}$  compatible with the Euler forms

Contra: If  $d=1$  and  $g=4$  then  $h^{1,2}(Y)=21$   $h^{1,2}(X)=20$

i.e.  $\mathcal{J}(Y) \not\cong \mathcal{J}(X)$ ,  $HH_0(B_Y) \cong HH_0(A_X) \Rightarrow B_Y \not\cong A_X$

Need a correction in this case

In the case  $d=2$  and  $g=6$  it is also false.

- [Bernardara-Tabuada] For general  $X \not\cong Y$  with  $A_X \cong B_Y$ . They proved that  $A_X \cong B_Y \Rightarrow \mathcal{J}(X) \cong \mathcal{J}(Y)$  as ppav, and  $\mathcal{J}(X)$  vary in a 20-dim moduli, while  $\mathcal{J}(Y)$  ——— 19-dim moduli

- [Perry (+ Bayer?) ] For general  $Y \not\cong X$  with  $A_X \cong B_Y$ . Both categories are endowed with a natural involutive autoequivalence  $\tau := \mathcal{S} \circ [-2]$  and one can identify

$$B_Y / \tau \cong D(\text{quartic K3})$$

$$A_X / \tau \cong \begin{cases} D(\text{GM surface}), & X \text{ is special} \\ \text{K3 of GM 4-fold}, & X \text{ is ordinary} \end{cases}$$

$A_X \cong B_Y \Rightarrow A_X / \tau \cong B_Y / \tau \Rightarrow D(S) \cong \text{GMK3}$   
 but the (derived) period map of GM K3 cats can be controlled and it can be checked that the image contains no  $D(S)$  for  $S$  with  $Ar(S) \cong \mathbb{Z}$ .

- [Zhang]  $\forall X$  and  $\forall Y$   $A_X \not\cong B_Y$

Cannot explain yet.

So, in this case we also need a correction

Thm [K + Shinder]

Let  $d=2, g=6$

For any  $Y$  there is a family of (smooth & proper) triang. cats parameterized by a smooth pointed curve  $(B, 0) \xrightarrow{\mathcal{A}} \mathcal{X}$  (i.e. a  $B$ -linear cat  $\mathcal{A}$  which is sm & prop /  $B$ ) s.t.

①  $\mathcal{A}_0 \cong \mathcal{B}_Y$

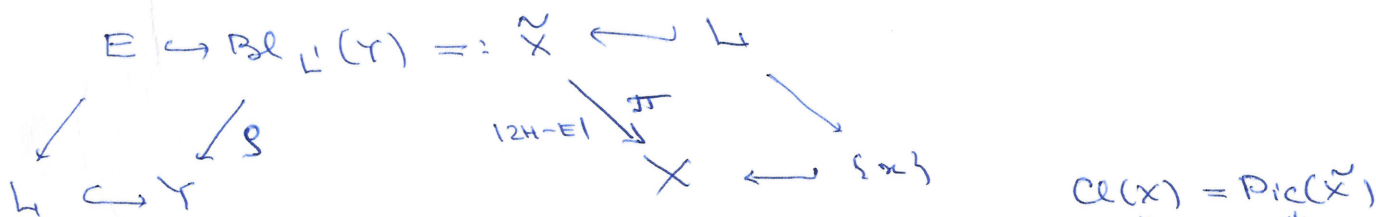
②  $\forall b \neq 0 \quad \mathcal{A}_b \cong \mathcal{A}_{X_b}$  for some  $X_b$  of genus 6.

(Pf.)

Let  $L \subset Y$  be a  $\mathbb{Q}$  (general) line (comes from a bitangent to  $S \subset \mathbb{P}^3$ )

$\Rightarrow \exists L' \subset Y$  s.t.  $|L \cap L'| = 2$

Consider  $B\mathbb{P}_L(Y)$ ; then  $L$  is a  $(-1, -1)$  curve which can be contracted (by the anticanonical map) to an ordinary double point



Then  $X$  is a (maximally non-factorial) Fano 3-fold of genus 6 with ODP  $x \in X$ ,

i.e.  $\exists$  line bundle  $\mathcal{Q}$  on  $\tilde{X}$  s.t.  $\mathcal{Q}|_L \cong \mathcal{O}_L(-1)$  (we take  $\mathcal{Q} := \mathcal{O}_{\tilde{X}}(H)$ )

The pair  $(\mathcal{Q} \otimes I_L, \mathcal{Q})$  is exceptional

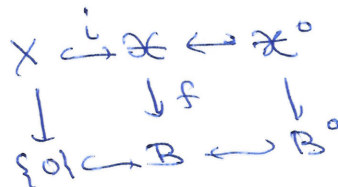
and  $D(\tilde{X}) = \langle \mathcal{Q} \otimes I_L, \mathcal{Q}, \mathcal{O} \rangle$

$\pi_x \downarrow$   
 $D(X) = \langle \mathbb{P}, \mathcal{O} \rangle$

where  $\mathbb{P}$  is a  $\mathbb{C}\mathbb{P}^{\infty}$ -object.

[Namikawa]

$\exists$  smoothing of  $X$ , i.e.  $X \xrightarrow{i} \mathcal{X} \leftarrow \mathcal{X}^0$  s.t.  $\mathcal{X}$  is smooth,  $\mathcal{X}^0$  is smooth /  $B^0$  and  $f$  is proper.



We prove that  $i_*\mathbb{P}$  is exceptional and define

$\mathcal{D} \subset \mathcal{D}(\mathcal{X})$  by  $\mathcal{D}(\mathcal{X}) = \langle i_*\mathbb{P}, \mathcal{O} \rangle$

Then  $\mathcal{D}_0 \cong \mathcal{D}$ ,  $\mathcal{D}_b \cong \mathcal{D}(\mathcal{X}_b)$  for  $b \neq 0$

The last step is to show that  $(\mathcal{O}, \mathcal{E}_2)$  is defined in this family.

IV-4

Consider  $\mathcal{O}_Y \oplus \mathcal{O}_Y \xrightarrow{ev} \mathcal{O}_{L'}(1)$ , define

$0 \rightarrow \mathcal{E}_Y \rightarrow \mathcal{O}_Y(H) \oplus \mathcal{O}_Y(H) \rightarrow \mathcal{O}_{L'}(2) \rightarrow 0$  not locally free!

- Then  $\exists \tilde{\mathcal{E}}$  on  $\mathbb{R}L_{L'}(Y) = \tilde{X}$  s.t.  $\mathcal{E}_Y \cong p_* \tilde{\mathcal{E}}$  vector bundle!
- $\tilde{\mathcal{E}}|_L \cong \mathcal{O}_L \oplus \mathcal{O}_L$
  - $\exists \mathcal{E}_X$  on  $X$  s.t.  $\tilde{\mathcal{E}} \cong \pi^* \mathcal{E}_X$
  - $(\mathcal{O}_X, \mathcal{E}_X)$  is an exceptional pair.

Deformation ~~family~~ theory allows us to extend (after étale base change) this family to  $\mathcal{B}$ .

$\mathcal{E}_{\mathcal{X}}|_{\mathcal{X}_b}$  has the same parameters as  $\mathcal{E}_{\mathcal{X}_b} \Rightarrow$  equal!

Thus  $\mathcal{D} = \langle \mathcal{A}, f^* \mathcal{D}(B) \otimes \mathcal{O}_{\mathcal{X}}, f^* \mathcal{D}(B) \otimes \mathcal{E}_{\mathcal{X}} \rangle$

Then  $\mathcal{A}_b = \mathcal{A}_{\mathcal{X}_b}$  by definition

On the central bundle we have  $\mathcal{D}_0 = \langle \mathcal{A}_0, \mathcal{O}, \mathcal{E} \rangle$

$\Rightarrow \mathcal{D}(\mathbb{R}L_{L'}(Y)) = \langle \underline{I}_L \otimes \underline{\mathcal{Z}}, \underline{\mathcal{Z}}, \mathcal{A}_0, \underline{\mathcal{O}}, \underline{\mathcal{E}} \rangle$

On the other hand

$\mathcal{D}(\mathbb{R}L_{L'}(Y)) = \langle \mathcal{B}_Y, \underline{\mathcal{O}}, \underline{\mathcal{O}}(H), \underline{\mathcal{O}}_E, \underline{\mathcal{O}}_E(H) \rangle$

A sequence of mutations identifies  $\mathcal{A}_0 \cong \mathcal{B}_Y$  ■

Rem Same argument works for  $d \geq 3$ ,  $g = 2d + 2$ .

just replace  $L'$  by a smooth rat'l curve  $C_{d-1}$  of degree  $d-1$  and take  $L$  to be its unique bisecant line.

Moreover, in these cases one can choose  $\mathcal{A}$  in such a way that  $\mathcal{A}_b \cong \mathcal{A}_0$  for all  $b \in \mathcal{B}$

Rem For  $d=1$ , let  $\bar{Y}$  be a 1-nodal dP3-fold,

$Y \rightarrow \bar{Y}$  its small resolution (algebraic space)

and  $C_0$  its exceptional curve. Then the same

construction works and produces a family  $\mathcal{A}/(\mathcal{B}, 0)$

with  $\mathcal{A}_0 \cong \mathcal{B}_Y := \langle \mathcal{O}_Y, \mathcal{O}_Y(H) \rangle^+$  and  $\mathcal{A}_b \cong \mathcal{A}_{\mathcal{X}_b}$

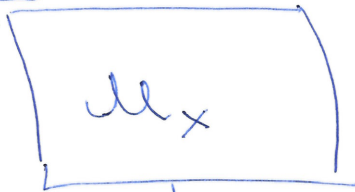
The obtained  $\mathcal{X}_b$  are all general!



Picture with moduli spaces

IV.5

$d \geq 3$



$\dim \text{ fibers} = g - 3 = 2d - 1$

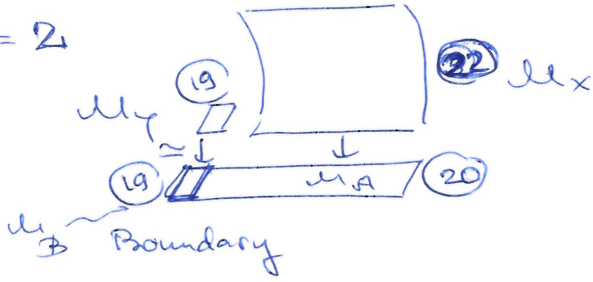


$M_A = M_B$

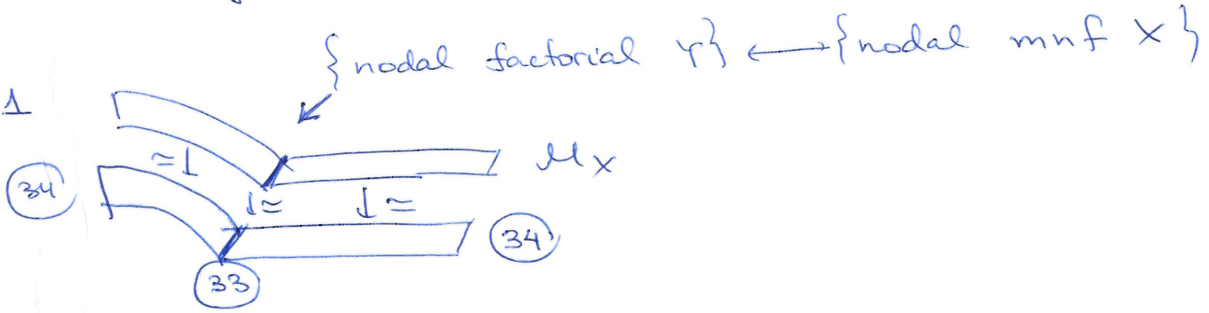


$M_Y$

$d = 2$



$d = 1$



In all cases

$$\mathcal{M}_{(d, C_{d-1})} \subset \partial \mathcal{M}_{X_g}$$

For  $d \geq 3$  this boundary div. is horizontal  
 For  $d \leq 2$  it is vertical

Geometric consequences

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For a family  $\mathcal{X}$  as above

$d \geq 3 \Rightarrow \exists \mathcal{F} \rightarrow B \text{ s.t. } \mathcal{F}_0 \cong F_1(Y), \mathcal{F}_b \cong F_2(\mathcal{X}_b)$

$d = 2 \Rightarrow \exists \mathcal{F} \rightarrow B \text{ s.t. } \mathcal{F}_0 \cong \mathbb{P}^1_{[4]}(F_1(Y)), \mathcal{F}_b \cong F_2(\mathcal{X}_b)$

$d = 1 \Rightarrow \text{something similar but not clear.}$

# Derived categories of Fano varieties

(V.1)

So far, we talked about Fano 3-folds (mostly).  
What about higher dimensions?

Starting from dimension 4, no classification is available, only some lists... But it is clear that a classification must be really long!

dim	1	2	3	4
# Fano	1	10	105	?
# Fano with $g=1$	1	1	17	?

## Higher dimensional ~~Atiyah~~ del Pezzo varieties (coind = 2)

$d=7 \Rightarrow n \leq 3$

$d=6 \Rightarrow n \leq 4$  ;  $\mathbb{P}^2 \times \mathbb{P}^2$ , f.e.c. # 9 ; in  $\mathbb{B}_Y$  # 6.

$d=5 \Rightarrow n \leq 6$  ;  $Gr(2,5) \cap \mathbb{P}^{n+3}$  f.e.c. #  $2n-2$  ; in  $\mathbb{B}_Y$  #  $n-1$

$d=4 \Rightarrow n \in \mathbb{Z} \Rightarrow \begin{cases} \mathbb{B}_Y \cong \mathbb{P}^1(C_{\frac{n+1}{2}}), & n \text{ odd.} \\ \text{f.f.e.c. } \# n+5, & n \text{ even} \end{cases}$

$d=3 \Rightarrow n \in \mathbb{Z}$  ;  $S^3 \cong [n+2]$  (Sdim =  $\frac{n+2}{3}$ )

$d=3$   
 $n=4$   
**K3**

$d=2 \Rightarrow n \in \mathbb{Z}$  ;  $S^2 \cong \tau[n+1]$ ,  $n$  even  
 $S \cong \tau[2k]$ ,  $n=4k-1$  (Sdim =  $\frac{n+1}{2}$ )  
 $S \cong [2k+1]$ ,  $n=4k+1$

$d=1 \Rightarrow n \in \mathbb{Z}$  ; Sdim =  $\frac{2n+1}{3}$

infinite series

## Higher dimensional Mukai varieties (coind = 3)

$g=12 \Rightarrow n \leq 3$

$g=10 \Rightarrow n \leq 5$

$g=9 \Rightarrow n \leq 6$

$g=8 \Rightarrow n \leq 8$

$g=7 \Rightarrow n \leq 10$

$g=6 \Rightarrow n \leq 6$

$D(x) = \langle A_x, \mathcal{O}_x, \mathcal{E}_x, \mathcal{O}_x(1), \mathcal{E}_x(1), \dots, \mathcal{O}_x(n-3), \mathcal{E}_x(n-3) \rangle$

$n=4 \Rightarrow A_x = \text{fec} \# 2$

$n=5 \Rightarrow A_x = 0$

$n=4 \Rightarrow A_x = \text{fec} \# 4$

$n=5,6 \Rightarrow A_x = 0$

$n=4 \Rightarrow A_x = \text{fec} \# 8$

$n=5 \Rightarrow A_x \cong D(\Gamma_2)$

$n=6 \Rightarrow A_x = \text{fec} \# 4$

$n=7 \Rightarrow A_x = \text{fec} \# 2$

$n=8 \Rightarrow A_x = \text{fec} \# 3$

$n=4 \Rightarrow A_x = \text{fec} \# 12$

$n \geq 5 \Rightarrow A_x = 0$

$\Rightarrow \begin{cases} n \in \{3,5\} \Rightarrow A_x \text{ is of Enriques type} \\ n \in \{4,6\} \Rightarrow A_x \text{ is of K3 type} \end{cases}$

Constant in the fibers of the period maps.

The most interesting story that happens in dim 4,  
is when  $B_X$  or  $A_X$  is of K3 type,

(V.2)

(i.e.  $S \cong [2]$ ,  $HH_*(X) = \mathbb{C}[-2] \oplus \mathbb{C}^{22} \oplus \mathbb{C}[2]$ )

There are a few examples:

- (a) Cubic 4-folds
- (b) GM 4-folds and 6-folds
- (c) Verra 4-folds =  $X \xrightarrow{2:1} \mathbb{P}^2 \times \mathbb{P}^2$  branched at (2,2)
- (d) Debarre-Voisin 20-folds =  $Gr(3,10) \cap H$ .

(a) Cubic 4-folds

$$D(X) = \langle A_X, \mathcal{O}_X, \mathcal{O}_X(1), \mathcal{O}_X(2) \rangle$$

There are examples where  $A_X \cong D(S)$ ,  $S: K3$

(1) •  $\mathbb{P}^2 \times \mathbb{P}^2 \subset X \Rightarrow Bl_{\mathbb{P}^2 \times \mathbb{P}^2}(X) \cong Bl_S(\mathbb{P}^2 \times \mathbb{P}^2)$   
 $S = (2,1) + (1,2)$

$\Rightarrow X$  rat'l,  $A_X \cong S$

(2) •  $X$  - Pfaffian, i.e.  $X = [Gr(2,6)]^V \cap \mathbb{P}^5 \subset \mathbb{P}^{14}$   
 $S = Gr(2,6) \cap \mathbb{P}^8$

HPD:  $A_X \cong D(S)$ ;  $X$  rat'l

(3) • Other ~~rat'l~~ rat'l examples by  
 [AHTVA], [RS]; in these case one should  
 also have  $A_X \cong D(S)$ , but no explicit construction  
 of this sort is known

•  $\mathbb{P}^2 \subset X \Rightarrow Bl_{\mathbb{P}^2}(X) \rightarrow \mathbb{P}^2$  - quadric <sup>surface</sup> bundle  
 with degeneration along a sextic curve

$\Rightarrow$  (quadric bundle formula)  $A_X \cong D(S, \beta)$ ,

where  $S \xrightarrow{2:1} \mathbb{P}^2$  ramified over the sextic  
 $\beta \in Br(S)$  - 2-torsion Brauer class

$D(S, \beta)$  = twisted derived category

Among these there are countably many  
 subfamilies with  $\beta = 0$  ( $\Rightarrow A_X \cong D(S)$ ) and rat'l.

•  $X$  nodal at  $x_0 \Rightarrow Bl_{x_0} X \cong Bl_S \mathbb{P}^4$ ,  $S = (2)(\mathbb{P}^1)$

$\Rightarrow A_X \cong D(S) / \langle K \rangle \Rightarrow$  can be included in a family

•  $X$  chordal  $\Rightarrow$  (?) limiting family

Conv  $X$  is rat'l  $\iff A_X \cong D(S)$

(b+c) GM 4-folds : similar story

V.3

• there are exam

$$D(X) = \langle \mathcal{A}_X, \mathcal{O}_X, \mathcal{E}_X, \mathcal{O}_X(1), \mathcal{E}_X(1) \rangle$$

• there are cases where  $\mathcal{A}_X \cong D(S)$  and  $X$  rat'l

• there are cases where  $\mathcal{A}_X \cong D(S, \beta)$

• in the nodal case  $\mathcal{A}_X \cong \mathcal{A}_{\text{Verma}} \cong D(S_1, \beta_1) \cong D(S_2, \beta_2)$

(because of the two quadric surface bundle structures)

(b) GM sixfolds

The same rationality conjecture

$$D(X) = \langle \mathcal{A}_X, \mathcal{O}_X, \mathcal{E}_X, \dots, \mathcal{O}_X(3), \mathcal{E}_X(3) \rangle$$

The same family of KB cats:

some are  $\cong D(S)$ , some are  $\cong D(S, \beta)$

But all  $X$  are rat'l!

(d) DY 20-folds

Expected the same story, but so far

no example of equivalence  $\mathcal{A}_X \cong D(S)$  or  $D(S, \beta)$  is known (but see [Benedetti-Song] for interesting construction of  $(S, \beta)$  associated with special  $X$ )

Are there other examples :

[Iliev-Mavrel] suggestions  
One new example:  $(\mathbb{P}^3)^3 \cap \mathbb{P}^{62}$

Küchle 4-folds :

(e)  ~~$X = \text{Gr}(5, 10) \hookrightarrow \mathbb{P}^{30}$~~   $X = \text{Gr}(5, 10) \hookrightarrow \Lambda^2 U^V \oplus \Lambda^2 U^V \oplus \mathcal{O}(1)$

(f)  $X = \text{Gr}(5, 8) \hookrightarrow \Lambda^3 U^V \oplus \mathcal{O}(1)$

Thm :  $\text{Gr}(5, 10) \hookrightarrow \Lambda^2 U^V \oplus \Lambda^2 U^V \cong (\mathbb{P}^1)^5$

$\text{Gr}(5, 8) \hookrightarrow \Lambda^3 U^V \cong \text{Bl}_{\nu_2(\mathbb{P}^2)}(\mathbb{P}^5)$

Cor :  $X_e \cong (\mathbb{P}^1)^5 \cap \mathbb{P}^{30} \cong \text{Bl}_S(\mathbb{P}^1)^4$ ,  $\mathcal{A}_X \cong D(S)$

$X_f \cong \text{Bl}_{\nu_2(\mathbb{P}^2)}(X_a) \Rightarrow \mathcal{A}_{X_f} \cong \mathcal{A}_{X_a}$

Rem  $\mathcal{A}_{X_{IM}} \cong D((\mathbb{P}^3 \times \mathbb{P}^3) \cap \mathbb{P}^{11})$

(g) = (c5)  $X = \text{Gr}(3, 7) \hookrightarrow \Lambda^2 U^V \oplus \Lambda^2 U^V \oplus \mathcal{O}(1)$

Fatighenti-Mongardi list (23 cases  $\frac{1}{2}$ , nothing new)