

# Triangulated and Derived Categories in Algebra and Geometry

## Lecture 20

### 0. Recap

$$\text{If } X^\bullet \in \mathcal{C}(\mathcal{A}) \quad \dots \rightarrow X^n \rightarrow X^{n+1} \rightarrow X^{n+2} \rightarrow \dots$$

$$\hookrightarrow \tau_{\leq k} X^\bullet \in \mathcal{C}(\mathcal{A}) \quad \tau_{\leq k} X^\bullet \hookrightarrow X^\bullet \quad (\text{in } \mathcal{C}(\mathcal{A}) \leftarrow \text{abelian})$$

$$\dots \rightarrow X^{k-2} \rightarrow X^{k-1} \rightarrow \text{Ker } d^k \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

*← induced by those in  $X^\bullet$*

$$\begin{array}{ccccccc} & & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ \dots & \rightarrow & X^{k-2} & \rightarrow & X^{k-1} & \rightarrow & X^k & \rightarrow & 0 & \rightarrow & 0 \end{array}$$

Property

$$H^i(\tau_{\leq k} X^\bullet) = \begin{cases} H^i(X^\bullet), & i \leq k, \\ 0, & i > k. \end{cases}$$

Alternatively  $\tau_{\geq k} X^\bullet \in \mathcal{C}(\mathcal{A})$   $X^\bullet \rightarrow \tau_{\geq k} X^\bullet$  (in  $\mathcal{C}(\mathcal{A})$ )

$$\begin{array}{ccccccc} \cdots & \rightarrow & X^{k-1} & \rightarrow & X^k & \rightarrow & X^{k+1} & \rightarrow & \cdots \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & \text{Im } d^{k-1} & \hookrightarrow & X^k & \rightarrow & X^{k+1} & \rightarrow & \cdots \end{array}$$

Property  $H^i(\tau_{\geq k} X^\bullet) = \begin{cases} H^i(X^\bullet), & i \geq k, \\ 0, & i < k. \end{cases}$

In  $\mathcal{C}(\mathcal{A})$ : SES's for all  $k$ :

$$0 \rightarrow \tau_{\leq k} X^\bullet \rightarrow X^\bullet \rightarrow \tau_{\geq k+1} X^\bullet \rightarrow 0$$

These are functors, called the canonical truncations.

Descend on  $K(\mathcal{A})$  (trivial).

Preserve  $qis \Rightarrow$  Descend on  $\mathcal{D}(\mathcal{A})$  (and all its versions).

In  $\mathcal{D}(\mathcal{A})$   $\forall X \in \mathcal{D}(\mathcal{A})$ ,  $k \in \mathbb{Z} \rightsquigarrow \text{dist } \Delta$

$$\tau_{\leq k} X \rightarrow X \rightarrow \tau_{\geq k+1} X \rightarrow \tau_{\leq k} X[1].$$

Side remark: there are other truncations.

Stupid truncation:  $\sigma_{\leq k} X' = (\rightarrow X^{k-2} \rightarrow X^{k-1} \rightarrow X^k \rightarrow 0 \rightarrow \dots)$

Problem

$$H^i(\sigma_{\leq k} X') = \begin{cases} H^i(X'), & i < k, \\ X^k / \text{Im} d^{k-1}, & i = k, \\ 0, & i > k. \end{cases}$$

Exc  $\sigma_{\leq k}$  does not preserve qis's.

t-structures abstract the properties of the canonical truncation

Def  $\mathcal{T} - \Delta$  category, a t-structure is a pair of strictly full (not  $\Delta$ !!!) subcategories

$$(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0}) \text{ s.t.}$$

$$(1) \quad \begin{aligned} \mathcal{T}^{\leq -1} &= \mathcal{T}^{\leq 0}[1] \subset \mathcal{T}^{\leq 0} \\ \mathcal{T}^{\geq 1} &= \mathcal{T}^{\geq 0}[-1] \subset \mathcal{T}^{\geq 0} \end{aligned}$$

$$\begin{aligned} \mathcal{T}^{\leq k} &= \mathcal{T}^{\leq 0}[k] \\ \mathcal{T}^{\geq k} &= \mathcal{T}^{\geq 0}[-k] \end{aligned}$$

$$(2) \quad \text{Hom}(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 1}) = 0$$

$$(3) \quad \forall x \in \mathcal{T} \quad \exists \text{ a dist } \Delta$$

$$\begin{array}{ccccccc} X_{\leq 0} & \rightarrow & X & \rightarrow & X_{\geq 1} & \rightarrow & X_{\leq 0}[1] \\ \uparrow & & & & \uparrow & & \\ \mathcal{T}_{\leq 0} & & & & \mathcal{T}_{\geq 1} & & \end{array}$$

It's non-degenerate if  $\bigcap \mathcal{T}^{\leq k} = \bigcap \mathcal{T}^{\geq k} = 0$ .

Relation with truncations?

Def The standard t-structure on  $\mathcal{D}(\mathcal{A})$  is given by

$$\begin{aligned} \mathcal{D}(\mathcal{A})^{\leq 0} &= \{x^\bullet \mid H^i(x^\bullet) = 0, i > 0\}, & \text{non-degenerate} \\ \mathcal{D}(\mathcal{A})^{\geq 0} &= \{y^\bullet \mid H^i(y^\bullet) = 0, i < 0\}. \end{aligned}$$



# 1. The standard t-structure

Recall  $\mathcal{A} \hookrightarrow \mathcal{C}(\mathcal{A})$

$$A \mapsto \dots \rightarrow 0 \rightarrow 0 \rightarrow A \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

Since preserves gis  $\Rightarrow \mathcal{A} \rightarrow \mathcal{D}(\mathcal{A})$ .

$$\underline{\text{Lm}} \quad \text{Hom}_{\mathcal{D}(\mathcal{A})}(X, Y[t]) \simeq \begin{cases} 0, & t < 0 \\ \text{Ext}_Y^t(X, Y), & t \geq 0, \end{cases}$$

Where  $\text{Ext}_Y^t$  - Yoneda ext!

Reminder  $\text{Ext}_Y^t(X, Y)$  is given by equiv classes of diagrams

$$0 \rightarrow Y \rightarrow Z_{t-1} \rightarrow \dots \rightarrow Z_0 \rightarrow X \rightarrow 0 \sim$$

$\sim$  is generated by

$$\begin{array}{ccccccccccc} 0 & \rightarrow & Y & \rightarrow & Z_{t-1} & \rightarrow & \dots & \rightarrow & Z_0 & \rightarrow & X & \rightarrow & 0 \\ & & \parallel & & \downarrow & & & & \downarrow & & \parallel & & \\ 0 & \rightarrow & Y & \rightarrow & Z'_{t-1} & \rightarrow & \dots & \rightarrow & Z'_0 & \rightarrow & X & \rightarrow & 0 \end{array}$$

We discussed:  $\mathcal{A}$  has enough injectives / projectives

$$\text{Ext}_Y^t(X, Y) \simeq \Sigma \text{Ext}^t(X, Y) \leftarrow \text{derived functor.}$$

$$\text{Put } \text{Ext}_Y^0(X, Y) = \text{Hom}(X, Y).$$

Pf (Lemma)

Case  $t < 0$ :  $X \in \mathcal{D}(\mathcal{A})^{\leq 0}$ ,  $Y[t] \in \mathcal{D}(\mathcal{A})^{\geq -t} \subset \mathcal{D}(\mathcal{A})^{\geq 1}$ .  
 $t$ -structure  $\Rightarrow \text{Hom}(X, Y[t]) = 0$ .

Case  $t > 0$ : take any element from  $\text{Hom}(X, Y[t])$   
 represented by

$$\begin{array}{ccc}
 X & \xrightarrow{f} & Z \xleftarrow{s} Y[t] \\
 & \searrow & \downarrow \swarrow \\
 & & Z_{\geq -t}
 \end{array}$$

← equivalent

May assume that  $Z$  is concentrated in degrees  $-t$  and greater:  
 $0 \rightarrow 0 \rightarrow Z^{-t} \rightarrow Z^{-t+1} \rightarrow \dots$

$$Y[t] \xrightarrow{q^s} Z$$

$$\begin{array}{cccccccc} \dots & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & Y & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & \dots & \text{pass to the cone} \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & & \rightsquigarrow \\ \dots & \rightarrow & 0 & \rightarrow & 0 & \rightarrow & Z^{-t} & \rightarrow & Z^{-t+1} & \rightarrow & 0 & \rightarrow & \dots \end{array}$$

$$\dots \rightarrow 0 \rightarrow Y \rightarrow Z^{-t} \rightarrow Z^{-t+1} \rightarrow \dots \quad \text{truncate from above: } \tau_{\leq 0}$$

$$0 \rightarrow Y \rightarrow Z^{-t} \rightarrow Z^{-t+1} \rightarrow \dots \rightarrow Z^{-1} \rightarrow \ker d^0 \rightarrow 0$$

Gives us an element in  $\text{Ext}_Y^t(\ker d^0, Y)$

$X \rightarrow Z \rightarrow$  gives a morphism in  $\mathcal{A} \quad X \rightarrow \ker d^0$ .

Compose and get an element in  $\text{Ext}_Y^t(X, Y)$ .

In the other direction:

$$0 \rightarrow Y \rightarrow Z^{-t} \rightarrow Z^{-t+1} \rightarrow \dots \rightarrow Z^{-1} \rightarrow X \xrightarrow{z^0} 0$$

$\exists$

$$X \rightarrow (0 \rightarrow Z^{-t} \rightarrow Z^{-t+1} \rightarrow \dots \rightarrow Z^0 \rightarrow 0) \xleftarrow{\sim} Y[t].$$

Need to check that

- 1) the maps are well-def (do not depend on the choice),
- 2) mutually inverse.

Both are left as an exercise.

Case  $t=0$ :

$$X \rightarrow Z^i \xleftarrow{s} Y \quad \text{May assume that } Z^i=0, i < 0!$$

$$\text{Cone}(s) \rightsquigarrow \underbrace{0 \rightarrow Y \rightarrow Z^0 \rightarrow Z^1 \rightarrow \dots}$$

Apply  $\text{Hom}(X, -)$ :

$$0 \rightarrow \text{Hom}(X, Y) \rightarrow \text{Hom}(X, Z^0) \rightarrow \text{Hom}(X, Z^1)$$

$$\text{Moreover, } \mathcal{A} \xrightarrow{\sim} \mathcal{D}^0(\mathcal{A}) = \mathcal{D}(\mathcal{A})^{\leq 0} \wedge \mathcal{D}(\mathcal{A})^{\geq 0}$$

$\uparrow$  complexes with cohomology in 0<sup>th</sup> term only

Enough to check that  $\mathcal{A} \rightarrow \mathcal{D}^0(\mathcal{A})$  essentially  
 surjective.

$$X \xrightarrow{\sim} \tau_{\geq 0} X \xrightarrow{\sim} \tau_{\leq 0} \tau_{\geq 0} X \quad \text{for } X \in \mathcal{D}^0(\mathcal{A})$$

$$\text{Im}^{\circ}(\mathcal{A} \rightarrow \mathcal{D}^0(\mathcal{A})).$$

□

It turns out, if  $(\tau^{\leq 0}, \tau^{\geq 0})$  is a non-degenerate  
 t-structure, then its heart  $\tau^0 = \tau^{\leq 0} \cap \tau^{\geq 0}$  is  
 an abelian category!

We've just seen:  $\mathcal{D}^0(\mathcal{A}) \simeq \mathcal{A}$ .

## 2. Properties of t-structures

Recall that if  $\mathcal{T} = \langle \mathcal{A}, \mathcal{B} \rangle$  - SOD, then

$\mathcal{A} \rightarrow \mathcal{T}$  has a left adjoint,  $\mathcal{B}$  is determined  
 by  $\mathcal{A}$  as  ${}^{\perp}\mathcal{A} = \{ X \in \mathcal{T} \mid \text{Hom}(X, Y) = 0 \ \forall Y \in \mathcal{A} \}$ .

Ln If  $(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0})$  is a t-structure on  $\mathcal{T}$ , then there are functors  $\tau_{\leq t}: \mathcal{T} \rightarrow \mathcal{T}^{\leq t}$  and  $\tau_{\geq t}: \mathcal{T} \rightarrow \mathcal{T}^{\geq t}$ , morphisms of functors

$\tau_{\leq t} \rightarrow \text{id} \rightarrow \tau_{\geq t+1} \rightarrow \tau_{\leq t}^{\geq t}$  s.t.  
 $\forall X \in \mathcal{T}$  the corresp.  $\Delta$  is distinguished.  
 Moreover,  $\tau_{\leq t}$  is right adjoint to  $\tau_{\leq t}^{\geq t} \hookrightarrow \mathcal{T}$ ,  
 $\tau_{\geq t}$  is left adjoint to  $\tau_{\geq t}^{\leq t} \hookrightarrow \mathcal{T}$ .

Pf Enough to construct  $\tau_{\leq 0}$  and  $\tau_{\geq 1}$ , define the rest via shifts. ← after that put  
 $\tau_{\leq t} = \tau_{\leq 0}[-t]$   
 $\tau_{\geq t} = \tau_{\geq 0}[t]$

Let  $X \in \mathcal{T}$ , fix a dist triangle

$$\begin{array}{ccccccc} X_{\leq 0} & \rightarrow & X & \rightarrow & X_{\geq 1} & \rightarrow & X_{\leq 0}[1] \\ \parallel & & & & \parallel & & \\ \tau_{\leq 0}X & & & & \tau_{\geq 1}X & & \end{array}$$

define

Given  $f: X \rightarrow Y$

$$\begin{array}{ccccccc}
 & & X_{\leq 0} & \xrightarrow{u} & X & \xrightarrow{v} & X_{\geq 1} \rightarrow X_{\leq 0} \{1\} \\
 & \swarrow & \downarrow g & & \downarrow f & & \downarrow h \\
 Y_{\geq 1} \{1\} & \rightarrow & Y_{\leq 0} & \xrightarrow{w} & Y & \xrightarrow{z} & Y_{\geq 1} \rightarrow Y_{\leq 0} \{1\}
 \end{array}$$

$\tau_{\geq 2}$   
 $\tau_{\geq 1}$

$$z \circ f \circ u: X_{\leq 0} \rightarrow Y_{\geq 1} \Rightarrow \exists h, g$$

By the lemma long time ago,  $g$  is unique!  
 Same for  $h$ .

$\Rightarrow \tau_{\leq 0}$  &  $\tau_{\geq 1}$  are indeed functors.

By construction they come with  $\tau_{\leq 0} \rightarrow id$   
 &  $id \rightarrow \tau_{\geq 1}$  &  $\tau_{\geq 0} \rightarrow \{1\} \tau_{\leq 0}$ .

Dist  $\Delta \rightarrow$  by construction.

Enough to check that  $\tau_{\leq 0}$  is right adjoint to  $\tau^{\leq 0} \rightarrow \mathcal{T}$ :  $\forall x \in \mathcal{T}, \forall z \in \mathcal{T}^{\leq 0}$

$$\text{Hom}(z, x) \cong \text{Hom}(z, \tau_{\leq 0} x)$$

$$\begin{array}{ccccccc}
 & & \mathcal{Z} & & & & \\
 & \swarrow \text{obj} & \downarrow & \searrow & & & \\
 X_{\geq 1} & \xrightarrow{\cong} & X_{\leq 0} & \rightarrow & X & \rightarrow & X_{\geq 1} \rightarrow X_{\leq 0} \{i\} \\
 & & \swarrow & & & & \\
 & & & & & & 
 \end{array}$$

since  $X_{\geq 1} \in \mathcal{T}^{\geq 1}$

$\mathcal{T}^{\geq 2} \subset \mathcal{T}^{\geq 1} \Rightarrow$  factorization  $\mathcal{Z} \rightarrow X$  is unique!

Same for  $\mathcal{T}^{\geq 1}$ .

$$\begin{array}{ccc}
 & & \nearrow \\
 & \mathcal{Z} & \\
 & \searrow & \\
 & & X_{\leq 0}
 \end{array}$$

□

LEM Let  $\mathcal{T}^{\leq 0} \subset \mathcal{T}$  be a strictly full subcategory such that

- (1)  $\mathcal{T}^{\leq 0} \{i\} \subset \mathcal{T}^{\leq 0}$ ,
- (2)  $\mathcal{T}^{\leq 0}$  is closed under extensions, ← see below
- (3)  $\mathcal{T}^{\leq 0} \hookrightarrow \mathcal{T}$  has a right adjoint.



Then  $(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0})$  is a t-structure, where  
 $\mathcal{T}^{\geq 1} = (\mathcal{T}^{\leq 0})^{\perp}$ .  $(\mathcal{T}^{\geq 0} = (\mathcal{T}^{\leq 0}[1])^{\perp})$ .

Def  $\mathcal{T}' \subset \mathcal{T}$  - full subcategory is closed under extensions if  $\forall$  dist  $X \rightarrow Y \rightarrow Z \rightarrow X[1]$   
if  $X, Z \in \mathcal{T}'$ , then  $Y \in \mathcal{T}'$ .

Problem If  $(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0})$  is a t-structure, then  
 $\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0}$  are closed under extensions.

Pf (Lemma) Define  $\mathcal{T}^{\geq 0}$  as  $(\mathcal{T}^{\leq 0})^{\perp}[1]$ .

Let's check the properties of a t-structure.

(1) trivial (follows from  $\mathcal{T}^{\leq -1} \subset \mathcal{T}^{\leq 0}$ ).

(2)  $\text{Hom}(X, Y) = 0 \quad \forall X \in \mathcal{T}^{\leq 0}, Y \in \mathcal{T}^{\geq 1}$   
trivial by the way we defined it.

(3) dist triangles.  $\iota: \mathcal{T}^{\leq 0} \rightarrow \mathcal{T}$ ,  $\iota'$  - right adjoint.

consider any dist  $\Delta$ :

$$\iota \iota' X \rightarrow X \rightarrow X' \rightarrow \iota' X \quad \{\Delta\}$$

want to check that  $X' \in \mathcal{T}^{\geq 1}$ .

$$X' \in \mathcal{T}^{\geq 1} \iff \text{Hom}(Z, X') = 0 \quad \forall Z \in \mathcal{T}^{\leq 0}.$$

$$\begin{array}{ccccccc} \mathcal{T}^{\leq 0} \ni \iota \iota' X & \xrightarrow{u'} & Z' & \xrightarrow{e \in \mathcal{T}^{\leq 0}} & Z & \xrightarrow{\text{wf}} & \iota' X \quad \{\Delta\} \\ & \parallel & \searrow g' & \downarrow g & \downarrow t & & \parallel \\ & & & & & & \end{array} \quad \begin{array}{l} g\text{-completion to} \\ \text{a morphism} \\ \text{of dist } \Delta\text{'s} \end{array}$$

$$\iota \iota' X \xrightarrow{u} X \xrightarrow{v} X' \xrightarrow{w} \iota' X \quad \{\Delta\}$$

$\iota \iota' X \ni Z \in \mathcal{T}^{\leq 0} \Rightarrow Z' \in \mathcal{T}^{\leq 0}$  (closed under ext's)

$Z' \xrightarrow{g} X$  factors uniquely through  $\iota \iota' X \rightarrow X$   
 $g' \circ u' = \text{id}' \Rightarrow$  the triangle above splits  $\Rightarrow$   
 $\Rightarrow Z \xrightarrow{\text{wf}} \iota' X \quad \{\Delta\}$  is 0 (exc problem)

some lectures ago)  $\Rightarrow f: Z \rightarrow X'$  lifts  
 to a morphism  $Z \rightarrow X$   $\xRightarrow{z \in \mathcal{T}^{\leq 0}}$  factors  
 through  $Z \rightarrow u'X \rightarrow$

$$\begin{array}{ccccccc}
 & & & & Z & & \\
 & & & & \swarrow & & \\
 & & & & & \downarrow f & \\
 u'X & \rightarrow & X & \rightarrow & X' & \rightarrow & u'X[1] \Rightarrow f=0! \\
 & \searrow & & \nearrow & & & \\
 & & 0 & & & & 
 \end{array}$$

□

Prop  $(\mathcal{T}^{\leq 0}, \mathcal{T}^{\geq 0})$  - non-degenerate t-structure  $\Rightarrow$   
 $\Rightarrow \mathcal{T}^0 = \mathcal{T}^{\leq 0} \cap \mathcal{T}^{\geq 0}$  is abelian!

Pf For additivity - enough to check that it's closed  
 under  $\oplus$ . Both  $\mathcal{T}^{\leq 0}$  &  $\mathcal{T}^{\geq 0}$  are closed  
 under extensions.  $X, Y \in \mathcal{T}^0 \Rightarrow$

$$X \rightarrow X \oplus Y \rightarrow Y \rightarrow X[1] \text{ is dist!}$$

Existence of kernels & cokernels:

$f: U \rightarrow V$  - morphism in  $\mathcal{T}^0$

$$U \xrightarrow{u} X \xrightarrow{f} Y \xrightarrow{v} U[\mathcal{I}]$$

Rank:  $U \in \mathcal{T}^{[a,b]}$ , where  $\mathcal{T}^{[a,b]} = \mathcal{T}^{\leq b} \cap \mathcal{T}^{\geq a}$

(think cohomology only in terms  $a, a+1, \dots, b$ ).

$\mathcal{T}^{[a,b]}$  always closed under extensions

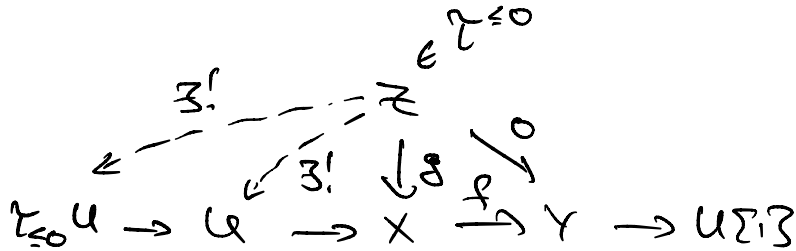
$$\begin{array}{ccccccc} Y[\mathcal{I}] & \rightarrow & U & \rightarrow & X & \rightarrow & Y \\ & & \uparrow & & \uparrow & & \\ & & \mathcal{T}^1 & & \mathcal{T}^0 & \subset & \mathcal{T}^{[0,1]} \\ & & \uparrow & & & & \\ & & \mathcal{T}^{[0,1]} & & & & \end{array}$$

Put  $K = \tau_{\leq 0} U$ ,  $C = \tau_{\geq 0}(U[\mathcal{I}]) = (\tau_{\geq 1} U)[\mathcal{I}]$

$$K = \tau_{\leq 0} U \rightarrow U \rightarrow X \quad Y \rightarrow U[\mathcal{I}] \rightarrow \tau_{\geq 0}(U[\mathcal{I}]) = C$$

Claim these are the kernel of the cokernel  
of  $f: X \rightarrow Y$ .

Check the UP:  $Z \xrightarrow{g} X$  s.t.  $Z \xrightarrow{g} X \xrightarrow{f} Y$  is 0,  
 $Z \in \mathcal{Z}^0$



Same thing for the cokernel.

Coimage = Image - next time ...

Also next time; derived category of  
constructible sheaves, 6 functor formalism.