

# MULTIPLE FIBERS OF DEL PEZZO FIBRATIONS

SHIGEFUMI MORI AND YURI PROKHOROV

ABSTRACT. We prove that a terminal three-dimensional del Pezzo fibration has no fibers of multiplicity  $\geq 6$ . We also obtain a rough classification possible configurations of singular points on multiple fibers and give some examples.

## 1. INTRODUCTION

Throughout this paper a *weak del Pezzo fibration* is a projective morphism  $f: X \rightarrow Z$  with connected fibers from a threefold  $X$  with terminal singularities to a smooth curve  $Z$  such that  $-K_X$  is  $f$ -nef and  $f$ -big near a general fiber. If additionally  $-K_X$  is  $f$ -ample, we say that  $f: X \rightarrow Z$  is a *del Pezzo bundle*. (We do not assume that  $X$  is  $\mathbb{Q}$ -factorial nor  $\rho(X/Z) = 1$ ). The main reason to study del Pezzo fibrations comes from the three-dimensional birational geometry, namely the class of del Pezzo bundles with  $\mathbb{Q}$ -factorial singularities and relative Picard number one is one of three possible outcomes of the minimal model program for threefolds of negative Kodaira dimension.

Our main result is the following.

**Theorem 1.1.** *Let  $f: X \rightarrow Z$  be a weak del Pezzo fibration and let  $f^*(o) = m_o F_o$  be a special fiber of multiplicity  $m_o$ . Then  $m_o \leq 6$ . Moreover, all the cases  $1 \leq m_o \leq 6$  occur. Furthermore, let  $\mathbf{B}(F_o) = (r_1, \dots, r_n)$  be the basket of singular points of  $X$  at which  $F_o$  is not Cartier. Then, in the case  $m_o \geq 2$ , there are only the following possibilities:*

- (i)  $m_o = 2$ ,  $\mathbf{B}(F_o) = (8), (2, 6), (4, 4), (2, 2, 4)$ , or  $(2, 2, 2, 2)$ ,
- (ii)  $m_o = 3$ ,  $\mathbf{B}(F_o) = (9), (3, 3, 3)$ , or  $(3, 6)$ ,
- (iii)  $m_o = 4$ ,  $\mathbf{B}(F_o) = (2, 4, 4)$ ,
- (iv)  $m_o = 5$ ,  $\mathbf{B}(F_o) = (5, 5)$ ,
- (v)  $m_o = 6$ ,  $\mathbf{B}(F_o) = (2, 3, 6)$ .

*The possible types of singularities in  $\mathbf{B}(F_o)$ , the local behavior of  $F_o$  near singular points, and the possible types of a general fiber are collected in Table 1.*

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**Warning.** In the statement of Theorem 1.1 and Table 1 we do not assert that the basket  $\mathbf{B}(F_o)$  contains all the singularities along  $F_o$ . It is possible that  $F_o$  is Cartier at some non-Gorenstein points (see Example 5.6).

TABLE 1

type	$m_o$	$\mathbf{B}(F_o) = (r_1, \dots, r_n)$	$(b_1, \dots, b_n)$	$q_i$	$K_{F_g}^2$
$I_{2,3,6}$	6	(2, 3, 6)	$(1, \pm 1, \pm 1)$	$q_i \equiv -1$	6
$I_{5,5}$	5	(5, 5)	$b_1^2 + b_2^2 \equiv 0$	$q_i \equiv -1$	5
$I_{2,4,4}$	4	(2, 4, 4)	$(1, \pm 1, \pm 1)$	$q_i \equiv -1$	4, 8
$I_{3,3,3}$	3	(3, 3, 3)	$(\pm 1, \pm 1, \pm 1)$	$q_i \equiv -1$	3, 6, 9
$I_{2,2,2,2}$	2	(2, 2, 2, 2)	$(1, 1, 1, 1)$	$q_i \equiv 1$	even
$I_{3,6}$	3	(3, 6)	$(\pm 1, \pm 1)$	$q_i \equiv 4$	3, 6, 9
$I_9$	3	(9)	$b_1 = \pm 2q_1/3$	$q_1 = 3$ or $6$	$\equiv q_1/3 \pmod{3}$
$I_{2,2,4}$	2	(2, 2, 4)	$(1, 1, \pm 1)$	$q_i \equiv r_i/2$	odd
$I_{4,4}$	2	(4, 4)	$(\pm 1, \pm 1)$	$q_i \equiv r_i/2$	even
$I_{2,6}$	2	(2, 6)	$(1, \pm 1)$	$q_i \equiv r_i/2$	even
$I_8$	2	(8)	$(\pm 1)$ or $(\pm 3)$	$q_i \equiv r_i/2$	odd

The idea of the proof is easy. In fact, it is sufficient to compute dimensions of linear systems  $\dim |lF_o|$  by using the orbifold Riemann-Roch formula [Rei87]. The main theorem is proved in §§3 – 4. In §5 we give some examples. In fact, it will be shown that all cases in Table 1 except possibly for cases  $I_{2,6}$  and  $I_8$  occur. Finally, in §6 we discuss fibers of multiplicity 5 and 6.

**Notation in Table 1.** The number  $b_k$  in the fourth column is the weight which appears in a singularity  $\frac{1}{r_k}(1, -1, b_k) \in \mathbf{B}(F_o)$ ,  $q_k$  in the fifth column is an integer such that  $F_o \sim q_k K_X$  near  $P_k \in \mathbf{B}(F_o)$ .  $F_g$  in the final column denotes a general fiber of  $f$ . We say that the fiber  $f^*(o) = m_o F_o$  is of type  $I_{r_1, \dots, r_n}$  if  $\mathbf{B}(F_o) = (r_1, \dots, r_n)$ .

We also say that the fiber  $F_o$  is *regular* if it is of type  $I_{2,3,6}$ ,  $I_{5,5}$ ,  $I_{2,4,4}$ ,  $I_{3,3,3}$ , or  $I_{2,2,2,2}$ . Otherwise,  $F_o$  is said to be *irregular*.

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## 2. PRELIMINARIES

**2.1. Terminal singularities** [Mor85], [Rei87]. Let  $(X, P)$  be a three-dimensional terminal singularity of index  $r$  and let  $D$  be a Weil  $\mathbb{Q}$ -Cartier divisor on  $X$ .

**Lemma 2.2** ([Kaw88, Corollary 5.2]). *In the above notation, there is an integer  $i$  such that  $D \sim iK_X$  near  $P$ . In particular,  $rD$  is Cartier.*

**2.3.** Notation as above. There is a deformation  $X_\lambda$  of  $X$  such that  $X_\lambda$  has only cyclic quotient singularities  $(X_\lambda, P_{\lambda,k}) \simeq \frac{1}{r_k}(1, -1, b_k)$ ,  $0 < b_k < r_k$ ,  $\gcd(b_k, r_k) = 1$ . Thus, to every threefold  $X$  with terminal singularities, one can associate a collection  $\mathbf{B} = ((r_{P,k}, b_{P,k}))$ , where  $P_{\lambda,k} \in X_{\lambda,k}$  is a singularity of type  $\frac{1}{r_{P,k}}(1, -1, b_{P,k})$ . This collection is called the *basket* of singularities of  $X$ . By abuse of notation, we also will write  $\mathbf{B} = (r_{P,k})$  instead of  $\mathbf{B} = ((r_{P,k}, b_{P,k}))$ . The index of  $(X, P)$  is the least common multiple of indices of points  $P_{\lambda,k}$ . For any Weil divisor  $D$ ,  $\mathbf{B}(D) \subset \mathbf{B}$  denotes the collection of points where  $D$  is not Cartier.

Deforming  $D$  with  $(X, P)$  we obtain Weil divisors  $D_\lambda$  on  $X_\lambda$ . Thus we have a collection of numbers  $q_k$  such that  $0 \leq q_k < r_k$  and  $D_\lambda \sim q_k K_{X_\lambda}$  near  $P_{\lambda,k}$ .

**2.4. Orbifold Riemann-Roch formula** [Rei87]. Let  $X$  be a threefold with terminal singularities and let  $D$  be a Weil  $\mathbb{Q}$ -Cartier divisor on  $X$ . Then

$$(2.5) \quad \chi(D) = \frac{1}{12}D \cdot (D - K_X) \cdot (2D - K_X) + \frac{1}{12}D \cdot c_2(X) + \chi(\mathcal{O}_X) + \sum_{P \in \mathbf{B}} c_P(D),$$

where

$$(2.6) \quad c_P(D) = -q_P \frac{r_P^2 - 1}{12r_P} + \sum_{j=1}^{q_P-1} \frac{\overline{b_P j}(r_P - \overline{b_P j})}{2r_P},$$

$q_P$  is such as in 2.3, and  $\overline{\phantom{x}}$  denotes the smallest residue mod  $r_P$ .

Assume that  $D^2 \equiv 0$ . Then

$$(2.7) \quad \chi(D) = \frac{1}{12}D \cdot K_X^2 + \frac{1}{12}D \cdot c_2(X) + \chi(\mathcal{O}_X) + \sum c_P(D).$$

We have (see, e. g., [Ale94, proof of 2.13])

$$(2.8) \quad c_P(-K) = \frac{r_P^2 - 1}{12r_P} - \frac{b_P(r_P - b_P)}{2r_P}, \quad c_P(K) = -\frac{r_P^2 - 1}{12r_P}.$$

**Construction 2.9** (Base change). Let  $f: X \rightarrow Z$  be a weak del Pezzo fibration and let  $f^*(o) = m_o F_o$  be a special fiber of multiplicity  $m_o$ . Regard  $f: X \rightarrow (Z, o)$  as a germ. Let  $(\mathbb{C}, 0) \simeq (Z', o') \rightarrow (Z, o) \simeq (\mathbb{C}, 0)$  is given by  $t \mapsto t^{m_o}$  and let  $X'$  be the normalization of  $X \times_Z Z'$ . We obtain the following commutative diagram:

$$(2.10) \quad \begin{array}{ccc} X' & \xrightarrow{\pi} & X \\ f' \downarrow & & \downarrow f \\ Z' & \longrightarrow & Z \end{array}$$

Here  $f'$  is a weak del Pezzo fibration with special fiber  $F'_o = f'^* o' = \pi^* F_o$  of multiplicity 1 and  $\pi$  is a  $\mu_{m_o}$ -cover which is étale outside of the set  $M$  of points where  $F_o$  is not Cartier. Hence there is a  $\mu_{m_o}$ -action on  $X'$  such that  $X = X'/\mu_{m_o}$  and the action is free outside of  $M$ .

Conversely, let  $f': X' \rightarrow Z' \ni o'$  be a weak del Pezzo fibration with central fiber of multiplicity 1. Assume that  $f'$  equipped with an equivariant  $\mu_{m_o}$ -action such that the action on  $X'$  is étale in codimension two. If the quotient  $X'/\mu_{m_o}$  has only terminal singularities, then  $X'/\mu_{m_o} \rightarrow Z'/\mu_{m_o}$  is a weak del Pezzo fibration with special fiber of multiplicity  $m_o$ .

**Proposition 2.11.** *Let  $f: X \rightarrow Z$  be a weak del Pezzo fibration. Let  $f^*(o) = m_o F_o$  be a special fiber of multiplicity  $m_o$ . There is a point  $P \in F_o$  such that the index of  $F_o$  at  $P$  is divisible by  $m_o$ .*

*Proof.* Regard  $f: X \rightarrow (Z, o)$  as a germ and apply Construction 2.9. It is sufficient to show that  $\mu_{m_o}$  has a fixed point on  $F'_o$  (see Lemma 2.2).

First we consider the case of del Pezzo bundle, i.e., the case where  $-K_X$  is ample. Let  $\gamma$  be the log canonical threshold of  $(X', F'_o)$  and let  $W' \subset X'$  be a minimal center of log canonical singularities of  $(X', \gamma F'_o)$  (see [Kaw97a, §1]).

Assume that  $\dim W' \leq 1$ . Let  $H$  be a general hyperplane section of  $X$  passing through  $\pi(W')$  and let  $H' := \pi^* H$ . For  $0 < \varepsilon \ll 1$ , the pair  $(X', \gamma F'_o + \varepsilon H')$  is not LC along  $\pi^{-1}\pi(W')$  and LC outside. Therefore for some  $0 < \delta \ll \varepsilon$  the pair  $(X', (\gamma - \delta)F'_o + \varepsilon H')$  is not KLT along  $\pi^{-1}\pi(W')$  and KLT outside. Moreover,  $W'$  is a minimal LC center for  $(X', (\gamma - \delta)F'_o + \varepsilon H')$ . Recall that any irreducible component of the intersection of two LC centers is also an LC center [Kaw97a, Proposition 1.5]. Hence  $W'$  is the only LC center in its neighborhood. Since the boundary  $(\gamma - \delta)F'_o + \varepsilon H'$  is  $\mu_{m_o}$ -invariant, all the  $gW'$  for  $g \in \mu_{m_o}$  are also centers of log canonical singularities for the pair  $(X', (\gamma - \delta)F'_o + \varepsilon H')$ . On the other hand, the locus  $\mu_{m_o} W'$  of log

canonical singularities for the pair  $(X', (\gamma - \delta)F'_o + \varepsilon H')$  is connected, see [Sho93, §5], [Kol92, 17.4]. Hence  $\mu_{m_o} W'$  is irreducible and so  $W'$  is  $\mu_{m_o}$ -invariant. If  $W'$  is a point, we are done. Otherwise  $W'$  is a smooth rational curve [Kaw97a, Th. 1.6], [Kaw97b]. But any cyclic group acting on  $\mathbb{P}^1$  has a fixed points.

Assume that  $\dim W' = 2$ , that is,  $W' = [\gamma F'_o]$  and the pair  $(X', \gamma F'_o)$  is PLT. By the inversion of adjunction [Sho93, 3.3], [Kol92, 17.6] and Connectedness Lemma [Sho93, §5], [Kol92, 17.4] the surface  $W'$  is irreducible, normal and has only KLT singularities. Hence  $W'$  is a KLT log del Pezzo surface. In particular,  $W'$  is rational. Then the assertion follows by Lemma 2.12 below.

Now we consider the general case. We apply  $\mu_{m_o}$ -equivariant MMP in the category  $\mu_{m_o}$ -threefolds (i.e., threefolds with terminal singularities and such that every  $\mu_{m_o}$ -invariant Weil divisor is  $\mathbb{Q}$ -Cartier, see e.g. [Mor88, 0.3.14]). Let  $X_1 \rightarrow X'$  be a  $\mu_{m_o}$ -equivariant  $\mathbb{Q}$ -factorialization. Run  $\mu_{m_o}$ -equivariant MMP over  $Z'$ :

$$X_1 \dashrightarrow X_2 \dashrightarrow \cdots \dashrightarrow X_N.$$

These maps induce a sequence maps

$$X_1/\mu_{m_o} \dashrightarrow X_2/\mu_{m_o} \dashrightarrow \cdots \dashrightarrow X_N/\mu_{m_o}.$$

where each step is either  $K$ -negative divisorial contraction or a flip (both are not necessarily extremal). Hence, on each step the quotient  $X_i/\mu_{m_o}$  has only terminal singularities and the action of  $\mu_{m_o}$  on  $X_i$  is free in codimension two. On the last step  $X_N$  is either a del Pezzo bundle over  $Z'$  with  $\rho^{\mu_{m_o}}(X_N/Z') = 1$  or a  $\mathbb{Q}$ -conic bundle over a surface  $S$  and  $S/Z'$  is a rational curve fibration. In both cases  $\mu_{m_o}$  has a fixed point on  $X_N$ . We prove the existence of fixed point on  $X_i$  by a descending induction on  $i$ . So we assume that  $X_{i+1}$  has a fixed point, say  $P$ . If  $\psi_i: X_i \dashrightarrow X_{i+1}$  is a flip, we may assume that  $P$  is contained in the flipped curve  $C_{i+1} \subset X_{i+1}$ . In this case  $\mu_{m_o}$  acts on a connected closed subset of the flipping curve  $C_i \subset X_i$ . Since  $C_i$  is a tree of rational curves,  $\mu_{m_o}$  has a fixed point on  $C_i$ . Similar argument works in the case where  $\psi_i: X_i \rightarrow X_{i+1}$  is a contraction of a  $\mu_{m_o}$ -invariant divisor to a curve. Thus we may assume that  $\psi_i: X_i \rightarrow X_{i+1}$  is a divisorial contraction that contracts a  $\mu_{m_o}$ -invariant divisor  $E \subset X_i$  to  $P$ . Let  $\gamma$  be the log canonical threshold of  $(X_i, E)$  and let  $W_i \subset X_i$  be a minimal center of log canonical singularities of  $(X_i, \gamma E)$ . As in the del Pezzo bundle case above, considering the action of  $\mu_{m_o}$  on  $W_i$  we find a fixed point. This proves our proposition.  $\square$

**Lemma 2.12.** *Let  $S$  be a rational surface. Then any action of a finite cyclic group on  $S$  has a fixed point.*

*Proof.* Let  $\mu_m$  be the cyclic group acting on  $S$ . Replacing  $S$  with its normalization and the minimal resolution, we may assume that  $S$  is smooth.

Since  $S$  is rational,  $H^i(S, \mathbb{C}) = 0$  if  $i$  is odd. Then the assertion follows by the Lefschetz fixed point formula.  $\square$

### 3. PREPARATIONS

**Notation 3.1.** Let  $f: X \rightarrow Z$  be a weak del Pezzo fibration. Compactify  $X$  and  $Z$  and resolve  $X$  only above the added points of  $Z$ . Thus we may assume that both  $X$  and  $Z$  are projective. Let  $F_g$  be a general fiber and let  $f^*(o) = m_o F_o$  be a special fiber of multiplicity  $m_o$ . Write  $m_o = m\alpha$ , where  $m$  and  $\alpha$  are positive integers and put  $D := \alpha F_o$ . Then  $m_o F_o = mD = f^*(o)$ .

**3.2.** By a variant of J. Kollár's Higher Direct Images Theorem (see [KMM87, 1-2-7], [Nak86]), one has that  $R^i f_* \mathcal{O}_X(K_X - jD)$  is torsion free for all  $i$ . But its restriction to the general fiber  $F_g$  is zero for  $i \neq 2$  because  $-K_{F_g}$  is nef and big. Hence  $R^i f_* \mathcal{O}_X(K_X - jD) = 0$  for  $i \neq 2$ . Further, the Leray spectral sequence yields

$$H^q(X, K_X - jD) = H^{q-2}(Z, R^2 f_* \mathcal{O}_X(K_X - jD)) = 0$$

for  $q - 2 \neq 1$  and  $j \gg 0$  because  $R^2 f_* \mathcal{O}_X(K_X - jD)$  is very negative. By Serre duality

$$H^{3-q}(X, jD) \simeq H^q(X, K_X - jD)^\vee = 0$$

for  $q \neq 3$  and  $j \gg 0$ .

Finally,  $H^i(X, jD) = 0$  for all  $i > 0$ ,  $j > j_0 \gg 0$ . We also have

$$H^0(X, j f^*(o) + lD) \simeq H^0(X, j f^*(o))$$

for  $l = 0, \dots, m - 1$ . Put  $j_1 := \lfloor j_0/m \rfloor$  and

$$\Theta_l := \frac{1}{mj_1} h^0(X, j_1 f^*(o)) - \frac{1}{mj_1 + l} h^0(X, j_1 f^*(o) + lD).$$

Thus for  $l = 0, \dots, m - 1$  we have

$$(3.3) \quad \Theta_l = \frac{l}{mj_1(mj_1 + l)} h^0(X, j_1 f^*(o)) = \frac{l(j_1 - p_a + 1)}{mj_1(mj_1 + l)},$$

where  $p_a$  is the genus of  $Z$ . On the other hand, by (2.7)

$$(3.4) \quad \Theta_l = -\frac{1}{mj_1 + l} \sum_{P \in \mathbf{B}} c_P(lD) + \frac{l}{mj_1(mj_1 + l)} \chi(\mathcal{O}_X).$$

Comparing (3.3) and (3.4) we get

$$(3.5) \quad m \sum_{P \in \mathbf{B}} c_P(lD) = -l, \quad l = 0, \dots, m - 1.$$

**3.6.** Denote

$$\begin{aligned} \Delta_a &:= \chi(\mathcal{O}_X(-K - aF_o)) - \chi(\mathcal{O}_X(-K - (a+1)F_o)), \\ \delta_a &:= \sum_{P \in \mathbf{B}} c_P(-K - aF_o) - \sum_{P \in \mathbf{B}} c_P(-K - (a+1)F_o). \end{aligned}$$

As above, for  $a = 0, \dots, m_o - 2$ , the following equality holds

$$\begin{aligned} \Delta_a &= \frac{13}{12}K^2 \cdot F_o + \frac{1}{12}F_o \cdot c_2(X) + \sum_{P \in \mathbf{B}} c_P(-K - aF_o) - \\ &\quad - \sum_{P \in \mathbf{B}} c_P(-K - (a+1)F_o) = \frac{13}{12m_o}K^2 \cdot F_g + \frac{1}{12m_o}F_g \cdot c_2(X) + \delta_a. \end{aligned}$$

Since  $K^2 \cdot F_g = K_{F_g}^2$  and  $F_g \cdot c_2(X) = c_2(F_g) = 12 - K_{F_g}^2$ , we have

$$(3.7) \quad \Delta_a = \frac{K_{F_g}^2 + 1}{m_o} + \delta_a.$$

**3.8. Some computations.** Let  $(X, P)$  be a cyclic quotient singularity of type  $\frac{1}{r}(a, -a, 1)$ , let  $D$  be a Weil divisor on  $X$ , and let  $m$  be a natural number. We have  $D \sim qK_X$  for some  $0 \leq q < r$ . Denote

$$(3.9) \quad \Xi_{P,m} := \sum_{l=1}^{m-1} c_P(lD).$$

We also will write  $\Xi_P$  or  $\Xi$  instead of  $\Xi_{P,m}$  if no confusion is likely. By definition

$$(3.10) \quad \Xi_{P,m} = \sum_{l=1}^{m-1} \left( -\overline{ql} \frac{r^2 - 1}{12r} + \sum_{j=1}^{\overline{ql}-1} \frac{\overline{bj}(r - \overline{bj})}{2r} \right).$$

We compute  $\Xi$  in some special situation:

**Lemma 3.11.** *Let  $s := \gcd(r, q)$ . Write  $r = sm$  and  $q = sk$  for some  $s, k \in \mathbb{Z}_{>0}$  (so that  $\gcd(m, k) = 1$ ). Then*

$$(3.12) \quad \Xi_{P,m} = -\frac{m^2 - 1}{24m}r.$$

*Proof.* By our assumption  $\gcd(m, k) = 1$  the parameter  $\overline{ql} = \overline{skl}$  runs through all the values  $sl, l = 1, \dots, m-1$ . Hence,

$$\Xi = -\sum_{l=1}^{m-1} sl \frac{r^2 - 1}{12r} + \sum_{l=1}^{m-1} \sum_{j=1}^{sl-1} \frac{\overline{bj}(r - \overline{bj})}{2r}.$$

Since  $\overline{bj}(r - \overline{bj}) = \overline{bj'}(r - \overline{bj'})$  for  $j + j' = r$ , we have

$$\sum_{j=1}^{sl-1} \frac{\overline{bj}(r - \overline{bj})}{2r} = \sum_{j=r-sl+1}^{r-1} \frac{\overline{bj}(r - \overline{bj})}{2r}.$$

Therefore,

$$\begin{aligned}
\Xi &= -\frac{m(m-1)s}{2} \frac{r^2-1}{12r} + \frac{m-1}{2} \sum_{j=1}^{r-1} \frac{\overline{bj}(r-\overline{bj})}{2r} - \frac{1}{2} \sum_{l=1}^{m-1} \frac{\overline{bsl}(r-\overline{bsl})}{2r} = \\
&= -\frac{(m-1)r}{2} \frac{r^2-1}{12r} + \frac{m-1}{2} \sum_{j=1}^{r-1} \frac{\overline{bj}(r-\overline{bj})}{2r} - \frac{1}{2} \sum_{l=1}^{m-1} \frac{sl(r-sl)}{2r} = \frac{m-1}{2} c_P(rK) \\
&\quad - \frac{s}{4} \sum_{l=1}^{m-1} l + \frac{s^2}{4r} \sum_{l=1}^{m-1} l^2 = -\frac{sm(m-1)}{8} + \frac{s^2}{24r} (m-1)m(2m-1) = \\
&\quad = -\frac{m-1}{8} \left( r - \frac{s}{3}(2m-1) \right) = -\frac{m-1}{24} \left( r + \frac{r}{m} \right).
\end{aligned}$$

(We used  $sm = r$  and  $c_P(rK) = 0$ .) This proves our lemma.  $\square$

**Lemma 3.13.** *If  $m = m_1 m_2$ , where  $m_1 D$  is Cartier, then*

$$\Xi_{P,m} = m_2 \Xi_{P,m_1}.$$

*Proof.* Follows by (3.9) because  $c_P(tD)$  is  $r$ -periodic.  $\square$

#### 4. PROOF OF THEOREM 1.1

Notation as in 3.1. Near each singular point  $P \in X$  of index  $r_P$  we write

$$D \sim q_P K_X.$$

Then  $m q_P K_X \sim mD$  is Cartier near  $P$ . Hence,

$$(4.1) \quad m q_P \equiv 0 \pmod{r_P}.$$

From (3.5) we have

$$(4.2) \quad \sum_{P \in \mathbf{B}} \Xi_{P,m} = - \sum_{l=1}^{m-1} \frac{l}{m} = -\frac{m-1}{2}.$$

**Proposition 4.3.** *Notation as above. If  $m$  is prime, then we have one of the following possibilities:*

- (4.3.1)  $m = 2, \mathbf{B}(D) = (8),$
- (4.3.2)  $m = 2, \mathbf{B}(D) = (2, 6),$
- (4.3.3)  $m = 2, \mathbf{B}(D) = (4, 4),$
- (4.3.4)  $m = 2, \mathbf{B}(D) = (2, 2, 4),$
- (4.3.5)  $m = 2, \mathbf{B}(D) = (2, 2, 2, 2),$
- (4.3.6)  $m = 3, \mathbf{B}(D) = (9),$
- (4.3.7)  $m = 3, \mathbf{B}(D) = (3, 3, 3),$
- (4.3.8)  $m = 3, \mathbf{B}(D) = (3, 6),$
- (4.3.9)  $m = 5, \mathbf{B}(D) = (5, 5),$
- (4.3.10)  $m = 5, \mathbf{B}(D) = (10),$
- (4.3.11)  $m = 11, \mathbf{B}(D) = (11).$



*Proof.* By (4.1) we have  $mq_P \equiv 0 \pmod{r_P}$  and  $r_P \equiv 0 \pmod{m}$  for all  $P \in \mathbf{B}(D)$  (otherwise  $q_P \equiv 0 \pmod{r_P}$  and  $P \notin \mathbf{B}(D)$ ). Put  $s_P := r_P/m$ . Then  $q_P = s_P k_P$  for some  $k_P \in \mathbb{Z}_{>0}$ . Since  $\gcd(k_P, q_P) = 1$ , the assumption of Lemma 3.11 holds for each point  $P \in \mathbf{B}(D)$ . Combining (3.12) with (4.2) we obtain

$$(m+1) \sum_{P \in \mathbf{B}} r_P = 12m.$$

Hence,  $m \in \{2, 3, 5, 11\}$ . Using the fact  $r_P \equiv 0 \pmod{m}$  we get the statement.  $\square$

**Proposition 4.4.** *Cases (4.3.10) and (4.3.11) do not occur. In particular, the assertion of Theorem 1.1 holds if  $m_o$  is prime.*

*Proof.* Consider the case (4.3.11). Since  $\gcd(q, m) = 1$ , there is  $0 < l < r = m$  such that  $ql \equiv 1 \pmod{m}$ . Then by (3.5) and (2.8) we have

$$-\frac{l}{11} = c_P(lD) = c_P(K) = -\frac{r^2 - 1}{12r} = -\frac{10}{11},$$

so  $l = q = 10$ . Then again by (3.5) and (2.8)

$$-\frac{1}{11} = c_P(D) = c_P(-K) = \frac{r^2 - 1}{12r} - \frac{b(r - b)}{2r} = \frac{10}{11} - \frac{b(11 - b)}{22}.$$

Hence,  $b(11 - b) = 22$  and  $b$  cannot be coprime to 11, a contradiction.

Consider the case (4.3.10). Since  $mq = 5q \equiv 0 \pmod{r = 10}$ ,  $q$  is even. There is  $0 < l < 5$  such that  $ql \equiv 2 \pmod{r}$ . Then by (3.5) we have

$$-\frac{l}{5} = c_P(lD) = c_P(2K) = -\frac{2(r^2 - 1)}{12r} + \frac{b(r - b)}{2r} = \frac{b(10 - b) - 33}{20}.$$

Thus  $b(10 - b) + 4l = 33$ ,  $b \in \{3, 7\}$ ,  $l = 3$ , and  $q = 4$ . Again by (3.5)

$$-\frac{1}{5} = c_P(D) = -\frac{4(r^2 - 1)}{12r} + \sum_{j=1}^3 \frac{\bar{b}j(r - \bar{b}j)}{2r} = -\frac{33}{10} + \sum_{j=1}^3 \frac{\bar{3}j(10 - \bar{3}j)}{20} = -\frac{3}{5},$$

a contradiction. This proves our lemma.  $\square$

**Corollary 4.5.** *For every prime divisor  $d$  of  $m_o$  we have  $d \in \{2, 3, 5\}$ .*

*Proof.* Apply Propositions 4.3 and 4.4 with  $D = \frac{m_o}{d} F_o$ .  $\square$

Let  $P_i$  be points of  $\mathbf{B}(F_o)$ . Let  $P = P_1$  be a point in  $\mathbf{B}(F_o)$  whose index  $r_{P_1}$  is divisible by  $m_o$  (see Proposition 2.11). For short, below we will write  $r_i, b_i, q_i$ , etc instead of  $r_{P_i}, b_{P_i}, q_{P_i}$ , respectively.

**Corollary 4.6.**  *$m_o$  is not divisible by  $m \in \{16, 27, 25, 10, 15, 12, 18\}$ .*

*Proof.* Let  $d = 2, 3$  or  $5$  be a prime divisor of  $m_o$  and let  $D = \frac{m_o}{d} F_o$ . Then  $dD = f^*(o)$  and  $D$  is not Cartier at  $P_1$ . In this case, by Propositions 4.3 and 4.4 the index of  $(X, P_1)$  is at most 9, a contradiction.  $\square$

**Corollary 4.7.** *If  $m_o$  is not prime, then  $m_o \in \{4, 6, 8, 9\}$ .*

**Lemma 4.8.** *If  $m_o = 6$ , then  $\mathbf{B}(F_o) = (2, 3, 6)$ . Moreover,  $\gcd(r_P, q_P) = 1$  for all  $P \in \mathbf{B}(F_o)$ .*

*Proof.* Take  $D = 3F_o$ . Then  $2D \sim f^*(o)$  but  $D$  is not Cartier at  $P_1$ . Hence  $(X, P_1)$  is of index 6 and for  $D$  we are in the case (4.3.2), that is,  $\mathbf{B}(3F_o) = (2, 6)$ . At all points  $P_i \notin \mathbf{B}(3F_o)$  the divisor  $3F_o$  is Cartier. Similarly, take  $D' = 2F_o$ . Then for  $D$  we get the case (4.3.8), that is,  $\mathbf{B}(2F_o) = (3, 6)$ . Hence  $\mathbf{B}(F_o)$  contains three points  $P_1, P_2, P_3$  of indices 6, 2, 3, respectively, and in all other points both  $D' = 2F_o$  and  $D = 3F_o$  are Cartier. Hence  $F_o = D - D'$  is Cartier outside of  $P_1, P_2, P_3$  and  $\mathbf{B}(F_o) = (2, 3, 6)$ .  $\square$

**Lemma 4.9.** *If  $m_o = 4$ , then  $\mathbf{B}(F_o) = (2, 4, 4)$ . Moreover,  $\gcd(r_P, q_P) = 1$  for all  $P \in \mathbf{B}(F_o)$ .*

*Proof.* Clearly,  $2F_o$  is Cartier at all points of index 2. Hence  $\mathbf{B}(2F_o)$  contains no such points and for  $\mathbf{B}(2F_o)$  we are in the case (4.3.1) or (4.3.3). For all points  $P_i \notin \mathbf{B}(2F_o)$  the divisor  $2F_o$  is Cartier at  $P_i$ . Hence,  $q_i = r_i/2$ .

Assume that  $\mathbf{B}(2F_o) = (8)$ . Let  $P \in \mathbf{B}(2F_o)$ . Since  $4F_o$  is Cartier,  $4q_P \equiv 0 \pmod{8}$  (but  $2q_P \not\equiv 0 \pmod{8}$ ). By Lemma 3.11 and 3.13 we have

$$\Xi_{P_1,4} = -\frac{5}{4}, \quad \Xi_{P_j,8} = 4\Xi_{P_j,2} = -\frac{r_j}{4}, \quad j \neq 1.$$

Therefore, by (4.2) the following holds  $\sum_{i \neq 1} r_i = 1$ , a contradiction.

Hence  $\mathbf{B}(2F_o) = (4, 4)$ . At both points  $P_i \in \mathbf{B}(2F_o)$  we have  $F_o \sim \pm K_X$  near  $P_i$ . Again by Lemma 3.11 and 3.13

$$\Xi_{P_i,4} = -\frac{5}{8}, \quad i = 1, 2 \quad \Xi_{P_j,4} = 2\Xi_{P_j,2} = -\frac{r_j}{8}, \quad j \neq 1, 2.$$

Therefore, by (4.2) we have  $\sum_{i \neq 1,2} r_i = 2$  and there is only one solution

$$\mathbf{B}(F_o) = (4, 4, 2). \quad \square$$

**Corollary 4.10.**  $m_o \neq 8$

*Proof.* Indeed, if  $m_o = 8$ , then for  $\mathbf{B}(2F_o)$  there is only one possibility from Lemma 4.9. This contradicts Proposition 2.11.  $\square$

**Lemma 4.11.**  $m_o \neq 9$ .

*Proof.* Assume that  $m_o = 9$ . Take  $D := 3F_o$ . Then  $3D \sim f^*(o)$  but  $D$  is not Cartier at  $P_1$ . Hence,  $\gcd(q_1, r_1) = 1$ ,  $(X, P_1)$  is of index 9 and for  $D$  we are in the case (4.3.6), that is,  $\mathbf{B}(D) = (9) \subset \mathbf{B}(F_o)$ . In all points  $P_i \in \mathbf{B}(F_o)$ ,  $P_i \neq P_1$  the divisor  $D = 3F_o$  is Cartier. Hence by Lemma 3.11 and 3.13 we have

$$\Xi_{P_1,9} = -\frac{10}{3}, \quad \Xi_{P_i,9} = 3\Xi_{P_i,3} = -\frac{r_i}{3}, \quad i \neq 1.$$

Therefore, by (4.2)

$$-4 = \sum \Xi_{P_i, m} = -\frac{10}{3} - \frac{1}{3} \sum_{i \neq 1} r_i, \quad r_i = 2.$$

This contradicts  $r_i \equiv 0 \pmod{3}$ .  $\square$

**4.12.** The last lemma finishes the proof of Theorem 1.1. It remains to compute values  $b_k$ ,  $q_k$ , and  $K_{F_g}^2$  in Table 1.

First we compute the possible values of  $q_i$ . We may assume that  $1 \leq q_i < r_i$ . In regular cases ( $I_{2,3,6}$ ,  $I_{5,5}$ ,  $I_{3,3,3}$ ,  $I_{2,4,4}$ ,  $I_{2,2,2,2}$ ) we have  $\gcd(q_i, r_i) = 1$  (see Lemmas 4.8 and 4.9) and  $m_o \geq r_i$  for all  $i$ . Take  $1 \leq l \leq m_o - 1$  so that  $q_i l \equiv 1 \pmod{r_i}$ . Then by (2.8) and (3.5) the following equality holds

$$\sum_i c_{P_i}(lF_o) = \sum_i c_{P_i}(K) = -\sum_i \frac{r_i^2 - 1}{12r_i} = -\frac{l}{m_o}.$$

From this we immediately obtain  $l \equiv q_i \equiv -1 \pmod{r_i}$  for all  $i$ .

If  $m_o = 2$  (cases  $I_{4 \times 2}$ ,  $I_{2,2,4}$ ,  $I_{4,4}$ ,  $I_{2,6}$ ,  $I_8$ ), then  $2F_o$  is Cartier. Hence  $q_i = r_i/2$ . It remains to consider only cases  $I_9$  and  $I_{3,6}$ . In case  $I_9$ , since  $3F_o$  is Cartier, we have  $q := q_1 = 3$  or  $6$ . If  $q = 3$ , then by (3.5) we have

$$-1 = 3c_P(F_o) = 3c_P(3K) = -\frac{40}{6} + \frac{b(9-b)}{6} + \frac{\overline{2b}(9-\overline{2b})}{6}.$$

Hence,  $34 = b(9-b) + \overline{2b}(9-\overline{2b})$  and  $5b^2 \equiv 2 \pmod{9}$ . This immediately implies  $b \equiv \pm 2$ . Similarly, if  $q = 6$ , then  $b^2 \equiv -2 \pmod{9}$  and  $b \equiv \pm 4$ .

Finally consider the case  $I_{3,6}$ . Then by (2.8) and (2.6)

$$c_{P_1}(F_o) = \begin{cases} -2/9 & \text{if } q_1 = 1 \\ -1/9 & \text{if } q_1 = 2 \end{cases} \quad c_{P_2}(F_o) = \begin{cases} -5/9 & \text{if } q_1 = 2 \\ -1/9 & \text{if } q_1 = 4 \end{cases}$$

The equality  $c_{P_1}(F_o) + c_{P_2}(F_o) = -1/3$  (see (3.5)) holds only if  $q_1 = 1$ ,  $q_2 = 4$ .

**Corollary 4.13.** *The fiber  $F_o$  is regular if and only if  $q_i \equiv -1 \pmod{r_i}$  for all  $i$ . In particular, for regular  $F_o$  near each point  $P \in F_o$  where  $F_o$  is not Cartier we have  $K_X + F_o \sim 0$ .*

**4.14.** Now we find the possible values of  $b_i$ . In all cases except for  $I_{5,5}$  and  $I_9$  the relations  $\gcd(r_i, q_i) = 1$  is sufficient to get the conclusion. The case  $I_9$  was treated above. Consider the case  $I_{5,5}$ . Then by (2.8) and (3.5) we have  $10 = b_1(5-b_1) + b_2(5-b_2)$ . Hence  $b_1^2 + b_2^2 \equiv 0 \pmod{5}$ .

**4.15.** To obtain the possible values for  $K_{F_g}^2$  we use (3.7) with  $a = 0$ . Since  $\Delta_a$  is an integer, it is sufficient to compute  $\delta_0 = c_P(-K) - c_P(-K - F_o)$ . Table 2 gives all values of  $\delta_0$ . For example, if  $F_o$  is regular, then  $q_P \equiv -1$

mod  $r_P$  for all  $P$  and  $\delta_0 = \sum c_P(-K) = \sum c_P(F_o)$ . So by (2.8) and (3.5) we have  $\delta_0 = -1/m_o$ . Assume that  $q_P = r_P/2$  (and all the  $r_p$  are even). Then

$$\delta_0 = \sum_{P \in \mathbf{B}} \left( c_P(-K) - c_P \left( \frac{r_P - 2}{2} K \right) \right).$$

Hence by (2.8) and (2.6)

$$\begin{aligned} r_P = 2 &\implies \delta_0 = c_P(-K) = -1/8, \\ r_P = 4 &\implies \delta_0 = c_P(-K) - c_P(K) = 1/4, \\ r_P = 6 &\implies \delta_0 = c_P(-K) - c_P(2K) = 5/8, \\ r_P = 8 &\implies \delta_0 = c_P(-K) - c_P(3K) = 1 \text{ or } 0 \text{ if } b_P = 1 \text{ or } 3, \\ &\text{respectively.} \end{aligned}$$

This immediately gives the values of  $\delta_0$  in cases  $I_{2,2,4}$ ,  $I_{4,4}$ ,  $I_{2,6}$ , and  $I_8$ . Cases  $I_{3,6}$  and  $I_9$  are similar.

TABLE 2

	regular	$I_{3,6}$	$I_9$	$I_{2,2,4}$	$I_{4,4}$	$I_{2,6}$	$I_8$
$\delta_0$	$-\frac{1}{m_o}$	$\frac{2}{3}$	$\frac{6-q_1}{9}$	0	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{3- b_1 }{2}$

## 5. EXAMPLES

In this section we construct some examples of del Pezzo bundles with multiple fibers. We use notation of Construction 2.9. We start with regular case.

**Proposition 5.1.** *Let  $f': X' \rightarrow Z' \ni o'$  be a Gorenstein del Pezzo bundle. Assume that the central fiber  $F'_o := f'^{-1}(o')$  has only Du Val singularities. Assume also that the cyclic group  $\mu_{m_o}$  acts on  $X'$  and  $Z'$  so that*

- (i) *the action on  $Z'$  is free outside of  $o'$ ,*
- (ii)  *$f'$  is  $\mu_{m_o}$ -equivariant,*
- (iii) *the action on  $F'_o$  is free in codimension one,*
- (iv) *the quotient  $F_o := F'_o/\mu_{m_o}$  has only Du Val singularities.*

*Then  $f: X = X'/\mu_{m_o} \rightarrow Z = Z'/\mu_{m_o}$  is a del Pezzo bundle with regular central fiber of multiplicity  $m_o$  and, moreover,  $F_o \sim -K_X$  near each point  $P \in X$ .*

*Proof.* In notation of Construction 2.9 it is sufficient to show that  $X$  has only terminal singularities. Since  $X'$  has only terminal singularities and the action of  $\mu_{m_o}$  is free outside of a finite number of points  $P'_k$  lying on  $F'_o$ , the quotient  $X$  is smooth outside of  $\pi(P'_k) \in F_o$ . By the inversion of adjunction [Kol92, 17.6] the pair  $(X, F_o)$  is PLT near  $F_o$ . Since  $F_o$  is Gorenstein, the

divisor  $K_X + F_o$  is Cartier. Hence the pair  $(X, F_o)$  is canonical near  $F_o$  and so  $X$  has only terminal singularities.  $\square$

Now we apply Proposition 5.1 to construct concrete examples.

**Example 5.2.** Let  $F'_o$  be a del Pezzo surface of degree  $d := K_{F'_o}^2$  with at worst Du Val singularities. Assume that the group  $\mu_{m_o}$ ,  $m_o \geq 2$  acts on  $F'_o$  freely in codimension one and so that the quotient  $F_o := F'_o/\mu_{m_o}$  has again only Du Val singularities. Clearly,  $F_o$  is del Pezzo surface and  $m_o K_{F_o}^2 = d$ . Hence,  $d \geq m_o \geq 2$ . For  $d = 2, 3, 4$ , and  $8$ , according to [HW81] there is an embedding

$$\begin{aligned} F'_o &\subset \mathbb{P} := \mathbb{P}(1, 1, 1, 2) && \text{if } d = 2 \\ F'_o &\subset \mathbb{P} := \mathbb{P}^3 && \text{if } d = 3 \\ F'_o &\subset \mathbb{P} := \mathbb{P}^4 && \text{if } d = 4 \\ F'_o &\subset \mathbb{P} := \mathbb{P}^3 && \text{if } d = 8 \end{aligned}$$

Moreover, if  $d = 2, 3, 8$ , then  $F_o$  is a (weighted) hypersurface of degree 4, 3, 2, respectively and if  $d = 4$ , then  $F'_o$  is an intersection of two quadrics. The action of  $\mu_{m_o}$  on  $F'_o$  induces the action on  $\mathbb{P}$ . We fix a linearization of this action and take semi-invariant coordinates  $x_i$  in  $\mathbb{P}$ . Now we define  $\mu_{m_o}$ -equivariant del Pezzo bundle  $f': X' \rightarrow Z'$ . If  $F'_o$  is smooth, we can take  $X' = F'_o \times \mathbb{C}_t$ . In general case,  $X'$  is embedded into  $\mathbb{P} \times \mathbb{C}_t$ ,  $Z' = \mathbb{C}_t$  and  $f'$  is the projection, where  $t$  is a coordinate in  $\mathbb{C}$  with  $\text{wt } t = 1$ . Consider for example the case  $d \leq 3$  (case  $d = 4$  is similar). Let  $\phi = \phi(x_1, x_2, x_3, x_4)$  be the defining equation of  $F'_o$  and let  $\gamma_k$  be all monomials of weighted degree  $d$ . For each  $\gamma_k$ , let  $n_k$  be the smallest positive integer such that  $n_k \equiv -\text{wt } \gamma_k \pmod{m_o}$ . Then the polynomial  $\psi(x_1, \dots, x_4; t) := \phi + \sum c_k t^{n_k} \gamma_k$ ,  $c_k \in \mathbb{C}$  is  $\mu_{m_o}$ -semi-invariant. Let  $X' = \{\psi = 0\} \subset \mathbb{P} \times \mathbb{C}_t$ . By Bertini's theorem, for sufficiently general constants  $c_k$ , fibers  $F'_t$  of  $f'$  over  $t \neq 0$  are smooth del Pezzo surfaces. Hence we can apply Proposition 5.1 and get a del Pezzo bundle with a regular fiber of multiplicity  $m_o$ .

Note that the map  $F'_o \rightarrow F_o$  is étale outside of  $\text{Sing } F_o$ . Hence there is a surjection  $\pi_1(F_o \setminus \text{Sing } F_o) \rightarrow \mu_{m_o}$ . Conversely, assume that  $F_o$  is a del Pezzo surface with Du Val singularities such that  $\pi_1(F_o \setminus \text{Sing } F_o) \rightarrow \mu_{m_o}$ . Then there is an étale outside of  $\text{Sing } F_o$  cyclic  $\mu_{m_o}$ -cover  $v: F'_o \rightarrow F_o$ . Since  $K_{F'_o} = v^* K_{F_o}$ ,  $F'_o$  is also a del Pezzo surface with Du Val singularities. The fundamental groups of smooth loci of Du Val del Pezzo surfaces are described in [MZ88], [MZ93]. For example, from [MZ88] we have the following examples with  $\rho(F_o) = 1$  (we do not list all the possibilities):

$K_{F_o}^2$	$\text{Sing } F_o$	$m_o$	$K_{F'_o}^2 = K_{F_g}^2$	$\rho(F'_o)$	$F'_o, \text{Sing } F'_o$	type
1	$A_1 A_2 A_5$	6	6	4	smooth	$I_{2,3,6}$

$K_{F_o}^2$	Sing $F_o$	$m_o$	$K_{F'_o}^2 = K_{F_g}^2$	$\rho(F'_o)$	$F'_o$ , Sing $F'_o$	type
1	$2A_4$	5	5	5	smooth	$I_{5,5}$
2	$A_1 2A_3$	4	8	2	$\mathbb{P}^1 \times \mathbb{P}^1$	$I_{2,4,4}$
1	$A_3 D_5$	4	4	4	$A_2$	$I_{2,4,4}$
3	$3A_2$	3	9	1	$\mathbb{P}^2$	$I_{3,3,3}$
2	$A_2 A_5$	3	6	3	$A_1$	$I_{3,3,3}$
1	$A_8$	3	3	5	$A_2$	$I_{3,3,3}$
4	$2A_1 A_3$	2	8	1	$\mathbb{P}(1, 1, 2)$	$I_{2,2,2,2}$
3	$A_1 A_5$	2	6	2	$A_2$	$I_{2,2,2,2}$
2	$A_7$	2	4	3	$A_3$	$I_{2,2,2,2}$
1	$D_8$	2	2	3	$D_5$	$I_{2,2,2,2}$

**Example 5.3.** In some cases we can give more explicit construction. As was mentioned above, if  $F'_o$  is smooth, we can take  $X' = Z' \times F'_o$ . Consider the following cases:

- $F'_o = \mathbb{P}^2$ ,  $\mu_3$  acts on  $\mathbb{P}_{x,y}^2$  by  $x \mapsto \epsilon x$ ,  $y \mapsto \epsilon^{-1} y$  (here  $x, y$  are non-homogeneous coordinates on  $\mathbb{P}^2$  and  $\epsilon^3 = 1$ ). Then  $\mathbb{P}^2/\mu_3$  is a toric del Pezzo surface of degree 3 having three singular points of type  $A_2$ . The quotient  $f: X \rightarrow Z$  is a del Pezzo bundle with special fiber of type  $I_{3,3,3}$ .
- $F'_o = \mathbb{P}^1 \times \mathbb{P}^1$ ,  $\mu_2$  acts on  $\mathbb{P}_x^1 \times \mathbb{P}_y^1$  by  $x \mapsto -x$ ,  $y \mapsto -y$ . Then  $\mathbb{P}^1 \times \mathbb{P}^1/\mu_2$  is a del Pezzo surface of degree 4 having four singular points of type  $A_1$ . The quotient  $f: X \rightarrow Z$  is a del Pezzo bundle with special fiber of type  $I_{2,2,2,2}$ .
- $F'_o = \mathbb{P}^1 \times \mathbb{P}^1$ ,  $\mu_4$  acts by  $x \mapsto y$ ,  $y \mapsto -x$ . Then  $\mathbb{P}^1 \times \mathbb{P}^1/\mu_4$  is a del Pezzo surface of degree 2 having two points of type  $A_3$  and one point of type  $A_1$ . The quotient  $f: X \rightarrow Z$  is a del Pezzo bundle with special fiber of type  $I_{2,4,4}$ .

Now we give some examples of irregular multiple fibers.

**Example 5.4.** Recall that any smooth del Pezzo surface of degree 1 can be realized as a weighted hypersurface of degree 6 in  $\mathbb{P} = \mathbb{P}(1, 1, 2, 3)$ . Let

$$\phi(x_1, x_2, y, z) = a_1 x_1^6 + a_2 x_2^6 + y^2(b_1 x_1^2 + b_2 x_2^2) + cz^2, \quad a_i, b_j, c \in \mathbb{C}^*$$

be a polynomial of weighted degree 6, where  $x_1, x_2, y, z$  are coordinates in  $\mathbb{P}$  with  $\text{wt } x_i = 1$ ,  $\text{wt } y = 2$ ,  $\text{wt } z = 3$ . Consider the hypersurface  $F'_o \subset \mathbb{P}$  given by  $\phi = 0$ . By Bertini's theorem, for sufficiently general  $a_i, b_j, c$ , the surface  $F'_o$  is smooth outside of  $P' := (0 : 0 : 1 : 0)$ . Consider the subvariety  $X'$  in  $\mathbb{P} \times \mathbb{C}_t$  given by  $\phi + ty^3 = 0$  and let  $f': X' \rightarrow Z' = \mathbb{C}$  be the natural

projection. Since  $F'_o$  is the scheme fiber of the projection  $f': X' \rightarrow Z'$ , the variety  $X'$  is smooth outside of  $P'$ . We identify  $F'_o$  with the fiber over  $t = 0$ . Then  $f'$  is a del Pezzo bundle of degree 1 having a unique singular point of type  $\frac{1}{2}(1, 1, 1)$  at  $P'$ .

Now let  $\mu_2$  acts on  $\mathbb{P} \times \mathbb{C}$  and  $X'$  by

$$(x_1, x_2, y, z; t) \longmapsto (x_1, -x_2, -y, -z; -t).$$

The locus of fixed points  $\Lambda$  consists of the line  $L := \{x_1 = y = t = 0\}$  and two isolated points  $P' := (0 : 0 : 1 : 0; 0)$  and  $P_1 := (1 : 0 : 0 : 0; 0)$ . Then  $F'_o \cap \Lambda = \{P', Q_1, Q_2\}$ , where  $Q_1 \neq Q_2$  are points given by  $x_1 = y = a_2x_2^6 + z^2 = t = 0$ . Let  $f: X = X'/\mu_2 \rightarrow Z = Z'/\mu_2$  be the quotient of  $f'$ . Since the action of  $\mu_2$  on  $X'$  is free in codimension one,  $-K_X$  is  $f$ -ample and  $F_o := F'_o/\mu_2$  is a fiber of multiplicity 2. We show that  $X$  has only terminal singularities. By the above,  $X$  is smooth outside of images of  $P', Q_1, Q_2$ . Since the  $(X', Q_i)$  are smooth points, quotients  $(X', Q_i)/\mu_2$  are terminal of type  $\frac{1}{2}(1, 1, 1)$ . Consider the affine chart  $\{y \neq 0\} \simeq \mathbb{C}_{x'_1, x'_2, z', t}^4/\mu_2(1, 1, 1, 0)$  containing  $P'$ . Here  $X'$  is given by the equation  $\phi(x'_1, x'_2, 1, z') + t = 0$  and the action of  $\mu_2$  on  $\mathbb{P}$  induces the following action of  $\mu_4$ :

$$(x'_1, x'_2, z', t) \longmapsto (ix'_1, -ix'_2, iz', -t), \quad i = \sqrt{-1}.$$

Thus the quotients  $(X', P')/\mu_2$  is a terminal cyclic quotient of type  $\frac{1}{4}(1, -1, 1)$ . Therefore,  $f: X \rightarrow Z$  is a del Pezzo bundle with special fiber of type  $I_{2,2,4}$ .

**Example 5.5.** As above let  $\mathbb{P} = \mathbb{P}(1, 1, 2, 3)$  and let

$$\phi(x_1, x_2, y, z) = a_1x_1^6 + a_2x_2^6 + cy^3, \quad a_i, c \in \mathbb{C}^*$$

be a  $\mu_2$ -invariant polynomial of weighted degree 6. Consider the hypersurface  $F'_o \subset \mathbb{P}$  given by  $\phi = 0$ . Again for sufficiently general  $a_i, c$ , the surface  $F'_o$  is smooth outside of  $P'' := (0 : 0 : 0 : 1)$ . Consider the subvariety  $X'$  in  $\mathbb{P} \times \mathbb{C}_t$  given by  $\phi + tz^2 = 0$  and let  $f': X' \rightarrow Z' = \mathbb{C}$  be the natural projection. Then  $f'$  is a del Pezzo bundle of degree 1 having a unique singular point of type  $\frac{1}{3}(1, 1, -1)$  at  $P''$ . Now let  $\mu_3$  acts on  $\mathbb{P} \times \mathbb{C}$  and  $X'$  by

$$(x_1, x_2, y, z; t) \longmapsto (x_1, \epsilon x_2, \epsilon y, \epsilon z; \epsilon t), \quad \epsilon := \exp(2\pi i/3).$$

The only fixed point on  $X'$  is  $P''$ . As above, one can check that  $(X', P'')/\mu_3$  is a terminal point of type  $\frac{1}{9}(-1, 2, 1)$ . Therefore,  $X/\mu_3 \rightarrow Z'/\mu_3$  is a del Pezzo bundle with special fiber of type  $I_9$ .

**Example 5.6.** Let  $\mathbb{P} := \mathbb{P}(1, 1, 1, 2, 2)$ , let  $x_1, x_2, x_3, y_1, y_2$  be coordinates, and let  $X' \subset \mathbb{P} \times \mathbb{C}$  be subvariety given by

$$\begin{cases} c_1y_1^2 + c_2y_2^2 & = a_1x_1^4 + a_2x_2^4 + a_3x_3^4 \\ ty_2 & = b_1x_1^2 + b_2x_2^2 + b_3x_3^2, \end{cases}$$

where  $t$  is a coordinate on  $\mathbb{C}$  and  $a_i, b_j, c_k$  are sufficiently general constants. By Bertini's theorem  $X'$  is smooth outside of  $\{x_1 = x_2 = x_3 = 0\} \subset \text{Sing } \mathbb{P}$ . It is easy to check that  $X' \cap \text{Sing } \mathbb{P}$  consists of two points

$$\{P'_1, P'_2\} = \{t = x_1 = x_2 = x_3 = 0, c_1 y_1^2 + c_2 y_2^2 = 0\}$$

and these points are terminal of type  $\frac{1}{2}(1, 1, 1)$ . The projection  $X' \rightarrow \mathbb{C}$  is a del Pezzo bundle of degree 2. Define the action of  $\mu_2$  by

$$(x_1, x_2, x_3, y_1, y_2; t) \longmapsto (x_1, x_2, -x_3, y_1, -y_2; -t).$$

There are four fixed points

$$\{Q'_1, \dots, Q'_4\} = \{t = x_3 = y_2 = 0, c_1 y_1^2 = a_1 x_1^4 + a_2 x_2^4, b_1 x_1^2 + b_2 x_2^2 = 0\}.$$

The quotient  $f: X'/\mu_2 \rightarrow \mathbb{C}/\mu_2$  is a del Pezzo bundle of type  $I_{2,2,2,2}$ . Note however that the image  $P$  of  $\{P'_1, P'_2\}$  on  $X'/\mu_2$  is a point of type  $\frac{1}{2}(1, 1, 1)$  and  $F_o$  is Cartier at  $P$  (i.e.,  $P \notin \mathbf{B}(F_o)$ ).

**Example 5.7.** In the above notation define another action of  $\mu_2$ :

$$(x_1, x_2, x_3, y_1, y_2; t) \longmapsto (x_1, x_2, -x_3, -y_1, -y_2; -t).$$

Then the quotient  $f: X'/\mu_2 \rightarrow \mathbb{C}/\mu_2$  is a del Pezzo bundle of type  $I_{4,4}$ .

**Example 5.8.** Let  $\mathbb{P} := \mathbb{P}(1, 1, 1, 1, 2)$ , let  $x_1, x_2, x_3, x_4, y$  be coordinates, and let  $X' \subset \mathbb{P} \times \mathbb{C}$  be subvariety given by

$$\begin{cases} a_1 x_1^2 + a_2 x_2^2 + a_3 x_1 x_2 + a_4 x_3 x_4 = ty \\ b_1 x_1^3 + b_2 x_2^3 + b_3 x_3^3 = x_4 y \end{cases}$$

where  $t$  is a coordinate on  $\mathbb{C}$  and  $a_i, b_j$  are sufficiently general constants. Then the variety  $X'$  is smooth outside of the point  $P' = \{x_1 = x_2 = x_3 = x_4 = 0\}$  and  $P' \in X'$  is of type  $\frac{1}{2}(1, 1, 1)$ . The projection  $X' \rightarrow \mathbb{C}$  is a del Pezzo bundle of degree 3. Define the action of  $\mu_3$  by

$$(x_1, x_2, x_3, x_4, y; t) \longmapsto (\omega^{-1}x_1, \omega^{-1}x_2, \omega x_3, x_4, y; \omega t).$$

There are two fixed points  $\{t = x_1 = x_2 = x_3 = x_4 y = 0\}$  and quotients of these points are of types  $\frac{1}{6}(1, 1, -1)$  and  $\frac{1}{3}(1, -1)$ . Hence the quotient  $f: X'/\mu_3 \rightarrow \mathbb{C}/\mu_3$  is a del Pezzo bundle of type  $I_{3,6}$ .

## 6. ON DEL PEZZO BUNDLES WITH FIBERS OF MULTIPLICITY $\geq 5$ .

**Notation 6.1.** Let  $f: X \rightarrow Z \ni o$  be the germ of a del Pezzo bundle and let  $m_o F_o = f^*(o)$  be a fiber of multiplicity  $m_o$ . In this section we assume that  $m_o \geq 5$ , i.e.,  $F_o$  is of type  $I_{2,3,6}$  or  $I_{5,5}$ .

**Conjecture 6.2.** *In notation of 6.1  $f$  is a quotient of a Gorenstein del Pezzo bundle by a cyclic group acting free in codimension 2 on  $X$ .*

**Proposition 6.3.** *Notation as in 6.1. If either*



- (i)  $\mathbf{B}(F_o) = \mathbf{B}$ , that is, each point  $P \in F_o$  where  $F_o$  is Cartier is Gorenstein on  $X$ , or
- (ii) a general member  $S \in |-K_X|$  has only Du Val singularities (Reid's general elephant conjecture),

then 6.2 holds.

*Proof.* Assume that (i) holds. By Table 1 near each singular point  $K_X + F_o \sim 0$ . Apply Construction 2.9. Then  $F'_o = \pi^*F_o$  is Cartier. Since  $\pi$  is étale in codimension one,  $K_{X'} + F'_o \sim 0$ . Hence,  $X'$  is Gorenstein.

Now assume that (ii) holds. Then  $\varphi: S \rightarrow Z$  is an elliptic fibration with Du Val singularities. We have  $K_S = (K_X + S)|_S \sim 0$ . Let  $\mu: \tilde{S} \rightarrow S$  be the minimal resolution. Since  $S$  has only Du Val singularities,  $K_{\tilde{S}} \sim 0$ . In particular,  $\psi: \tilde{S} \rightarrow Z$  is a minimal elliptic fibration. By Kodaira's canonical bundle formula  $\psi$  has no multiple fibers [Kod64, Th. 12]. Since  $\psi^*o$  has a component of multiplicity  $\geq 5$ , for  $\psi^*o$  we have only one possibility  $\tilde{E}_8$  in the classification of singular fibers [Kod63, Th. 6.2]. More precisely,  $\text{Supp}(\psi^*o)$  is a tree of smooth rational curves with self-intersection number  $-2$  and the dual graph  $\Gamma$  is the following:

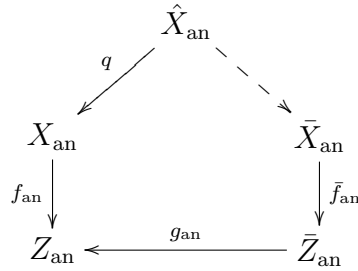
$$\begin{array}{ccccccccccccccc}
\circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ & \text{---} & \circ \\
& & & & & & & & & & & & & & & & & & & \circ \\
& & & & & & & & & & & & & & & & & & & 3
\end{array}$$

Further we consider the case  $m_o = 6$  (the case  $m_o = 5$  is similar). It is easy to see that the curve  $S \cap F_o$  is irreducible and correspond to the central vertex  $v$  of  $\Gamma$ . Then  $\Gamma \setminus \{v\}$  has three connected components corresponding to points of types  $A_1$ ,  $A_2$  and  $A_5$  on  $S$ . Therefore,  $\mathbf{B}(F_o) = \mathbf{B}$ .  $\square$

**Proposition 6.4.** *In notation of 6.1, assume that  $F_o$  is irreducible. let  $f_{\text{an}}: X_{\text{an}} \rightarrow Z_{\text{an}}$  be the analytic germ near  $F_o$ . Then  $X_{\text{an}}$  is  $\mathbb{Q}$ -factorial over  $Z_{\text{an}}$ ,  $\rho(X_{\text{an}}/Z_{\text{an}}) = 1$ , and  $\rho(F_o) = 1$ .*

**Warning.** Here the  $\mathbb{Q}$ -factoriality condition of  $X_{\text{an}}$  means that every global Weil divisor of the total germ  $X_{\text{an}}$  along  $F_o$  is  $\mathbb{Q}$ -Cartier, not that every analytic local ring of  $X_{\text{an}}$  is  $\mathbb{Q}$ -factorial.

*Proof.* Let  $q: \hat{X}_{\text{an}} \rightarrow X_{\text{an}}$  be a  $\mathbb{Q}$ -factorialization over  $Z_{\text{an}}$ . Run the MMP over  $Z_{\text{an}}$ . So, we have the following diagram



Here  $\bar{X}_{\text{an}}$  is  $\mathbb{Q}$ -factorial over  $\bar{Z}_{\text{an}}$  and  $\rho(\bar{X}_{\text{an}}/\bar{Z}_{\text{an}}) = 1$ . Note that  $\hat{X}_{\text{an}} \dashrightarrow \bar{X}_{\text{an}}$  is a composition of flips and divisorial contractions that contract divisors to curves dominating  $Z_{\text{an}}$ . Let  $\bar{F}_o$  be the proper transform of  $F_o$  on  $\bar{X}_{\text{an}}$ . There are two possibilities:

1)  $\bar{Z}_{\text{an}}$  is a surface. Then  $g_{\text{an}}$  is a rational curve fibration with  $\rho(\bar{Z}_{\text{an}}/Z_{\text{an}}) = 1$ . Let  $C := \bar{f}_{\text{an}}(\bar{F}_o)$ . Since  $\bar{X}_{\text{an}}$  has only isolated singularities,  $\bar{F}_o = \bar{f}_{\text{an}}^*(C)$ . Further,  $g_{\text{an}}^*(o) = nC$  for some  $n \in \mathbb{Z}_{>0}$  and  $\bar{f}_{\text{an}}^*g_{\text{an}}^*(o) = n\bar{f}_{\text{an}}^*C = n\bar{F}_o$ . So,  $n = m_o$ . By the main result of [MP08] the surface  $\bar{Z}_{\text{an}}$  has only Du Val singularities. Therefore,  $m_o = n \leq 2$ , a contradiction.

2)  $\bar{Z}_{\text{an}}$  is a curve. Then  $g_{\text{an}}$  is an isomorphism and  $\bar{f}_{\text{an}}: \bar{X}_{\text{an}} \rightarrow \bar{Z}_{\text{an}}$  is a del Pezzo bundle with central fiber  $\bar{F}_o$  of multiplicity  $\bar{m}_o = m_o \geq 5$ . By Table 1 the degree of the generic fiber of  $\bar{f}_{\text{an}}$  (and  $f_{\text{an}}$ ) is equal to  $m_o$ . This means that degrees of generic fibers of  $\bar{f}_{\text{an}}$  and  $f_{\text{an}}$  coincide. In particular, the MMP  $\hat{X}_{\text{an}} \dashrightarrow \bar{X}_{\text{an}}$  does not contract any divisors. Hence,  $\rho(\hat{X}_{\text{an}}/Z_{\text{an}}) = \rho(\bar{X}_{\text{an}}/Z_{\text{an}}) = 1$ . This implies that  $q$  is an isomorphism and  $\rho(X_{\text{an}}/Z_{\text{an}}) = 1$ . The last assertion follows from the exponential exact sequence and vanishing  $R^1f_{\text{an}*}\mathcal{O}_{X_{\text{an}}} = 0$ .  $\square$

**Proposition 6.5.** *Notation as in 6.1. Conjecture 6.2 holds under the additional assumption that  $F_o$  has only log terminal singularities.*

*Proof.* Assume that  $F_o$  has only log terminal singularities. By Table 1 near each point  $P \in \mathbf{B}(F_o)$  we have  $K_X + F_o \sim 0$ . By Adjunction  $F_o$  has only Du Val singularities at these points. In points  $P \notin \mathbf{B}(F_o)$  the divisor  $F_o$  is Cartier. Hence  $F_o$  has only singularities of type T [KSB88]. By Noether's formula [HP, Prop. 3.5]

$$K_{F_o}^2 + \rho(F_o) + \sum_{P \in F_o} \mu_P = 10.$$

Since points in  $\mathbf{B}(F_o)$  correspond to distinct points on  $X$ , we have  $\sum_{P \in \mathbf{B}(F_o)} \mu_P \geq 8$ . Hence,  $K_{F_o}^2 = 1$ ,  $\rho(F_o) = 1$ , and  $\mathbf{B}(F_o) = \mathbf{B}$ . Now the assertion follows by Proposition 6.3.  $\square$

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SHIGEFUMI MORI: RIMS, KYOTO UNIVERSITY, OIWAKE-CHO, KITASHIRAKAWA, SAKYO-KU, KYOTO 606-8502, JAPAN

*E-mail address:* mori@kurims.kyoto-u.ac.jp

YURI PROKHOROV: DEPARTMENT OF ALGEBRA, FACULTY OF MATHEMATICS, MOSCOW STATE UNIVERSITY, MOSCOW 117234, RUSSIA

*E-mail address:* prokhoro@mech.math.msu.su