## Boolean Logic Resolution Method

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## Course Outline

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- And this topic is going to be Boolean logic.


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- The aim of this course is to provide a background of discrete mathematics and computational complexity ideas useful for data science.
- Given a very limited time for the course, we have to choose a simple central topic to use as a running example.
- And this topic is going to be Boolean logic.
- Let us first remind the basics of it.


## Boolean Functions

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- A Boolean function is a finite object: it can be represented by a table (so-called truth table) of $2^{n}$ rows.


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- For example, we have 4 unary Boolean functions and $16=2^{2^{2}}$ binary ones.
- The only interesting unary Boolean function is negation, defined by the following truth table:

| $x$ | $\neg x$ |
| :---: | :---: |
| 0 | 1 |
| 1 | 0 |

## Boolean Functions

- As for binary functions, among 16 possible there are several interesting ones: $\wedge$ (conjunction, "and"), v (disjunction, "or"), $\rightarrow$ (implication, "if ... then").


## Boolean Functions

- As for binary functions, among 16 possible there are several interesting ones: $\wedge$ (conjunction, "and"), v (disjunction, "or"), $\rightarrow$ (implication, "if ... then").
- The truth tables for them are as follows:

| $x$ | $y$ | $x \wedge y$ | $x \vee y$ | $x \rightarrow y$ |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 1 | 1 |
| 1 | 0 | 0 | 1 | 0 |
| 1 | 1 | 1 | 1 | 1 |

## Boolean Functions

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## Theorem

Any Boolean function can be represented as a composition of $\neg, \wedge, \vee, \rightarrow$.

- For example, the majority function of three elements, which gives 1 iff at least two of its arguments are 1, has the following representation:

$$
\operatorname{MAJ}_{3}(x, y, z)=(x \wedge y) \vee(x \wedge z) \vee(y \wedge z)
$$

## Boolean Formulae

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- Such representations are formalized by Boolean formulae.
- The set Fm of Boolean formulae over a set of variables Var is defined as the minimal set obeying the following:
- Var $\subseteq$ Fm
- $\perp, T \in \mathrm{Fm}$ (these are constants for 0 and 1)
- if $A, B \in \mathrm{Fm}$, then
$(A \wedge B),(A \vee B),(A \rightarrow B), \neg A \in \mathrm{Fm}$


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- $((r \rightarrow c) \wedge \neg c) \rightarrow \neg r$
- This formula is true for any values of $r, c$.
- Such formulae are called tautologies.


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- Checking a formula for being a tautology is an algorithmically decidable question.
- Indeed, the algorithm can just substitute all possible values of 0 and 1 for variables and compute the value of the formula.
- However, this requires exponential time (checking $2^{n}$ possible assignments).
- Is there a faster algorithm?..


## Satisfiability

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- A Boolean formula is satisfiable, if it is true for at least one assignment.
- Such an assignment is called a satisfying assignment.


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- Satisfiability is indeed dual to being a tautology:
$A$ is a tautology $\Longleftrightarrow \neg A$ is not satisfiable.
- And actually satisfiability is a very general model example of situations where we seek for existence of an object (here: satisfying assignment) with given properties (here: the given formula $A$ ).


## DNF and CNF

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- Dually, a CNF (conjunctive n.f.) is a conjunction of elementary disjunctions, e.g., $(x \vee y) \wedge(y \vee \bar{z}) \wedge(x \vee \bar{z})$.


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- The elementary dis- / conjunctions are called clauses.


## Trivial Cases

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- Dually, the empty CNF is T, "true."
- Indeed, DNF clauses add possibilities, while CNF ones impose constraints.


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| $x$ | $y$ | $z$ | $A$ |
| :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 1 |
| 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 |
| 0 | 1 | 1 | 0 |
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| $x$ | $y$ | $z$ | $A$ |  |
| :---: | :---: | :---: | :---: | :--- |
| 0 | 0 | 0 | 1 | $(\bar{x} \wedge \bar{y} \wedge \bar{z})$ |
| 0 | 0 | 1 | 0 |  |
| 0 | 1 | 0 | 0 |  |
| 0 | 1 | 1 | 0 |  |
| 1 | 0 | 0 | 1 | $(x \wedge \bar{y} \wedge \bar{z})$ |
| 1 | 0 | 1 | 1 | $(x \wedge \bar{y} \wedge z)$ |
| 1 | 1 | 0 | 0 |  |
| 1 | 1 | 1 | 1 | $(x \wedge y \wedge z)$ |

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| 0 | 0 | 1 | 0 |
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| 0 | 1 | 1 | 0 |
| 1 | 0 | 0 | 1 |
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$\left.\begin{array}{l}(\bar{x} \wedge \bar{y} \wedge \bar{z}) \\ \\ (x \wedge \bar{y} \wedge \bar{z}) \\ (x \wedge \bar{y} \wedge z) \\ (x \wedge y \wedge z)\end{array}\right\} \vee$

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- The full DNF presented on the previous slide, $(\bar{x} \wedge \bar{y} \wedge \bar{z}) \vee(x \wedge \bar{y} \wedge \bar{z}) \vee(x \wedge \bar{y} \wedge z) \vee(x \wedge y \wedge z)$, is not the optimal (shortest) one for the given function.


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is not the optimal (shortest) one for the given function.
- The following DNFs are equivalent to it and are shorter:

$$
\begin{aligned}
& (\bar{x} \wedge \bar{y} \wedge \bar{z}) \vee(x \wedge \bar{y}) \vee(x \wedge y \wedge z) \\
& (\bar{x} \wedge \bar{y} \wedge \bar{z}) \vee(x \wedge \bar{y} \wedge \bar{z}) \vee(x \wedge z)
\end{aligned}
$$

## Completeness of $\neg, \wedge, \vee$

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- This means that already $\neg, \vee$ and, dually, $\neg, \wedge$ are complete systems.
- In particular,

$$
A \rightarrow B \equiv \neg A \vee B \equiv \neg(A \wedge \neg B) .
$$

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| 0 | 0 | 1 | 0 | $(x \vee y \vee \bar{z})$ |
| 0 | 1 | 0 | 0 | $(x \vee \bar{y} \vee z)$ |
| 0 | 1 | 1 | 0 | $(x \vee \bar{y} \vee \bar{z})$ |
| 1 | 0 | 0 | 1 |  |
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$\left.\begin{array}{ccc|cc}x & y & z & A & \\ \hline 0 & 0 & 0 & 1 & \\ 0 & 0 & 1 & 0 & (x \vee y \vee \bar{z}) \\ 0 & 1 & 0 & 0 & (x \vee \bar{y} \vee z) \\ 0 & 1 & 1 & 0 & (x \vee \bar{y} \vee \bar{z}) \\ 1 & 0 & 0 & 1 & \\ 1 & 0 & 1 & 1 & \\ 1 & 1 & 0 & 0 & (\bar{x} \vee \bar{y} \vee z) \\ 1 & 1 & 1 & 1 & \end{array}\right\} \wedge$


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- This clause is satisfiable, and so is the whole DNF.
- For CNFs, satisfiability is a non-trivial question.
- Translating from CNF to DNF does not help: this could increase the size exponentially.


## Resolution Method

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- In this course, we consider a dual situation: disproving satisfiability via resolution method.
- Recall that, by duality, proving that $A$ is a tautology is equivalent to disproving satisfiability of $\neg A$.


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$$

- Contradictive clause: the empty one (obtained by resolution from $p$ and $\bar{p}$ ).


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Theorem (Soundness and Completeness)
A CNF is not satisfiable if and only if one can obtain the empty clause by applying resolutions, starting from the given CNF.

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- The "only if" part (completeness) will be proved next time.


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- The soundness and completeness theorem validates the following algorithm for checking satisfiability of CNFs.
- Given a CNF (as a set of clause), let us saturate it by exhaustively applying resolutions until they stop generating new clauses.
- The CNF is satisfiable if and only if its saturation does not include the empty clause.


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- When checking a formula $A$ for being a tautology, it is convenient for $A$ to be in DNF, since then $\neg A$ is easily transformed into CNF by De Morgan.
- For implications, keep in mind the following equivalences:

$$
A \rightarrow B \equiv \neg A \vee B \quad \neg(A \rightarrow B) \equiv A \wedge \neg B
$$

## Example

- Let us check whether the following formula is a tautology:

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A=(p \rightarrow(q \rightarrow r)) \rightarrow((p \rightarrow q) \rightarrow(p \rightarrow r)
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- Let us negate $A$ and check whether $\neg A$ is satisfiable

$$
\neg A=(\bar{p} \vee \bar{q} \vee r) \wedge(\bar{p} \vee q) \wedge p \wedge \bar{r}
$$

Example

$$
\begin{aligned}
& \bar{p} \vee \bar{q} \vee r \\
& \bar{p} \vee q \\
& p \\
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## Example

$$
\begin{aligned}
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& p \\
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| :--- | :--- |
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| $p$ | $r$ |
| $\bar{r}$ | $\perp$ |

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$\Rightarrow$ NOT SATISFIABLE

## $2-C N F$

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## 2-CNF

- Unfortunately, in the general case saturation can be exponential.
- However, if each clause has no more than 2 literals (this is called a 2-CNF), resolution method works really fast.
- Indeed, applying resolution to 2-bounded clauses also yields a 2-bounded clause.
- And the total number of 2-bounded clauses is $\leq 4 n^{2}+2 n+1$.
- Thus, checking satisfiability for 2-CNF can be performed in polynomial time.


## Polynomiality

- Traditionally, an algorithmic problem is considered "practically solvable," if there exists a polynomially bounded algorithm for it (that is, the number of steps, even in the worst case, is $\leq p(|x|)$, where $p$ is a fixed polynomial and $|x|$ is the input length).


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- This is, of course, a gross approximation: let, say, $p(n)=n^{100}$.


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- A problem is polynomially solvable on a "real" computer iff it is polynomially solvable on a 1-tape Turing machine.
- ... but with a different degree of $p$.


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- By now, it is unknown whether it is in $P$.


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- For satisfiability of CNFs, the situation is different.
- By now, it is unknown whether it is in $P$.
- However, this is highly unlikely, because then a large class of similar problems, called NP, would be also in P.


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- As we've seen, satisfiability for DNF and for 2-CNF is polynomially decidable.
- In short, these problems belong to class P.
- For satisfiability of CNFs, the situation is different.
- By now, it is unknown whether it is in P.
- However, this is highly unlikely, because then a large class of similar problems, called NP, would be also in P.
- These problems include, e.g., subgraph isomorphism, knapsack problem, subset sum problem, ...


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- During the course, we'll highlight possible connections and applications in data analysis.

