Boolean Logic Resolution Method

Stepan Kuznetsov

Discrete Math Bridging Course, HSE University

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- The aim of this course is to provide a background of discrete mathematics and computational complexity ideas useful for data science.
- Given a very limited time for the course, we have to choose a simple central topic to use as a running example.
- And this topic is going to be **Boolean logic.**
- Let us first remind the basics of it.

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• A Boolean function is a *finite* object: it can be represented by a table (so-called *truth* table) of 2^n rows.

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- The only interesting unary Boolean function is *negation*, defined by the following truth table:

$$\begin{array}{c|cc}
x & \neg x \\
\hline
0 & 1 \\
\hline
1 & 0
\end{array}$$

 As for binary functions, among 16 possible there are several interesting ones: ∧ (conjunction, "and"), ∨ (disjunction, "or"), → (implication, "if ... then").

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- The truth tables for them are as follows:

x	y	$x \wedge y$	$x \lor y$	$x \to y$
0	0	0	0	1
0	1	0	1	1
1	0	0	1	0
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• For example, the **majority function** of three elements, which gives 1 iff at least two of its arguments are 1, has the following representation:

 $\mathrm{MAJ}_3(x,y,z) = (x \wedge y) \vee (x \wedge z) \vee (y \wedge z).$

Boolean Formulae

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Boolean Formulae

- Such representations are formalized by **Boolean formulae**.
- The set Fm of Boolean formulae over a set of *variables* Var is defined as the minimal set obeying the following:
 - $\cdot \ \mathrm{Var} \subseteq \mathrm{Fm}$
 - + $\bot, \top \in \operatorname{Fm}$ (these are *constants* for 0 and 1)
 - if $A,B\in \operatorname{Fm}$, then

 $(A \wedge B), (A \vee B), (A \to B), \neg A \in \mathrm{Fm}$

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- This formula is true for **any** values of *r*, *c*.
- Such formulae are called **tautologies.**

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- Checking a formula for being a tautology is an **algorithmically decidable** question.
- Indeed, the algorithm can just substitute all possible values of 0 and 1 for variables and compute the value of the formula.
- However, this requires exponential time (checking 2^n possible assignments).
- Is there a faster algorithm?..

• It will be more convenient for us to consider a **dual** notion of *satisfiable formula*.

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- A Boolean formula is satisfiable, if it is true for **at least one** assignment.
- Such an assignment is called a **satisfying** assignment.

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 - A is a tautology $\iff \neg A$ is not satisfiable.

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 - A is a tautology $\iff \neg A$ is not satisfiable.
- And actually satisfiability is a very general model example of situations where we seek for existence of an object (here: satisfying assignment) with given properties (here: the given formula *A*).

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- Dually, a CNF (conjunctive n.f.) is a conjunction of elementary disjunctions, e.g., (x ∨ y) ∧ (y ∨ z̄) ∧ (x ∨ z̄).

DNF and CNF

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- Dually, a **CNF** (conjunctive n.f.) is a conjunction of elementary disjunctions, e.g., $(x \lor y) \land (y \lor \overline{z}) \land (x \lor \overline{z})$.
- The elementary dis- / conjunctions are called **clauses.**

Trivial Cases

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- Dually, the empty CNF is \top , "true."
- Indeed, DNF clauses add possibilities, while CNF ones impose constraints.

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• The full DNF presented on the previous slide,

 $(\overline{x} \wedge \overline{y} \wedge \overline{z}) \lor (x \wedge \overline{y} \wedge \overline{z}) \lor (x \wedge \overline{y} \wedge z) \lor (x \wedge y \wedge z),$ is not the optimal (shortest) one for the given function.

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• The following DNFs are equivalent to it and are shorter:

$$(\overline{x} \wedge \overline{y} \wedge \overline{z}) \lor (x \wedge \overline{y}) \lor (x \wedge y \wedge z)$$
$$(\overline{x} \wedge \overline{y} \wedge \overline{z}) \lor (x \wedge \overline{y} \wedge \overline{z}) \lor (x \wedge z)$$

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- This means that already \neg , \lor and, dually, \neg , \land are complete systems.
- In particular,

 $A \to B \equiv \neg A \lor B \equiv \neg (A \land \neg B).$

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x	y	z	A	
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0	0	1	0	$(x \lor y \lor \overline{z})$
0	1	0	0	$(x \vee \overline{y} \vee z)$
0	1	1	0	$(x \vee \overline{y} \vee \overline{z})$
1	0	0	1	
1	0	1	1	
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- For CNFs, satisfiability is a non-trivial question.
- Translating from CNF to DNF does not help: this could increase the size exponentially.

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- In this course, we consider a dual situation: disproving satisfiability via resolution method.
- Recall that, by duality, proving that A is a tautology is equivalent to disproving satisfiability of $\neg A$.

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• Contradictive clause: the empty one (obtained by resolution from p and \overline{p}).

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• The "if" part (soundness) is easy: if an assignment satisfies $A \lor p$ and $B \lor \overline{p}$, it also satisfies $A \lor B$. The empty clause is not satisfiable.

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- The "if" part (soundness) is easy: if an assignment satisfies $A \lor p$ and $B \lor \overline{p}$, it also satisfies $A \lor B$. The empty clause is not satisfiable.
- The "only if" part (completeness) will be proved next time.

Saturation

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- Given a CNF (as a set of clause), let us saturate it by exhaustively applying resolutions until they stop generating new clauses.
- The CNF is satisfiable if and only if its saturation does not include the empty clause.

Translating into CNF

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- When checking a formula A for being a tautology, it is convenient for A to be in DNF, since then ¬A is easily transformed into CNF by De Morgan.
- For implications, keep in mind the following equivalences:

$$A \to B \equiv \neg A \lor B \qquad \neg (A \to B) \equiv A \land \neg B$$

• Let us check whether the following formula is a tautology:

$$A = (p \to (q \to r)) \to ((p \to q) \to (p \to r)$$

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• Let us negate A and check whether $\neg A$ is satisfiable

$$\neg A = (\overline{p} \lor \overline{q} \lor r) \land (\overline{p} \lor q) \land p \land \overline{r}$$

 $\begin{array}{l} \overline{p} \lor \overline{q} \lor r \\ \overline{p} \lor q \\ p \\ \overline{r} \end{array}$

 $\begin{array}{ll} \overline{p} \lor \overline{q} \lor r & \overline{q} \lor r \\ \overline{p} \lor q & \\ \overline{p} \\ \overline{r} \end{array}$

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 $\overline{q} \lor r$ $\overline{p} \lor r$

 $\begin{array}{ccc} \overline{p} \lor \overline{q} \lor r & \overline{q} \\ \overline{p} \lor q & \overline{p} \\ p & \overline{r} \end{array}$

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 $\begin{array}{cccc} \overline{p} \lor \overline{q} \lor r & & \overline{q} \\ \overline{p} \lor q & & \overline{p} \\ p & & & \overline{r} \end{array}$

 $\begin{array}{l} \overline{q} \lor r \\ \overline{p} \lor r \\ r \\ \bot \end{array}$

 $\begin{array}{c} \overline{p} \lor \overline{q} \lor r \\ \overline{p} \lor q \\ p \\ \overline{r} \end{array}$

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\Rightarrow NOT SATISFIABLE

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- However, if each clause has no more than 2 literals (this is called a 2-CNF), resolution method works really fast.
- Indeed, applying resolution to 2-bounded clauses also yields a 2-bounded clause.
- And the total number of 2-bounded clauses is $\leq 4n^2 + 2n + 1$.
- Thus, checking satisfiability for 2-CNF **can be performed in polynomial time.**

• Traditionally, an algorithmic problem is considered "practically solvable," if there exists a polynomially bounded algorithm for it (that is, the number of steps, even in the worst case, is $\leq p(|x|)$, where p is a fixed polynomial and |x| is the input length).

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- This is, of course, a gross approximation: let, say, $p(n) = n^{100}$.

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 - \cdot ... but with a different degree of p.

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 - However, this is highly unlikely, because then a large class of similar problems, called NP, would be also in P.
 - These problems include, e.g., subgraph isomorphism, knapsack problem, subset sum problem, ...

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- The running examples will be connected to Boolean logic and graph theory.
- During the course, we'll highlight possible connections and applications in data analysis.