

HW # 1: Resolution Method and Parsing

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Satisfiability

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- A satisfying assignment is an assignment of 0's and 1's to variables, which makes the formula true (value = 1).
- Satisfiability is a model example of a very general situation of **finding** (more precisely: checking for existence) an object with given properties.

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- Recall that **resolution method** is a method of determining whether a Boolean formula given in CNF is satisfiable.
- A **CNF** is a conjunction of clauses, where each clause is a disjunction of literals (e.g., $\bar{x} \vee y \vee \bar{z}$).
- The algorithm **saturates** the CNF by adding all clauses which can be generated by the **resolution rule**:

$$\frac{A \vee p \quad B \vee \bar{p}}{A \vee B}$$

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- If the empty clause (\perp) got obtained, the CNF is not satisfiable (because the resolution rule keeps validity).
- Moreover, by **completeness theorem** this is a criterion: if the empty clause is not obtained, the CNF **is** satisfiable.

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- In other words, the method solves the **decision problems** (“yes”/“no”), but not the **search problem**.
- If we are lucky enough, and the CNF has only one satisfying assignment, then after saturation we get **isolated** literals (like x or \bar{y} , for example), which dictate the desired satisfying assignment (e.g., $x = 1$ or $y = 0$).

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- In particular, if \mathcal{S} is satisfiable and includes neither x nor \bar{x} , we can make an **arbitrary choice** for the value of x .

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- For example, the CNF $(x \vee \bar{y}) \wedge (x \vee z)$ is saturated, but choosing $x = 0$ (adding \bar{x}) allows new resolutions giving \bar{y} and z , and thus dictating values for all other variables.

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- The proof of the proposition is easy.
- Indeed, new resolutions applied when we saturate $\mathcal{S} \wedge x$, should involve x .
- Therefore, if such a resolution generates \perp , there should have been \bar{x} in the original \mathcal{S} .

Example

$$(\bar{p} \vee r \vee s), (\bar{r} \vee q), (\bar{s} \vee \bar{p} \vee z), (\bar{z} \vee t), p$$

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$$(q \vee t), (z \vee q), (r \vee t)$$

$$s, z, t, (\bar{p} \vee z), (\bar{p} \vee t)$$

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Resolution for 2-CNF

- If clauses include at least 3 literals, resolution can lead to growth:

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- This makes saturation a potentially exponential procedure.
- However, for 2-CNF (each clause includes no more than 2 literals) the clauses do not grow:

$$\frac{x \vee p \quad \bar{z} \vee \bar{p}}{x \vee \bar{z}}$$

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- This can be organized as follows: take each clause from the list, starting from the second one, and try to resolve it against earlier ones. Does it give a new clause?
- New clauses are added to the bottom of the list.

Home Assignment # 1

- **Satisfiability for 2-CNF will be your task for HW # 1.**
- The **easy** version is to check satisfiability (using resolution method).
- The **full** task is to check satisfiability **and**, if the answer is “yes,” to return one of the satisfying assignments.

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- The program (in Python) should implement two functions:
 1. `is_satisfiable`, which takes a CNF and answers `True` or `False`, depending on whether it is satisfiable.
 2. `sat_assignment`, which takes a CNF and returns a satisfying assignment as an associative array:
`{ 'x': True, 'y': False, 'z': True }`

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- The CNF is a conjunction (\wedge) of clauses.

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- Grammar for CNFs:

$\text{CNF} ::= \text{Clause} \mid \text{CNF} \wedge \text{Clause}$

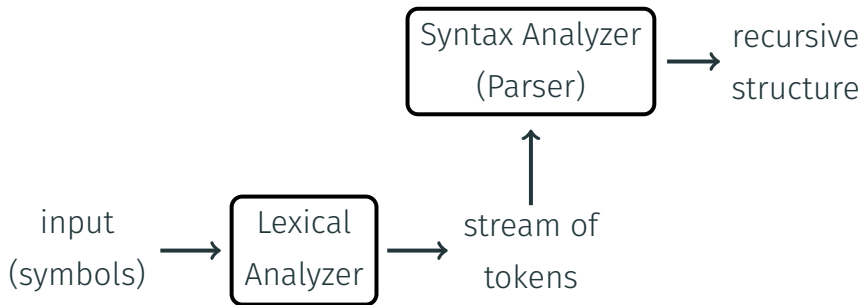
$\text{Clause} ::= (\text{Lit} \vee \text{Lit}) \mid (\text{Lit} \rightarrow \text{Lit})$

$\text{Lit} ::= \text{Var} \mid \sim\text{Var}$

HW # 1: Practice in Boolean Logic

- First, one needs to translate the input into a machine-digestible form (this is called **parsing** of the input).
- Grammar for CNFs:
CNF ::= Clause | CNF /\ Clause
Clause ::= (Lit \/ Lit) | (Lit -> Lit)
Lit ::= Var | ~Var
- We shall use specialized software, PLY (Python Lex & Yacc), in order to automatize the parsing process.

The Parsing Workflow



Lexical Analysis

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    printf("Hello, World!\n");
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- Tokens are much more convenient to work with (in the grammar).

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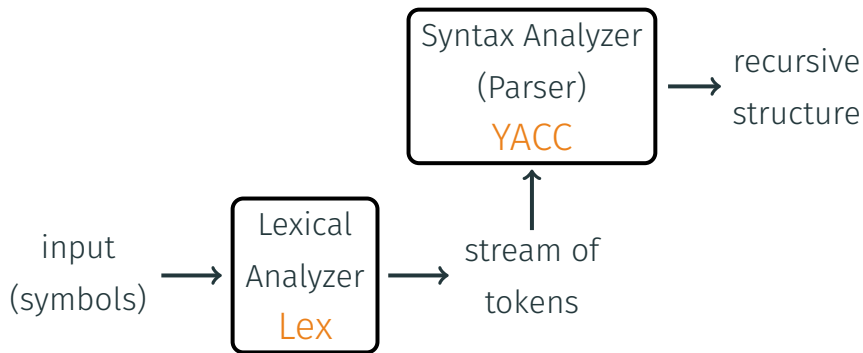
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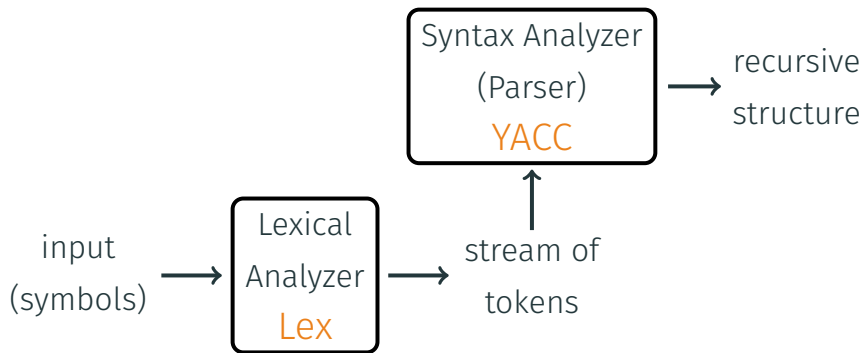
- Input example:

$$(2x+2)(3x^2-1)+2x$$

Implementation: Lex & Yacc

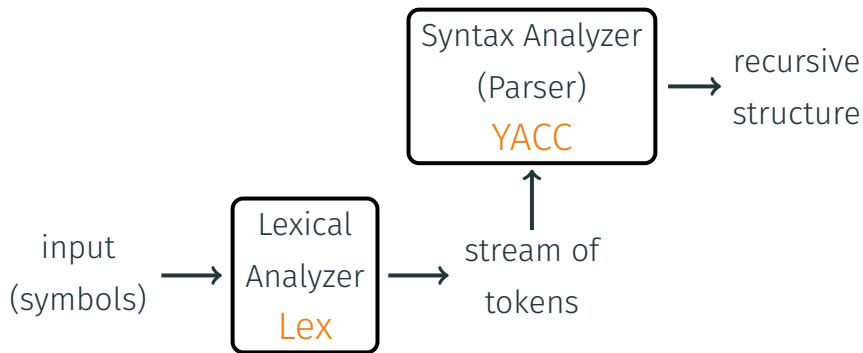


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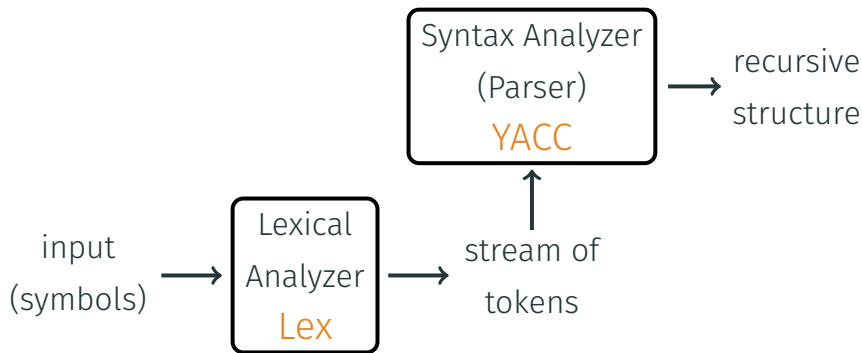
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- YACC = Yet Another Compiler Compiler
- In Python, we use PLY (Python Lex & Yacc).

PLY Code for Lexical Analysis

- Declare tokens and literals (one-symbol tokens):

```
tokens = [ 'INT' ]  
literals = ['+', '-', '(', ')', '^', 'x']
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- For each token, declare a “t_”-function:

```
def t_INT(t):  
    r'\d+'  
    try:  
        t.value = int(t.value)  
    except ValueError:  
        print "Too large!", t.value  
        t.value = 0  
    return t
```


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- Finally, build the lexer:

```
import ply.lex as lex  
lex.lex()
```

PLY Code for Parsing

- Each rule of the grammar is implemented as a “p_”-function:

```
def polymult(p,q) :  
    r = []  
    for i in xrange(len(p)) :  
        for j in xrange(len(q)) :  
            safeadd(r,i+j,p[i]*q[j])  
    return r
```

...

```
def p_tm_mult(p):  
    "tm : tm '(' expr '"  
    p[0] = polymult(p[1],p[3])
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- A “p_”-function generates an object `p[0]`, using `p[1]`, `p[2]`, ..., which are obtained from the lexer or recursively from parsing.

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- The code of PLY examples is available on the course's webpage:

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- For priorities of operations, see another example available on the webpage: calculator.

Good luck!

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- We prove this theorem using **induction** on the number of variables.
- That is, we establish it for zero variables (trivial) and then validate the **step** from n to $n + 1$ variables.

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- Dually, take clauses without q and remove \bar{q} . This gives \mathcal{S}^- .

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 - Suppose, both \mathcal{S}^+ and \mathcal{S}^- include \perp .
 - Then \mathcal{S} includes both q and \bar{q} , and therefore \perp . Contradiction.

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 - The one which does not include \perp is satisfiable.

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- Dually, if \mathcal{S}^- is satisfiable, take $q = 1$.

Beyond Propositional: Predicate Logic

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- In order to allow richer expressive capabilities, more powerful logical languages were introduced.
- One of those is **first-order predicate logic**, which is usually used to formalize mathematics.

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- E.g., a two-argument P denotes a **binary relation** (say, $x < y$, written as $< (x, y)$).
- Besides propositional operations ($\rightarrow, \vee, \wedge, \neg$), there are **quantifiers** \forall (forall) and \exists (exists).

Predicate Logic: Example

$$\forall x \forall y (R(x, y) \rightarrow \exists z (R(x, z) \wedge R(z, y)))$$

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 - Again, universal truth and satisfiability are dual.

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 - This means that there is theoretically no algorithm for solving it, even without any time constraints.
- This motivates studying **decidable fragments** of predicate logic, where we restrict its expressivity in order to gain decidability.
 - Toy example: predicate logic with only unary predicates.

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- These systems are between propositional and predicate logics and are used in **knowledge representation**.
- Knowledge bases extend relational databases by a richer, logically enhanced language of queries. (This requires, obviously, fast algorithms.)