Beyond NP-Completeness

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- A Hamiltonian path should traverse each vertex exactly once.
- The two notions look similar, but there is a complexity gap: finding an Euler path is polynomial, while existence of a Hamiltonian one is NP-complete.

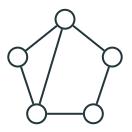
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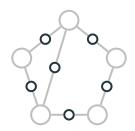
- Finding a Hamiltonian cycle, in general, is hard.
- Finding a Euler cycle is easy (can be done in polynomial time).
- What if, for a specific class of graphs, the problem of finding a Hamiltonian cycle could be *reduced* to the problem of finding a Euler cycle?

• For a graph G, we define its **line graph** L(G), as follows:

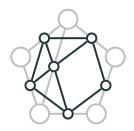
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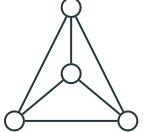
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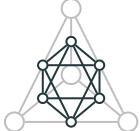
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• And, of course, not every Hamiltonian graph is a line graph of some other graph *G*.

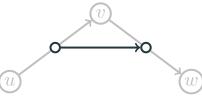
- And, of course, not every Hamiltonian graph is a line graph of some other graph *G*.
- Nevertheless, in some practically important cases representation of a given graph as L(G) allows efficient construction of Hamiltonian cycles.

Directed Line Graphs

• The line graph L(G) can be also defined for the case of directed G.

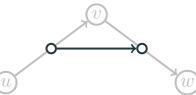
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- Vertices of L(G) are directed edges of G, and we connect $\langle u, v \rangle$ with $\langle v, w \rangle$, in the given direction:



• Again, a directed Euler path in *G* induces a directed Hamiltonian path in *L*(*G*).

Application: Genome and Its Fragments

 The genome is, roughly a string of letters A, C, G, T (they encode nucleotides: adenine, cytosine, guanine, thymine).
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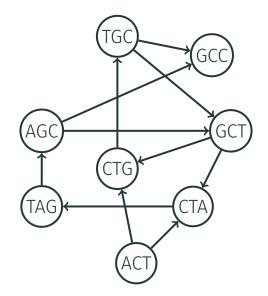
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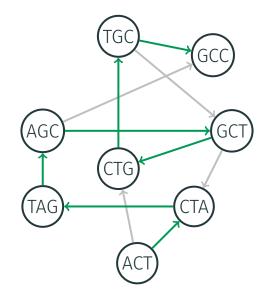
• Our goal is to reassemble the genome.

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- In this graph, triplet *u* is connected to triplet *v*, if the last two letters of *u* are the first two letters of *v*:

 $CTA \rightarrow TAG.$





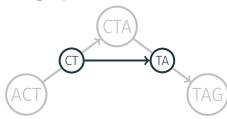
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- It would be much better if we could use Euler path instead.

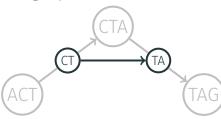
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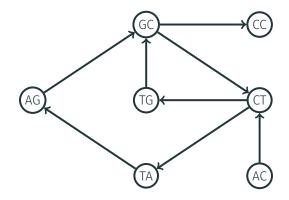


• After identifying vertices with the same annotation in *G* and adding AC and CC (start and end), we get **de Bruijn graph**.

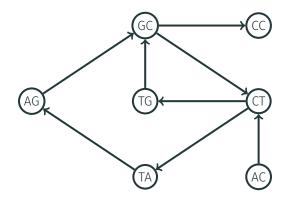
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A Euler path in de Bruijn graph induces a Hamiltonian path in overlap graph.

Reassembly as Euler Path



Reassembly as Euler Path



Two possible ways to reassemble: ACTAGCTGCC and ACTGCTAGCC.

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- This is an example how discovering the inner structure of a graph helps making problems algorithmically simpler.
- De Bruijn graph is used in real-world genome assemblers.

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- Examples of *y*: the satisfying assignment; the Hamiltonian cycle; ...

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- We could ask for **all** witnesses, and the algorithm can yield them with **polynomial delay.**
- Search problem: yield a witness or say "no."
- Counting problem (the #P class): yield the number of witnesses.

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- Namely, if P = NP, then any search problem is also solvable in polynomial time.
 - E.g., searching for SAT can be done via dichotomy using decision for SAT.

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- However, it is not true that the search problem is always reduced to **the same** decision problem.
- For example, let $R(\varphi, y)$ mean "y = (a, b), where a is a satisfying assignment for φ or b is a satisfying assignment for $\neg \varphi$."
- Here the decision problem is trivial (always "yes"), but the search problem is equivalent to the one for SAT.

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Theorem

#2-SAT is not solvable in polynomial time, unless P = NP (while 2-SAT as a decision problem belongs to P).

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- Counting problems can be harder than the corresponding decision ones!

Theorem

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 In order to prove theorems like this one, one has to develop the theory of #P-completeness.

• As the theory of NP-completeness is based on polynomial m-reductions (denoted by $A \leq_m^P B$), the theory of #P-completeness is based on counting reductions: $#A \leq_c^P #B$.

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- A counting reduction consists of **two** functions, $f: \Sigma^* \to \Sigma^*$ on input data and $g: \mathbb{N} \to \mathbb{N}$ on counts (results).
- Recall that #*A* and #*B* are counting problems, that is,

 $\#A(x)=|\{y\mid R(x,y)=1\}|\in\mathbb{N},$

and the same for #B.

• We say that $\#A \leq_c^P \#B$, if there exists a pair of polynomially computable reducing functions f and g such that for any input x we have

$$\#A(x)=g(\#B(f(x))).$$

This indeed allows to reduce #A to #B.
Suppose we know how to solve #B. Then, in order to solve #A, we take x, apply f, then solve #B (yielding a natural number) and apply g.

#P-Completeness

- A counting problem #B is #P-complete, if for any other $\#A \in \#P$ we have $\#A \leq_c^P \#B$...
- ... just as for NP-completeness.
- Now we can develop a theory of #P-complete problems, which is parallel to the theory of NP-completeness.

- A counting reduction (f, g), where g is identity, g(n) = n, is called a **parsimonious** reduction.
- A parsimonious reduction is also a specific kind of m-reduction, since, in particular, g(0) = 0, thus, it conveys the answer to the decision problem.

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#SAT is #P-complete.

Cook – Levin Theorem

• The sequence of configurations (protocol) of A on input x is encoded by a binary matrix (b_{ij}) of size $(m \cdot p(|x|)) \times p(|x|)$.

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- Next, we construct a formula φ_x with variables b_{00}, b_{01}, \dots which expresses the fact that this matrix represents a correct protocol of a successful execution.

Cook – Levin Theorem

- φ_x is a conjunction of the following claims:
 - the first row represents the configuration with x on the tape, the machine observing its first letter;
 - each next row is obtained from the previous one by one of the rules of the machine;
 - 3. the last row includes state q_F and the answer "yes" (1).

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This is all expressible as Boolean formulae.

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- That is, any Boolean formula φ can be translated into a 3-CNF ψ , such satisfying assignments of ψ are in one-to-one correspondence with those for φ .
 - Values for new variables t_i are restored uniquely.
- Thus, #3-SAT is also #P-complete.

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For any Boolean formula φ , there exists an equisatisfiable 3-CNF ψ of polynomial size.

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- Equisatisfiability means that ψ is satisfiable iff so is φ .
- Constructing an *equivalent* 3-CNF of polynomial size is not always possible: even translation to CNF can lead to exponential blowup.

- Tseitin's transformations look like translation into 3-address (Assembler-like) code:
 - (a + b) * (c + d) is translated to "add $a \ b \ t_1$; add $c \ d \ t_2$; mul $t_1 \ t_2 \ r$ "

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• For each subformula we introduce a new variable and write the corresponding equivalences.

Example: $(p \to q) \lor (q \to (p \to r))$

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 $\begin{array}{l} (t_1 \leftrightarrow (p \rightarrow q)) \land \\ (t_2 \leftrightarrow (p \rightarrow r)) \land \\ (t_3 \leftrightarrow (q \rightarrow t_2)) \land \\ (t_4 \leftrightarrow (t_1 \lor t_3)) \land \\ t_4 \end{array}$

Transform into 3-CNF by the following table:

$$\begin{array}{l} t_k \leftrightarrow (t_i \wedge t_j) \\ t_k \leftrightarrow (t_i \vee t_j) \\ t_k \leftrightarrow (t_i \to t_j) \\ t_k \leftrightarrow (t_i \to t_j) \end{array} \left| \begin{array}{l} (\neg t_i \vee \neg t_j \vee t_k) \wedge (t_i \vee \neg t_k) \wedge (t_j \vee \neg t_k) \\ (t_i \vee t_j \vee \neg t_k) \wedge (\neg t_i \vee t_k) \wedge (\neg t_j \vee t_k) \\ (\neg t_i \vee t_j \vee \neg t_k) \wedge (t_i \vee t_k) \wedge (\neg t_j \vee t_k) \\ (t_i \vee t_k) \wedge (\neg t_i \vee \neg t_k) \end{array} \right|$$

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For our example, we get: $(\neg p \lor q \lor \neg t_1) \land (p \lor t_1) \land (\neg q \lor t_1) \land$ $(\neg p \lor r \lor \neg t_2) \land (p \lor t_2) \land (\neg r \lor t_2) \land$ $(\neg q \lor t_2 \lor \neg t_3) \land (q \lor t_3) \land (\neg t_2 \lor t_3) \land$ $(t_1 \lor t_3 \lor \neg t_4) \land (\neg t_1 \lor t_4) \land (\neg t_3 \lor t_4) \land t_4$

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- In this case, if P ≠ NP, we know that even A is not polynomially solvable, nothing to say about #A.
- Using more general counting reductions, however, could give interesting results.

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- The famous example is 2-SAT.
 - We know that $2\text{-SAT} \in P$.
 - We shall not give the proof of #P-completeness for #2-SAT, since it is technically hard.
 - See A. Ben-Dor, S. Halevi (1993), "Zero-one permanent is #P-complete, a simple proof" and L.G. Valiant (1979), "The complexity of enumeration and reliability problems".

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- Easily, DNF-SAT \in P (as a decision problem).
- However, in the counting case we can reduce from CNF-SAT by duality:

$$\begin{split} f(\varphi) &= \mathsf{DNF}(\neg \varphi) \\ g(n) &= 2^k - n \end{split}$$

(where k is the number of variables).

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- If φ is in CNF, then DNF($\neg \varphi$) is polynomially computable.
- Thus, #CNF-SAT \leq_c^P #DNF-SAT, and therefore #DNF-SAT is #P-complete.
- Corollary: if P ≠ NP, then #DNF-SAT is not polynomially solvable.
- Otherwise so would be #CNF-SAT, and therefore CNF-SAT, which implies P = NP.

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- The **determinant** of a matrix is a well-known notion in linear algebra:

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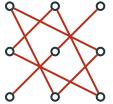
• There exist fast algorithms for computing the determinant, not by its definition (e.g., Gauss' diagonalization).

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• The **permanent** is like the determinant, but without signs:

$$\operatorname{perm}(a_{i,j}) = \sum_{\sigma \in \mathbf{S}_n} a_{1,\sigma(1)} \cdot \ldots \cdot a_{n,\sigma(n)}$$

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- However, computing the permanent by definition requires more than exponential time: namely, $n \cdot n!$.

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- This follows from the theory of #P-hardness.
- Let $a_{i,j}$ be zeroes and ones. Then $perm(a_{i,j})$ can be seen as a counting problem: how many permutations from \mathbf{S}_n give all ones?

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- The counting problem (computing perm) is #P-hard (see Valiant 1979).
- This problem is parsimoniously reducible to #2-SAT, so the latter is also #P-hard.