

# Resolution Method; Predicate Logic

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# Satisfiability

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- A satisfying assignment is an assignment of 0's and 1's to variables, which makes the formula true (value = 1).
- Satisfiability is a model example of a very general situation of **finding** (more precisely: checking for existence) an object with given properties.

# Resolution Method

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# Resolution Method

- Recall that **resolution method** is a method of determining whether a Boolean formula given in CNF is satisfiable.
- A **CNF** is a conjunction of clauses, where each clause is a disjunction of literals (e.g.,  $\bar{x} \vee y \vee \bar{z}$ ).
- The algorithm **saturates** the CNF by adding all clauses which can be generated by the **resolution rule**:

$$\frac{A \vee p \quad B \vee \bar{p}}{A \vee B}$$

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- If the empty clause ( $\perp$ ) got obtained, the CNF is not satisfiable (because the resolution rule keeps validity).
- Moreover, by **completeness theorem** this is a criterion: if the empty clause is not obtained, the CNF **is** satisfiable.

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- In other words, the method solves the **decision problems** (“yes”/“no”), but not the **search problem**.
- If we are lucky enough, and the CNF has only one satisfying assignment, then after saturation we get **isolated** literals (like  $x$  or  $\bar{y}$ , for example), which dictate the desired satisfying assignment (e.g.,  $x = 1$  or  $y = 0$ ).

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## Proposition

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- In particular, if  $\mathcal{S}$  is satisfiable and includes neither  $x$  nor  $\bar{x}$ , we can make an **arbitrary choice** for the value of  $x$ .

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- For example, the CNF  $(x \vee \bar{y}) \wedge (x \vee z)$  is saturated, but choosing  $x = 0$  (adding  $\bar{x}$ ) allows new resolutions giving  $\bar{y}$  and  $z$ , and thus dictating values for all other variables.

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- The proof of the proposition is easy.
- Indeed, new resolutions applied when we saturate  $\mathcal{S} \wedge x$ , should involve  $x$ .
- Therefore, if such a resolution generates  $\perp$ , there should have been  $\bar{x}$  in the original  $\mathcal{S}$ .

# Example

$$(\bar{p} \vee r \vee s), (\bar{r} \vee q), (\bar{s} \vee \bar{p} \vee z), (\bar{z} \vee t), p$$

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$$s, z, t, (\bar{p} \vee z), (\bar{p} \vee t)$$

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# Resolution for 2-CNF

- If clauses include at least 3 literals, resolution can lead to growth:

$$\frac{x \vee \bar{y} \vee p \quad z \vee w \vee \bar{p}}{x \vee \bar{y} \vee z \vee w}$$

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- This makes saturation a potentially exponential procedure.
- However, for 2-CNF (each clause includes no more than 2 literals) the clauses do not grow:

$$\frac{x \vee p \quad \bar{z} \vee \bar{p}}{x \vee \bar{z}}$$

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- This makes the saturation process **polynomial**.
- This can be organized as follows: take each clause from the list, starting from the second one, and try to resolve it against earlier ones. Does it give a new clause?
- New clauses are added to the bottom of the list.

# Resolution: Completeness Proof

## Theorem

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- We prove this theorem using **induction** on the number of variables.
- That is, we establish it for zero variables (trivial) and then validate the **step** from  $n$  to  $n + 1$  variables.

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- Dually, take clauses without  $q$  and remove  $\bar{q}$ . This gives  $\mathcal{S}^-$ .

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  - Since  $\mathcal{S}^+$  and  $\mathcal{S}^-$  use only  $p_1, \dots, p_n$ , we already know our theorem for them.
  - The one which does not include  $\perp$  is satisfiable.

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- Dually, if  $\mathcal{S}^-$  is satisfiable, take  $q = 1$ .

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- In order to allow richer expressive capabilities, more powerful logical languages were introduced.
- One of those is **first-order predicate logic**, which is usually used to formalize mathematics.

# Predicate Logic

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- E.g., a two-argument  $P$  denotes a **binary relation** (say,  $x < y$ , written as  $< (x, y)$ ).'
- Besides propositional operations ( $\rightarrow$ ,  $\vee$ ,  $\wedge$ ,  $\neg$ ), there are **quantifiers**  $\forall$  (forall) and  $\exists$  (exists).

# Predicate Logic: Example

$$\forall x \forall y (R(x, y) \rightarrow \exists z (R(x, z) \wedge R(z, y)))$$

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e.g., it is true on  $\mathbb{Q}$  (rational numbers), but false on  $\mathbb{Z}$  (integers).
- So, it is **satisfiable**, but not **universally true**.
  - Again, universal truth and satisfiability are dual:  $A$  is universally true iff  $\neg A$  is not satisfiable.

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# Predicate Logic: Example

- Other desired properties of  $<$ : transitivity, antisymmetry, linearity, are also expressible by first-order formulae (see exercises).
- Thus, one may write a formula which states that  $<$  is a dense linear order and has at least two elements.
- Any such structure is necessarily **infinite**, thus, one cannot reduce checking satisfiability (or universal truth) to finite structures.

## Example: Paradox of Material Implication

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- A naive formulation in Boolean logic is a tautology:

$$((L \rightarrow E) \wedge (P \rightarrow F)) \rightarrow ((L \rightarrow F) \vee (P \rightarrow E)).$$

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- A more accurate formulation in predicate logic adds a dependency on the moment of time  $t$ : “If I’m in London, I’m in England” is a universal statement,  $\forall t (L(t) \rightarrow E(t))$ .
- The resulting first-order formula is not universally true:

$$(\forall t (L(t) \rightarrow E(t)) \wedge \forall t (P(t) \rightarrow F(t))) \rightarrow \\ (\forall t (L(t) \rightarrow F(t)) \vee \forall t (P(t) \rightarrow E(t)))$$

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  - This means that there is theoretically no algorithm for solving it, even without any time constraints.
- This motivates studying **decidable fragments** of predicate logic, where we restrict its expressivity in order to gain decidability.
  - Toy example: predicate logic with only unary predicates.

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- Indeed, if we have only unary predicates,  $P_1, \dots, P_n$ , then for a given element  $a$  they can have only  $2^n$  possible values.

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- Elements on which all  $P_i$  have the same value, may be identified.
- Thus, now we have finite search over all possible interpretations, as we have had in Boolean logic.

# Predicate Calculus

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- How one understands that a first-order formula is universally true, if checking it by definition requires infinite time?
- Universally true formulae can be **proved** as theorems in the **predicate calculus**.
- The classical predicate calculus is obtained from Boolean logic by adding axioms and rules for quantifiers.

# Predicate Calculus

1. All Boolean tautologies, where arbitrary formulae can be substituted.
2. Quantifier axioms:

$$(\forall x A(x)) \rightarrow A(t)$$

$$A(t) \rightarrow \exists x A(x)$$

(Here the substitution of  $t$  for  $x$  should be correct.)

3. Rules of inference:

$$\frac{A \quad A \rightarrow B}{B}$$

$$\frac{A(x)}{\forall x A(x)}$$

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- **Gödel's completeness theorem:** a formula  $A$  can be derived from a set of axioms  $\Gamma$  iff  $A$  is true under any interpretation where so is  $\Gamma$ .

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- Thus, if something **is** a theorem, one can find this out by searching over possible proofs.
- However, if  $A$  is **not** a theorem, it does not mean that  $\neg A$  is. Thus, falsifying a formula can be a non-trivial task.

# Decidable Fragments

- More interesting examples include **description logics** used in **formal ontologies** (used in OWL, SNOMED CT etc).

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- Knowledge bases extend relational databases by a richer, logically enhanced language of queries. (This requires, obviously, fast algorithms.)